

ADLER POLLOCK & SHEEHAN P.C.

One Citizens Plaza, 8th floor
Providence, RI 02903-1345
Telephone 401-274-7200
Fax 401-751-0604 / 351-4607

175 Federal Street
Boston, MA 02110-2210
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Fax 617-482-0604

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January 24, 2012

VIA HAND DELIVERY

Board of Elections
Campaign Finance Division
50 Branch Avenue
Providence, Rhode Island 02904

**Re: Affidavit of State Vendor
Under Chapter 17-27 of the General Laws of Rhode Island**

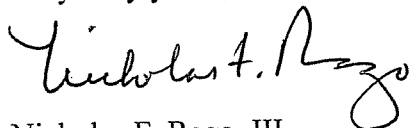
Ladies and Gentlemen:

Enclosed is the Affidavit of State Vendor on behalf Adler Pollock & Sheehan P.C. for the period July 1, 2011 through December 31, 2011.

Please acknowledge receipt by signing the enclosed copy of this letter and returning it to me in the envelope provided.

Thank you.

Very truly yours,



Nicholas F. Rago, III
Executive Director

NFR/rmd
Enclosures

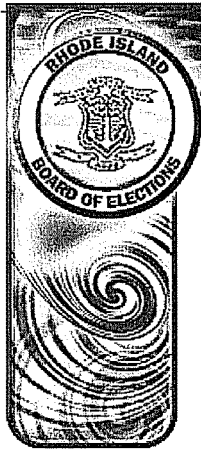
Receipt acknowledged:

Name:

Date:

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2012 JAN 24 AM 11:17
RHODE ISLAND
BOARD OF ELECTIONS



State of Rhode Island and Providence Plantations
Campaign Finance Electronic Reporting & Tracking System (ERTS)

Vendor Affidavit

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AFFIDAVIT OF STATE VENDOR

1. Name, address and telephone number of person making this affidavit

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Prefix	MR.
First Name	Nicholas
Middle Name	Rago
Last Name	F
Suffix	III
Street Address	One Citizens Plaza, 8th Floor
Street Address2	
City/Town, State and Zip Code	Providence RI 02903-1345
Phone Number	401 274-7200

2. Within the 24 month period preceding the date of the contract with the state agency, or with respect to the contracts that are not in writing within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, any member of the general assembly or candidate for general assembly, or any R.I. political party? (includes an individual contracting party, or business entity on behalf of a related party, or a related party reporting on his/her own behalf) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

(If you checked "No", above, do not attach a copy of the state contract, or written summary, and do not complete remainder of form.)

Yes
 No

3. If the answer to question 2 is "Yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributions even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

Name of Officer, Candidate or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
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4. What is the date of execution of the written contract requiring filing of this affidavit
[Such contract must be filed with this affidavit] N/A - SEMI-ANNUAL REPORTING

5. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold? N/A *

6. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

Agency	Goods / Services	Contract Expire Date
N/A	N/A	N/A *

7. Is this affidavit made by you as an individual or on behalf of a business entity?
- Individual
 Business Entity

8. If on behalf of a business entity, what is the name and nature of the business entity?
- Business Name** **FEIN#**
 Adler Pollock & Sheehan P.C. 05-0343749
- Corporation Partnership Sole Proprietorship Other Business Entity

9. If "Other Business Entity" is checked above what is the nature of such business entity?

10. Are you a state vendor because you are the contracting party in a contract with a state agency?
- Yes
 No

11. If yes, what is the name of the state agency to which you are providing goods and/or services?
 SEE ATTACHED

12. If the answer to question 10 is "no", are you a state vendor because you are related to a contracting party?
- Yes
 No

13. If the answer to question 12 is "yes", what is the nature of your relationship with the contracting party?

14. If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.
- | | |
|---------------------------|-------|
| Name of Contracting Party | _____ |
| Address1 | _____ |
| Address2 | _____ |
| City, State and Zip | _____ |
| State Agency | _____ |

15. If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable contributions made by such related parties?
- Yes
 No

16. If the answer to question 15 is "no", have you notified all such parties of the requirements and the law and of their obligation to file this affidavit if they have made reportable political contributions?
- Yes
 No

17. If the answer to question 16 is "yes", provide the names and addresses of related parties who might be required to file this affidavit and the nature of such relationship.

Parties Name	Address	Relationship
SEE ATTACHED		

18. What is the total gross amount, in dollars, of contracts entered into during the said preceding 24 month period between the contracting party and ALL state agencies? Include all contracts regardless of amount. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state by marking "Yes." NOTE: If this is a semi annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report the total gross amount, in dollars, of contracts entered into from the date of execution of the last contract entered into during such semi-annual period to the day which is 24 months prior to the first day of the same semi-annual period.
- Yes
 No

State Agency	Date of Contract	Amount of Contract	FEIN #	P.O. #
SEE ATTACHED				

State of: RI
County of: Providence



Lori LaBopiere
Notary Public
My Commission Expires: 6/28/13

Nicholas F. Rago, III
Executive Director
Date: 1/24/12

General Officer Candidate for General Office or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
A. Ralph Mollis	08/29/11	\$100.00	Christopher Mulhearn	Spouse of Associate
Frank Caprio	08/20/10 PR	\$100.00	Alison L. Albanese	Associate
Michael J. Marcello	06/02/10 PR	\$100.00	Alison L. Albanese	Associate
A. Ralph Mollis	10/25/10 PR	\$200.00	Christopher Mulhearn	Spouse of Associate
A. Ralph Mollis	09/02/10 PR	\$250.00	Christopher Mulhearn	Spouse of Associate
Ralph Mollis	03/31/10 PR	\$300.00	Christopher Mulhearn	Spouse of Associate
Gina Raimondo	09/08/11	\$1,000.00	Victoria M. Almeida	Officer
Friends of Dan DaPonte	05/23/11 PR	\$150.00	Victoria M. Almeida	Officer
Friends of Michael McCaffrey	05/17/11 PR	\$100.00	Victoria M. Almeida	Officer
Friends of Joe McNamara	05/04/11 PR	\$100.00	Victoria M. Almeida	Officer
Friends of Brian Kennedy	05/02/11 PR	\$100.00	Victoria M. Almeida	Officer
Senator Lou DePalma Committee	04/27/11 PR	\$150.00	Victoria M. Almeida	Officer
Senator Dominick J. Ruggiero Committee	02/28/11 PR	\$150.00	Victoria M. Almeida	Officer
Paiva-Weed for Senate	02/02/11 PR	\$200.00	Victoria M. Almeida	Officer
Chafee For Governor In Kind	01/28/11 PR	\$1,000.00	Victoria M. Almeida	Officer
Friends of Gordon Fox	01/25/11 PR	\$200.00	Victoria M. Almeida	Officer
Committee to Elect Catherine Taylor	11/01/10 PR	\$500.00	Victoria M. Almeida	Officer
Committee to Elect Catherine Taylor	09/23/10 PR	\$500.00	Victoria M. Almeida	Officer
Chafee for Governor	04/18/10 PR	\$500.00	Victoria M. Almeida	Officer
Chafee for Governor	03/25/10 PR	\$500.00	Victoria M. Almeida	Officer
Friends of Gina Raimondo	03/24/10 PR	\$500.00	Victoria M. Almeida	Officer
The Caprio Committee	03/11/10 PR	\$500.00	Victoria M. Almeida	Officer
Joe Fernandez for AG	01/20/10 PR	\$200.00	Victoria M. Almeida	Officer
Frank Caprio	08/12/10 PR	\$250.00	Joseph Avanzato	Officer
Friends of Ralph Mollis	03/23/10 PR	\$500.00	Joseph Avanzato	Officer
Friends of Gina Raimondo	03/18/10 PR	\$500.00	Joseph Avanzato	Officer
The Caprio Committee	03/18/10 PR	\$500.00	Joseph Avanzato	Officer
Friends of Gordon Fox	01/19/10 PR	\$500.00	Joseph Avanzato	Officer
Deb Ruggeiro	11/29/11	\$100.00	Richard R. Beretta, Jr.	Officer

R.I. Democratic Party	10/19/11	\$100.00	Richard R. Beretta, Jr.	Officer
Gina Raimondo	09/28/11	\$945.58	Richard R. Beretta, Jr.	Officer
Peter Kilmartin	06/15/11 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Eileen Naughton	06/14/11 PR	\$75.00	Richard R. Beretta, Jr.	Officer
John McCauley	06/09/11 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Dan DaPonte	05/23/11 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Helio Mello	05/19/11 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Mike McCaffrey	05/17/11 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Donna Walsh	05/17/11 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Steven Ucci	05/16/11 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Mary Ellen Goodwin	05/16/11 PR	\$75.00	Richard R. Beretta, Jr.	Officer
Peter Palumbo	05/16/11 PR	\$75.00	Richard R. Beretta, Jr.	Officer
Ray Gallison	05/16/11 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Joseph McNamara	05/04/11 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Brian Kennedy	05/02/11 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Louis DiPalma	04/28/11 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Cale Keable	04/28/11 PR	\$75.00	Richard R. Beretta, Jr.	Officer
William Walaska	04/27/11 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Deborah Ruggiero	04/27/11 PR	\$75.00	Richard R. Beretta, Jr.	Officer
Patrick O'Neill	04/12/11 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Michael Marcello	04/12/11 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Teresa Paiva-Weed	04/11/11 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Rhoda Perry	04/11/11 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Joshua Miller	04/07/11 PR	\$150.00	Richard R. Beretta, Jr.	Officer
John Tassoni	04/07/11 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Wally Felag	03/30/11 PR	\$75.00	Richard R. Beretta, Jr.	Officer
Domenic Ruggiero	03/01/11 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Nick Mattiello	02/15/11 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Chafee for Governor	10/26/10 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Rhode Island Democratic Party	10/06/10 PR	\$500.00	Richard R. Beretta, Jr.	Officer
Dan DaPonte	10/06/10 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Josh Miller	09/23/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Jon Brien	08/26/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer

Frank Caprio	08/20/10 PR	\$500.00	Richard R. Beretta, Jr.	Officer
Peter Kilmartin	06/29/10 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Elizabeth Roberts	06/25/10 PR	\$200.00	Richard R. Beretta, Jr.	Officer
Joe Fernandez	06/25/10 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Steve Costantino	06/24/10 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Deb Ruggiero	06/03/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Tim Williamson	05/31/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Senator Goodwin	05/12/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Don Lally	05/11/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Senator McCaffrey	05/11/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Representative McCauley	05/07/10 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Joe McNamara	04/29/10 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Greg Schadone	04/29/10 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Peter Palumbo	04/27/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Brian Patrick Kennedy	04/12/10 PR	\$125.00	Richard R. Beretta, Jr.	Officer
Pat O'Neil	04/10/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
David Caprio	04/06/10 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Elizabeth Roberts	03/31/10 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Gina Raimondo	03/26/10 PR	\$990.18	Richard R. Beretta, Jr.	Officer
Jon Tassoni	03/26/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Patrick Lynch	03/26/10 PR	\$500.00	Richard R. Beretta, Jr.	Officer
Peter Petrarca	03/25/10 PR	\$75.00	Richard R. Beretta, Jr.	Officer
Bill Walaska	03/25/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Lou DePalma	03/25/10 PR	\$75.00	Richard R. Beretta, Jr.	Officer
Mike Marcello	03/25/10 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Josh Miller	03/25/10 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Ralph Mollis	03/23/10 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Gordon Fox	03/22/10 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Steve Costantino	03/22/10 PR	\$200.00	Richard R. Beretta, Jr.	Officer
Nick Mattiello	03/16/10 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Frank Caprio	03/16/10 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Sue Sosnowski	03/15/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Wally Felag	03/15/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer

Deb Ruggiero	03/03/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Dan Deponete	03/03/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Dan Connors	02/24/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Teresa Paiva Weed	02/03/10 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Gordon Fox	01/28/10 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Joseph Fernandez	01/21/10 P R	\$50.00	Richard R. Beretta, Jr.	Officer
Lincoln Chafee	12/16/10 PR	\$250.00	Amy Beretta	Spouse of Officer
Theresa Paiva-Weed	10/17/10 PR	\$250.00	Amy Beretta	Spouse of Officer
George Alzeback	10/13/10 PR	\$100.00	Amy Beretta	Spouse of Officer
Frank Caprio	08/20/10 PR	\$1,000.00	Amy Beretta	Spouse of Officer
Gina Raimondo	10/18/11	\$200.00	Robert P. Brooks	Officer
Gina Raimondo	07/14/11	\$50.00	Robert P. Brooks	Officer
Lincoln Chafee	01/28/11 PR	\$250.00	Robert P. Brooks	Officer
Frank Caprio	09/23/10 PR	\$500.00	Robert P. Brooks	Officer
Frank Caprio	08/01/10 PR	\$500.00	Robert P. Brooks	Officer
Peter Kilmartin	07/01/10 PR	\$150.00	Robert P. Brooks	Officer
Friends of Gina Raimondo	03/24/10 PR	\$250.00	Robert P. Brooks	Officer
The Caprio Committee	03/21/10 PR	\$250.00	Robert P. Brooks	Officer
Gina Raimondo	09/08/11	\$200.00	Paul A. Campellone	Officer
Nick Mattiello	02/15/11 PR	\$100.00	Paul A. Campellone	Officer
Frank Caprio	09/19/10 PR	\$250.00	Paul A. Campellone	Officer
Gina Raimondo	03/24/10 PR	\$250.00	Paul A. Campellone	Officer
Frank Caprio	03/20/10 PR	\$250.00	Paul A. Campellone	Officer
Gordon Fox	01/28/10 PR	\$250.00	Paul A. Campellone	Officer
Gina Raimondo	07/07/11	\$250.00	Avital Chatto	Associate
Joseph Fernandez	08/18/10 PR	\$50.00	Avital Chatto	Associate
Gina Raimondo	03/25/10 PR	\$100.00	Avital Chatto	Associate
Deb Ruggeiro	11/29/11	\$200.00	Joseph DeAngelis	Officer
Gina Raimondo	09/08/11	\$200.00	Joseph DeAngelis	Officer
Helio Melo	05/19/11 PR	\$150.00	Joseph DeAngelis	Officer
Domenic Ruggiero	03/01/11 PR	\$150.00	Joseph DeAngelis	Officer
Teresa Paiva-Weed	02/02/11 PR	\$200.00	Joseph DeAngelis	Officer
Lincoln Chafee	01/28/11 PR	\$250.00	Joseph DeAngelis	Officer

Gordon Fox	01/25/11 PR	\$200.00	Joseph DeAngelis	Officer
Lincoln Chafee	12/14/10 PR	\$250.00	Joseph DeAngelis	Officer
Kilmartin for Attorney General	10/15/10 PR	\$250.00	Joseph DeAngelis	Officer
Deb Ruggiero	10/06/10 PR	\$200.00	Joseph DeAngelis	Officer
Frank Caprio	08/26/10 PR	\$250.00	Joseph DeAngelis	Officer
Frank Caprio	08/18/10 PR	\$500.00	Joseph DeAngelis	Officer
Rene Menard	08/01/10 PR	\$50.00	Joseph DeAngelis	Officer
Friends of Peter Kilmartin	06/29/10 PR	\$250.00	Joseph DeAngelis	Officer
Friends Deborah Ruggiero	06/07/10 PR	\$250.00	Joseph DeAngelis	Officer
Friends of Peter Palumbo	04/27/10 PR	\$100.00	Joseph DeAngelis	Officer
Friends of Gina Raimondo	03/26/10 PR	\$250.00	Joseph DeAngelis	Officer
Friends of Dan Connors	02/25/10 PR	\$150.00	Joseph DeAngelis	Officer
Gordon Fox	02/15/10 PR	\$250.00	Joseph DeAngelis	Officer
Friends of Joseph Fernandez	02/03/10 PR	\$200.00	Joseph DeAngelis	Officer
Gina Raimondo	09/02/11	\$1,000.00	Mark O. Denehy	Officer
Friends of Helio Melo	05/18/11 PR	\$150.00	Mark O. Denehy	Officer
Friends of Brian Kennedy	05/03/11 PR	\$100.00	Mark O. Denehy	Officer
Dominick Ruggiero Committee	02/28/11 PR	\$150.00	Mark O. Denehy	Officer
Friends of Nick Mattiello	02/14/11 PR	\$150.00	Mark O. Denehy	Officer
Paiva Weed for Senate	02/02/11 PR	\$200.00	Mark O. Denehy	Officer
Lincoln Chafee	01/19/11 PR	\$1,000.00	Mark O. Denehy	Officer
Lincoln Chafee	10/28/10 PR	\$1,000.00	Mark O. Denehy	Officer
Frank Caprio	08/05/10 PR	\$500.00	Mark O. Denehy	Officer
Peter Kilmartin	06/29/10 PR	\$150.00	Mark O. Denehy	Officer
Friends of Steve Grossman	04/26/10 PR	\$250.00	Mark O. Denehy	Officer
Lynch Committee	03/26/10 PR	\$250.00	Mark O. Denehy	Officer
Frank Caprio	03/22/10 PR	\$500.00	Mark O. Denehy	Officer
Raimondo Committee	03/22/10 PR	\$500.00	Mark O. Denehy	Officer
Mollis Committee	03/22/10 PR	\$150.00	Mark O. Denehy	Officer
Friends of Paiva Weed	02/04/10 PR	\$200.00	Mark O. Denehy	Officer
Friends of Gordon Fox	01/29/10 PR	\$250.00	Mark O. Denehy	Officer
Joe Fernandez	01/27/10 PR	\$150.00	Mark O. Denehy	Officer
Gina Raimondo	08/31/11	\$250.00	Joseph R. DiStefano	Officer

Frank Caprio	08/10/10 PR	\$250.00	Joseph R. DiStefano	Officer
Ralph Mollis	06/10/10 PR	\$150.00	Joseph R. DiStefano	Officer
Gina Raimondo	04/28/10 PR	\$150.00	Joseph R. DiStefano	Officer
Gina Raimondo	03/11/10 PR	\$250.00	Joseph R. DiStefano	Officer
Ralph Mollis	03/08/10 PR	\$150.00	Joseph R. DiStefano	Officer
Gina Raimondo	08/26/11	\$1,000.00	Sarah T. Dowling	Officer
Elizabeth Roberts	10/14/10 PR	\$500.00	Sarah T. Dowling	Officer
Rhode Island Democratic Party	10/07/10 PR	\$1,000.00	Sarah T. Dowling	Officer
Friends of Mollis	08/26/10 PR	\$500.00	Sarah T. Dowling	Officer
Gina Raimondo	06/17/10 PR	\$500.00	Sarah T. Dowling	Officer
David Caprio	03/26/10 PR	\$300.00	Sarah T. Dowling	Officer
Frank Caprio	03/11/10 PR	\$1,000.00	Sarah T. Dowling	Officer
Ralph Mollis	03/08/10 PR	\$150.00	Sarah T. Dowling	Officer
Gina Raimondo	03/10 PR	\$500.00	Sarah T. Dowling	Officer
Connors Campaign Committee	02/22/10 PR	\$150.00	Sarah T. Dowling	Officer
Teresa Paiva Weed	02/05/10 PR	\$200.00	Sarah T. Dowling	Officer
Friends of Gordon Fox	01/10 PR	\$250.00	Sarah T. Dowling	Officer
Joe Fernandez	01/10 PR	\$250.00	Sarah T. Dowling	Officer
Gina Raimondo	06/17/10 PR	\$500.00	Joseph L. Dowling, Jr.	Spouse of Officer
Elizabeth Roberts	06/02/10 PR	\$250.00	Joseph L. Dowling, Jr.	Spouse of Officer
Frank Caprio	03/30/10 PR	\$968.00	Joseph L. Dowling, Jr.	Spouse of Officer
Gina Raimondo	09/08/11	\$100.00	Stephen Geanacopoulos	Officer
Frank Caprio	03/22/10 PR	\$100.00	Stephen Geanacopoulos	Officer
Gina Raimondo	09/07/11	\$200.00	Stanley J. Kanter	Officer
The Caprio Committee	03/11/10 PR	\$250.00	Stanley J. Kanter	Officer
Deborah Ruggeiro	10/18/10 PR	\$100.00	Richard A. Licht	Officer
DaPonte Committee	10/06/10 PR	\$150.00	Richard A. Licht	Officer
Mollis Committee	08/21/10 PR	\$250.00	Richard A. Licht	Officer
Fernandez for Attorney General	08/09/10 PR	\$250.00	Richard A. Licht	Officer
Caprio Committee	08/09/10 PR	\$500.00	Richard A. Licht	Officer
Deborah Ruggeiro	03/02/10 PR	\$75.00	Richard A. Licht	Officer
Kilmartin Committee	06/26/10 PR	\$250.00	Richard A. Licht	Officer
Blazejewski Committee	06/23/10 PR	\$200.00	Richard A. Licht	Officer

Ruggerio Committee	06/03/10 PR	\$100.00	Richard A. Licht	Officer
Desimone Committee	06/03/10 PR	\$50.00	Richard A. Licht	Officer
Gallison Committee	06/03/10 PR	\$100.00	Richard A. Licht	Officer
Pollard Fundraiser	05/22/10 PR	\$75.00	Richard A. Licht	Officer
Williamson Committee	05/22/10 PR	\$100.00	Richard A. Licht	Officer
Constantino Committee	05/17/10 PR	\$125.00	Richard A. Licht	Officer
RI Senate Democrats PAC	05/14/10 PR	\$125.00	Richard A. Licht	Officer
Messier Committee	05/14/10 PR	\$50.00	Richard A. Licht	Officer
Ferri Committee	05/14/10 PR	\$100.00	Richard A. Licht	Officer
Silva Committee	05/14/10 PR	\$100.00	Richard A. Licht	Officer
Macbeth Committee	05/14/10 PR	\$50.00	Richard A. Licht	Officer
Picard Committee	05/14/10 PR	\$75.00	Richard A. Licht	Officer
Shallcross Smith Committee	05/11/10 PR	\$50.00	Richard A. Licht	Officer
Mary Ellen Goodwin Committee	05/11/10 PR	\$100.00	Richard A. Licht	Officer
McCaffrey Committee	05/11/10 PR	\$100.00	Richard A. Licht	Officer
Donna Walsh Committee	05/11/10 PR	\$75.00	Richard A. Licht	Officer
Lally Committee`	05/11/10 PR	\$100.00	Richard A. Licht	Officer
Sanbento Committee	04/30/10 PR	\$100.00	Richard A. Licht	Officer
Bates Committee	04/30/10 PR	\$50.00	Richard A. Licht	Officer
Malik Committee	04/30/10 PR	\$100.00	Richard A. Licht	Officer
Jackson Committee	04/30/10 PR	\$100.00	Richard A. Licht	Officer
McCauley Committee	04/30/10 PR	\$50.00	Richard A. Licht	Officer
Jamie Doyle Committee	04/25/10 PR	\$50.00	Richard A. Licht	Officer
Carnevale Committee	04/25/10 PR	\$50.00	Richard A. Licht	Officer
Palumbo Committee	04/25/10 PR	\$100.00	Richard A. Licht	Officer
Corvese Committee	04/25/10 PR	\$50.00	Richard A. Licht	Officer
McNamara Committee	04/25/10 PR	\$100.00	Richard A. Licht	Officer
Schadone Committee	04/25/10 PR	\$50.00	Richard A. Licht	Officer
Naughton Committee	04/25/10 PR	\$75.00	Richard A. Licht	Officer
Gablinske Committee	04/25/10 PR	\$100.00	Richard A. Licht	Officer
Renee Menard Committee	04/25/10 PR	\$100.00	Richard A. Licht	Officer
McBurney Committee	04/12/10 PR	\$100.00	Richard A. Licht	Officer
Serpa Committee	04/12/10 PR	\$75.00	Richard A. Licht	Officer

Metts Committee	04/12/10 PR	\$50.00	Richard A. Licht	Officer
Brian Kennedy Committee	04/12/10 PR	\$125.00	Richard A. Licht	Officer
Edwards Committee	04/05/10 PR	\$50.00	Richard A. Licht	Officer
Scott Slater Committee	04/05/10 PR	\$100.00	Richard A. Licht	Officer
Patrick O'Neil Committee	04/05/10 PR	\$100.00	Richard A. Licht	Officer
David Caprio Committee	04/05/10 PR	\$150.00	Richard A. Licht	Officer
Roberts Committee	03/28/10 PR	\$250.00	Richard A. Licht	Officer
Vaudreuil Committee	03/28/10 PR	\$75.00	Richard A. Licht	Officer
Handy Committee	03/28/10 PR	\$75.00	Richard A. Licht	Officer
DiPalma Committee	03/28/10 PR	\$75.00	Richard A. Licht	Officer
Marcello Committee	03/28/10 PR	\$50.00	Richard A. Licht	Officer
Hanna Gallo Committee	03/28/10 PR	\$100.00	Richard A. Licht	Officer
Tassoni Committee	03/28/10 PR	\$100.00	Richard A. Licht	Officer
Patrick Lynch Committee	03/19/10 PR	\$500.00	Richard A. Licht	Officer
Ucci Committee	03/19/10 PR	\$100.00	Richard A. Licht	Officer
Miller Committee	03/19/10 PR	\$150.00	Richard A. Licht	Officer
Carter Committee	03/19/10 PR	\$100.00	Richard A. Licht	Officer
Charlene Lima Committee	03/19/10 PR	\$100.00	Richard A. Licht	Officer
Raimondo Committee	03/19/10 PR	\$500.00	Richard A. Licht	Officer
Walaska Committee	03/19/10 PR	\$100.00	Richard A. Licht	Officer
Petrarca Committee	03/19/10 PR	\$75.00	Richard A. Licht	Officer
Michael Rice Committee	03/19/10 PR	\$50.00	Richard A. Licht	Officer
Mollis Committee	03/19/10 PR	\$150.00	Richard A. Licht	Officer
Paul Fogarty Committee	03/19/10 PR	\$100.00	Richard A. Licht	Officer
Fierro Committee	03/19/10 PR	\$50.00	Richard A. Licht	Officer
Erin Lynch Committee	03/19/10 PR	\$100.00	Richard A. Licht	Officer
Constantino Committee	03/19/10 PR	\$250.00	Richard A. Licht	Officer
Maselli Committee	03/11/10 PR	\$50.00	Richard A. Licht	Officer
Amy Rice Committee	03/11/10 PR	\$100.00	Richard A. Licht	Officer
Matiello Committee	03/11/10 PR	\$150.00	Richard A. Licht	Officer
Ciccione Committee	03/08/10 PR	\$200.00	Richard A. Licht	Officer
Anastasia Williams	03/05/10 PR	\$100.00	Richard A. Licht	Officer
Pichardo Committee	03/05/10 PR	\$50.00	Richard A. Licht	Officer

Levesque Committee	03/05/10 PR	\$50.00	Richard A. Licht	Officer
DaSilva Committee	03/05/10 PR	\$50.00	Richard A. Licht	Officer
Felag Committee	03/05/10 PR	\$75.00	Richard A. Licht	Officer
Melo Committee	03/05/10 PR	\$75.00	Richard A. Licht	Officer
Coderre Committee	03/02/10 PR	\$100.00	Richard A. Licht	Officer
Bea Lanzi Committee	03/02/10 PR	\$75.00	Richard A. Licht	Officer
Deborah Ruggiero Committee	03/02/10 PR	\$75.00	Richard A. Licht	Officer
Daponte Committee	03/02/10 PR	\$100.00	Richard A. Licht	Officer
Perry Committee	02/22/10 PR	\$100.00	Richard A. Licht	Officer
Connors Committee	02/04/10 PR	\$150.00	Richard A. Licht	Officer
Paiva Weed Committee	02/04/10 PR	\$250.00	Richard A. Licht	Officer
Gordon Fox Committee	02/04/10 PR	\$540.30	Richard A. Licht	Officer
Kilmartin Committee	01/19/10 PR	\$250.00	Richard A. Licht	Officer
Fernandez For Attorney General	01/19/10 PR	\$250.00	Richard A. Licht	Officer
Gina Raimondo	09/07/11	\$200.00	E. Hans Lundsten	Officer
Frank Caprio	08/20/10 PR	\$250.00	E. Hans Lundsten	Officer
Frank Caprio	03/18/10 PR	\$250.00	E. Hans Lundsten	Officer
Gina Raimondo	03/18/10 PR	\$150.00	E. Hans Lundsten	Officer
Gordon Fox	01/29/10 PR	\$250.00	E. Hans Lundsten	Officer
Joe Fernandez	01/20/10 PR	\$175.00	E. Hans Lundsten	Officer
Frank Caprio	03/18/10 PR	\$200.00	Geoffrey W. Millsom	Officer
Gina Raimondo	06/24/10 PR	\$100.00	Elizabeth M. Noonan	Officer
Patrick Lynch	03/31/10 PR	\$500.00	Elizabeth M. Noonan	Officer
Gina Raimondo	03/29/10 PR	\$250.00	Elizabeth M. Noonan	Officer
Peter Kilmartin	03/04/10 PR	\$150.00	Elizabeth M. Noonan	Officer
Frank Ciccone, III	03/03/10 PR	\$200.00	Elizabeth M. Noonan	Officer
Gordon Fox	02/15/10 PR	\$250.00	Elizabeth M. Noonan	Officer
Teresa Paiva Weed	02/04/10 PR	\$200.00	Elizabeth M. Noonan	Officer
Joseph Fernandez	02/03/10 PR	\$100.00	Elizabeth M. Noonan	Officer
Gina Raimondo	09/08/11	\$500.00	Patricia K. Rocha	Officer
Dominick J. Ruggerio	03/01/11 PR	\$150.00	Patricia K. Rocha	Officer
Nicholas Anthony Matiello	02/15/11 PR	\$150.00	Patricia K. Rocha	Officer
M. Teresa Paiva Weed	02/03/11 PR	\$200.00	Patricia K. Rocha	Officer

Gordon Fox	01/25/11 PR	\$200.00	Patricia K. Rocha	Officer
Lincoln Chafee	10/26/10 PR	\$250.00	Patricia K. Rocha	Officer
Frank Caprio	08/10/10 PR	\$500.00	Patricia K. Rocha	Officer
Friends of Patrick Lynch	03/29/10 PR	\$500.00	Patricia K. Rocha	Officer
Gina Raimondo	03/26/10 PR	\$250.00	Patricia K. Rocha	Officer
Daniel Connors	02/25/10 PR	\$150.00	Patricia K. Rocha	Officer
Gordon Fox	02/15/10 PR	\$250.00	Patricia K. Rocha	Officer
Teresa Paiva Weed	02/04/10 PR	\$200.00	Patricia K. Rocha	Officer
Joseph Fernandez	02/03/10 PR	\$150.00	Patricia K. Rocha	Officer
Gina Raimondo	09/08/11	\$100.00	Alan M. Shoer	Officer
Frank Caprio	08/19/10 PR	\$100.00	Alan M. Shoer	Officer
Gina Raimondo	03/26/10 PR	\$100.00	Alan M. Shoer	Officer
Frank Caprio	03/17/10 PR	\$100.00	Alan M. Shoer	Officer
Gordon Fox	02/15/10 PR	\$100.00	Alan M. Shoer	Officer
Joseph Fernandez	02/03/10 PR	\$100.00	Alan M. Shoer	Officer
Gina Raimondo	09/08/11	\$200.00	Lori C. Silveira	Officer
Senate Democrats	05/26/11 PR	\$125.00	Lori C. Silveira	Officer
Teresa Paiva Weed	02/03/11 PR	\$200.00	Lori C. Silveira	Officer
Lincoln Chafee	01/28/11 PR	\$250.00	Lori C. Silveira	Officer
Lincoln Chafee	12/16/10 PR	\$250.00	Lori C. Silveira	Officer
Lincoln Chafee	10/29/10 PR	\$100.00	Lori C. Silveira	Officer
Frank Caprio	08/16/10 PR	\$250.00	Lori C. Silveira	Officer
Gina Raimondo	03/25/10 PR	\$100.00	Lori C. Silveira	Officer
Frank Caprio	03/22/10 PR	\$99.00	Lori C. Silveira	Officer
Gordon Fox	01/28/10 PR	\$250.00	Lori C. Silveira	Officer
Joe Fernandez	01/13/10 PR	\$250.00	Lori C. Silveira	Officer
Gina Raimondo	07/07/11	\$500.00	Robert I. Stolzman	Officer
Lincoln Chafee	01/28/11 PR	\$500.00	Robert I. Stolzman	Officer
Lincoln Chafee	10/27/10 PR	\$500.00	Robert I. Stolzman	Officer
Joe Fernandez	08/09/10 PR	\$250.00	Robert I. Stolzman	Officer
Anastasia Williams	07/20/10 PR	\$100.00	Robert I. Stolzman	Officer
Brian Patrick Kennedy	04/12/10 PR	\$125.00	Robert I. Stolzman	Officer
Gina Raimondo	03/25/10 PR	\$500.00	Robert I. Stolzman	Officer

Charlene Lima	03/24/10 PR	\$100.00	Robert I. Stolzman	Officer
Frank Caprio	03/18/10 PR	\$1,000.00	Robert I. Stolzman	Officer
Amy Rice	03/17/10 PR	\$150.00	Robert I. Stolzman	Officer
Patrick Lynch	03/16/10 PR	\$1,000.00	Robert I. Stolzman	Officer
Elizabeth Roberts	03/15/10 PR	\$1,000.00	Robert I. Stolzman	Officer
Dan Connors	02/24/10 PR	\$150.00	Robert I. Stolzman	Officer
Teresa Paiva Weed	02/04/10 PR	\$200.00	Robert I. Stolzman	Officer
Gordon Fox	01/28/10 PR	\$500.00	Robert I. Stolzman	Officer
Joe Fernandez	01/13/10 PR	\$250.00	Robert I. Stolzman	Officer
Gina Raimondo	09/06/11	\$200.00	John A. Tarantino	Officer
Daniel Daponte	05/23/11 PR	\$100.00	John A. Tarantino	Officer
Helio Melo	05/14/11 PR	\$150.00	John A. Tarantino	Officer
Brian Kennedy	05/02/11 PR	\$100.00	John A. Tarantino	Officer
Nicholas Mattiello	02/14/11 PR	\$100.00	John A. Tarantino	Officer
Teresa Paiva Weed	02/02/11 PR	\$200.00	John A. Tarantino	Officer
Gordon Fox	01/25/11 PR	\$200.00	John A. Tarantino	Officer
Frank Caprio	08/18/10 PR	\$500.00	John A. Tarantino	Officer
Friends of Gina Raimondo	03/23/10 PR	\$250.00	John A. Tarantino	Officer
Friends of Mollis Committee	03/22/10 PR	\$150.00	John A. Tarantino	Officer
Caprio Committee	03/13/10 PR	\$250.00	John A. Tarantino	Officer
Connors Campaign Committee	02/23/10 PR	\$150.00	John A. Tarantino	Officer
Joe Fernandez for AG	01/28/10 PR	\$100.00	John A. Tarantino	Officer
Friends of Gordon Fox	01/12/10 PR	\$250.00	John A. Tarantino	Officer
Gina Raimondo	09/08/11	\$200.00	R. Bart Totten	Officer
Lincoln Chafee	01/28/11 PR	\$250.00	R. Bart Totten	Officer
Gina Raimondo	06/08/10 PR	\$500.00	R. Bart Totten	Officer
Frank Caprio	03/22/10 PR	\$500.00	R. Bart Totten	Officer
Gina Raimondo	09/08/11	\$200.00	David A. Wollin	Officer
Frank Caprio	08/16/10 PR	\$250.00	David A. Wollin	Officer
Joe Fernandez	06/21/10 PR	\$100.00	David A. Wollin	Officer
Lincoln Chafee	05/01/10 PR	\$250.00	David A. Wollin	Officer

Daniel P. Connors	02/25/10 PR	\$150.00	David A. Wollin	Officer
Gordon Fox	02/15/10 PR	\$250.00	David A. Wollin	Officer
Previously Reported: \$71,872.48				
This Report: \$7,995.58				

319007.13

Attachment

11. If yes, what is the name of the state agency to which you are providing goods and/or services

- Department of Administration
- Employees Retirement System of the State of Rhode Island
- Narragansett Bay Commission
- Quonset Development Corporation
- Rhode Island Airport Corporation
- Rhode Island Board of Governors For Higher Education
- Rhode Island Convention Center Authority
- Rhode Island Economic Development Corporation
- Rhode Island Health and Educational Building Corporation
- Rhode Island Public Transit Authority
- Rhode Island Resource Recovery Corporation
- Rhode Island State Investment Commission
- Rhode Island Turnpike & Bridge Authority
- Secretary of State
- State of Rhode Island

18. What is the total gross amount, in dollars, of contracts entered into during the said preceding 24 month period between the contracting party and ALL state agencies? Include all contracts regardless of amount. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, **please so state by marking "Yes."** NOTE: If this is a semi annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report the total gross amount, in dollars, of contracts entered into from the date of execution of the last contract entered into during such semi-annual period to the day which is 24 months prior to the first day of the same semi-annual period

<u>Agency</u>	<u>Fees Received</u> <u>01/01/10 – 12/31/11</u>
Department of Administration	\$ 21,720.00
Employees' Retirement System of the State of Rhode Island	56,948.72
Narragansett Bay Commission	24,025.00
Quonset Development Corporation	1,812.50
Rhode Island Airport Corporation	231,903.51
Rhode Island Board of Governors For Higher Education.....	194,423.06
Rhode Island Convention Center Authority.....	89,170.93
Rhode Island Economic Development Corporation	585,118.96
Rhode Island Health and Educational Building Corporation.....	203,648.79
Rhode Island Public Transit Authority	78,554.98
Rhode Island Resource Recovery Corporation.....	30,719.18
Rhode Island State Investment Commission	136,072.25
Rhode Island Turnpike & Bridge Authority	125,428.84
Secretary of State.....	8,790.00
State of Rhode Island.....	97,965.00
	<u>\$ 1,886,301.72</u>

ADLER POLLOCK & SHEEHAN P.C.

One Citizens Plaza, 8th floor
Providence, RI 02903-1345
Telephone 401-274-7200
Fax 401-751-0604 / 351-4607

175 Federal Street
Boston, MA 02110-2210
Telephone 617-482-0600
Fax 617-482-0604

www.apslaw.com

November 8, 2011

Mark A. Dingley
General Counsel/Deputy Treasurer
Office of the General Treasurer
State House, Room 102
Providence, Rhode Island 02903

Dear Mark:

We are pleased that you have asked Adler Pollock & Sheehan P.C. to represent the Rhode Island State Investment Commission in connection with the review of hedge fund investments. This letter is sent in accordance with the Rhode Island Rules of Professional Conduct and will confirm our arrangement for services to be rendered.

As we discussed, I will have primary responsibility in the firm's representation but we may call on other lawyers as necessary. In addition, in certain routine aspects not requiring a lawyer, we may call upon one or more of our legal assistants.

Our fees will be based on the amount time spent on your behalf. Each lawyer and legal assistant has an hourly billing rate. Our government rates are \$250 per hour for partners; \$200 per hour for associates; and \$125 for legal assistants. I hereby certify that these rates do not exceed the rates we charge our preferred public or private clients for work of this type.

We appreciate the opportunity to be of service to you in this matter and thank you for the confidence you placed in us by asking that we undertake this representation.

Very truly yours,

Sally

SARAH T. DOWLING

STD/rmd

Agreed:

By:

Name: Mark A. Dingley

Title: General Counsel/Deputy Treasurer

589023.1

11/17/11

ADLER POLLOCK & SHEEHAN P.C.

One Citizens Plaza, 8th floor
Providence, RI 02903-1345
Telephone 401-274-7200
Fax 401-751-0604 / 351-4607

175 Federal Street
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Telephone 617-482-0600
Fax 617-482-0604

www.apslaw.com

September 15, 2011

Steven J. King, P.E.
Quonset Development Corporation
Managing Director
95 Cripe Street
North Kingstown, RI 02852

Re: *Quonset Development Corporation*

Dear Steve:

This letter will confirm that you have asked me to shift QDC billing from the Rhode Island Economic Development Corporation directly to the QDC. Pursuant to our Rules of Professional Responsibility, this letter will confirm our billing arrangement with QDC. We will bill you on a monthly basis for time expended on behalf of QDC. We will utilize our governmental blended rate of \$250 per hour (as we have been doing) which is discounted pursuant to state law and applicable executive orders.

We will not bill you for ordinary out-of-pocket expenses (e.g., photocopy, first-class postage, etc.). However, in the event we incur extraordinary out-of-pocket expenses on your behalf (e.g., overnight express, witness fees, filing fees, and deliveries, etc.) we will bill you for those along with our monthly invoices for time expended on your behalf.

Also pursuant to our Rules of Professional Responsibility, I am enclosing our standards terms and conditions for your records.

Thank you for allowing us the opportunity to serve and the Quonset Development Corporation.

Sincerely,


ROBERT I. STOLZMAN

RIS:ll
Enclosures

ADLER POLLOCK & SHEEHAN P.C.,
a Rhode Island Professional Corporation ("AP&S")
CLIENT INFORMATION SHEET

This information about our client-attorney relationship shall constitute part of your Engagement Letter with us. If there is any difference between the information in this document and the Engagement Letter, the provisions of the Engagement Letter shall apply to our relationship.

Scope of Engagement.

We will represent you in the specific matters described in the Engagement Letter (the "Matter"), and will not perform other legal work without consultation and authorization from you.

We expect that you will cooperate with us and will fully and accurately disclose to us all facts and documents that may be relevant to the Matter or that we may otherwise request. For instance, you must be reasonably available to attend meetings, discovery proceedings, conferences and other proceedings.

You authorize and direct us to take all actions which we deem advisable on your behalf in the Matter except those specific decisions, if any, set forth in the Engagement Letter which must be made by you. We will notify you of significant developments and consult with you in advance of any significant decisions.

We will use our best professional efforts in representing you in the Matter; however, we have given no assurances to you regarding the outcome. Any statement of opinion or beliefs concerning the Matter or the results that might be anticipated made by any employee of AP&S is based on the information available at the time and should not be construed as a promise or guaranty.

Fees and Disbursements.

Our fees for services shall be determined based upon the prevailing hourly rates of attorneys, paralegals and staff support involved in the Matter. The present hourly rates of the attorney in charge of the Matter and the associates and legal assistants expected to be involved in the Matter are set forth in the Engagement Letter. Those rates are reviewed and modified from time to time and we will apply the new rates as they are so modified. The prevailing hourly rates are available to you upon request.

You understand that we may have to retain certain persons or entities to perform services related to the Matter, such as local counsel, corporate filing and service agencies, real estate appraisers, expert witnesses, investigators, consultants and title examiners, among others. You authorize us to direct such persons and entities to render statements for services rendered and expenses either directly to you or to us. In such latter event, you shall promptly reimburse us for the full amount of such statements.

Additionally, federal courts (and possibly some state courts) require that transcripts of proceedings be reviewed to redact certain kinds of personal identification, as well as private or confidential information. To the extent these fees are incurred, you agree to pay for our time in complying with these rules and procedures.

We do not directly bill for domestic telephone charges, copying done at the firm or non-priority mail postage. However, we may incur various other expenses in providing services to you in the Matter such as filing fees, courier services, recording or certification charges, witness fees, international telephone charges, overtime clerical assistance, travel expenses, priority mail postage, copying done outside of our office and computerized research charges. You shall promptly reimburse us for all such

expenses or, if you are billed directly for those expenses, make prompt, direct payment to the originators of those services.

We will bill you monthly and you will pay AP&S legal fees and expenses within thirty (30) days of the date of invoice. Any requested retainer or fee advance must be paid prior to the commencement of representation and will be applied against invoices for fees and disbursements in such manner as AP&S determines. No interest shall accrue on any retainer or fee advance.

Invoices shall include a summary description of the services performed by AP&S and a listing of all disbursements, charges and expenses for which AP&S seeks reimbursement. More detailed billing formats are available upon request.

The fees and costs relating to the Matter generally are not predictable. Accordingly, AP&S has made no commitment to you concerning the maximum fees and costs that will be necessary to resolve or complete the Matter. Any projection or budget of fees and costs represents only an estimate of such fees and costs. It is also expressly understood that payment of AP&S's fees and costs is in no way contingent on the ultimate outcome of the Matter.

General Matters.

Adler Pollock & Sheehan P.C. is a professional corporation organized under the corporate laws of the State of Rhode Island, and for that reason its shareholders are afforded limited liability with respect to corporate obligations under applicable corporate law.

Adler Pollock & Sheehan P.C. may from time to time refer to its shareholders as "partners" as a matter of informal professional courtesy and custom. The term "partner" has been historically used as an informal and honorary designation of attorneys who have attained senior professional status or in recognition of other professional achievements. The use of the term "partner" does not imply or suggest that the firm is a legal partnership or that a "partner" has any personal liability with respect to the obligations of Adler Pollock & Sheehan P.C.

We will conduct our internal conflict identification procedure prior to the commencement of our representation of you in the Matter in reliance upon the accuracy and completeness of information furnished by you to us. If, in the course of representing you in the Matter, we determine, in our sole discretion, that a conflict of interest exists, AP&S will notify you of such conflict and may withdraw from representing you in the Matter to the extent that such a withdrawal would be permitted or required by applicable provisions of the applicable Rules of Professional Conduct, by local law or by the rules of courts having jurisdiction over the Matter.

Subject to the applicable Rules of Professional Conduct, local law, or rules of courts having jurisdiction, upon withdrawal from, or termination or conclusion of, the representation of you in the Matter, we shall have the right to accept engagements to represent other clients in matters which are adverse to you.

We shall have the right to withdraw from representation of you in the Matter if you fail to perform as set forth herein or for any reason that is permitted or required by the applicable Rules of Professional Conduct, by local law, or by the rules of courts having jurisdiction over the Matter.

You shall have the right, in your sole discretion, to terminate our representation of you in the Matter and shall notify us in writing of any such termination.

Unless previously terminated, our representation of you will terminate when we send you a final invoice for services rendered in the Matter. Following termination, we will not undertake to keep you informed of developments or circumstances which might occur subsequent to the withdrawal from, or termination or conclusion of, the representation.

Upon conclusion, termination or withdrawal of our representation of you in the matter, you shall promptly pay all fees, charges and expenses incurred or accrued through the date of conclusion, termination or withdrawal; and you shall also promptly pay to AP&S all charges and expenses, charged at the then prevailing rate, related to the transfer of files of AP&S and/or the providing of information therein to you and/or your new legal counsel. At such times as you request, we will consult with your new counsel after termination at our then hourly rates. AP&S files, including lawyer work product pertaining to the matter, will be retained by AP&S. These firm files include, for example, firm administrative records, time and expense reports, personnel and staffing materials and credit and accounting records, any internal lawyers' work product such as drafts, notes, internal memorandum and legal and factual research including investigative reports prepared by or for the internal use of lawyers. All documents retained by AP&S (including any papers you have not requested) will be transferred to the person responsible for administering our Records Retention Program. Consistent with the applicable Rules of Professional Conduct, AP&S reserves the right to destroy or otherwise dispose of any such documents or other materials retained by us within a reasonable time after the conclusion of the engagement.

In the event of any dispute between us, we each agree to submit to non-binding mediation prior to the institution of legal proceedings.

Privacy Policy Notice.

Attorneys, like other professionals who are "significantly engaged" in providing advice on various personal financial matters, may now be required by recent federal laws and regulations to inform certain present and former clients of the attorneys' policies regarding the privacy of client information. It is not clear whether such laws and regulations apply to AP&S, or that you fall within the category of persons who should receive such a notice. Without accepting or conceding the applicability of those federal requirements, AP&S is nonetheless providing this statement of its privacy policy to you out of an abundance of caution.

Attorneys are bound by professional standards of confidentiality that are even more stringent than those required by the federal requirements. Therefore, AP&S has always protected its clients' rights to privacy under the professional standards applicable to attorneys, and will continue to do so in the future whether or not the federal requirements apply.

In the course of providing our clients with advice on financial matters such as taxation, estate planning, real estate transactions, debt collection and other services, AP&S may receive significant personal financial information from our clients. All present and former clients of AP&S should know that any information that is received from them is held in confidence, and is not released to people outside the firm, except as agreed to by the client, or as required under an applicable law.

AP&S retains records relating to professional services that are provided to clients so as to enable AP&S to assist its clients with their professional needs and, in some cases, to comply with professional guidelines. In order to guard clients' nonpublic personal information, AP&S maintains physical, electronic, and procedural safeguards that comply with the professional standards applicable to attorneys.



State of Rhode Island and Providence Plantations
General Treasurer
State House - 102
Providence, Rhode Island 02903

Gina M. Raimondo
General Treasurer

August 25, 2011

Paul A. Campellone, Esq.
Adler Pollock & Sheehan P.C.
One Citizens Plaza, 8th Floor
Providence, RI 02903-1345

Dear Mr. Campellone:

Enclosed are three (3) original copies of an engagement letter for Adler Pollock & Sheehan P.C. to serve as disclosure counsel for the State of Rhode Island. Each engagement letter has been signed by the State, specifically by Mark A. Dingley, Deputy General Treasurer/ General Counsel and Claire Richards, Chief Legal Officer.

Upon acceptance of the terms of the engagement letter, please sign each of the three (3) original copies and return two (2) of the original copies to Mark A. Dingley, Deputy General Treasurer/ General Counsel, State House Room 102, 82 Smith Street, Providence, RI 02903.

If you have questions or concerns, please contact the undersigned at (401) 222-4780.

Sincerely,

A handwritten signature in black ink, appearing to read "Mark", written over a horizontal line.

Mark A. Dingley
Deputy General Treasurer/ General Counsel
Office of the General Treasurer

Enclosures



State of Rhode Island and Providence Plantations
General Treasurer
State House - 102
Providence, Rhode Island 02903

Gina M. Raimondo
General Treasurer

August 25, 2011

Paul A. Campellone, Esq.
Adler Pollock & Sheehan P.C.
One Citizens Plaza, 8th Floor
Providence, RI 02903-1345

Dear Mr. Campellone:

This will confirm the engagement of Adler Pollock & Sheehan P.C. (the "Firm") to serve as disclosure counsel to the State of Rhode Island (the "State") for a one-year period commencing July 1, 2011 (the "Period of Engagement"). The State shall have the option to extend this engagement for an additional one-year period under the same terms and conditions contained in this engagement by providing the Firm with written notice of the extension prior to the expiration of this engagement.

Scope

The scope of services to be performed by the Firm as disclosure counsel is set forth in our Request for Proposals to serve as Bond and Disclosure Counsel to the State (the "RFP") and your response thereto dated April 15, 2011.

The State has committed to utilizing the Firm and a certain other firm as disclosure counsel on its bond issues for the Period of the Engagement. However, the State has reserved the right to retain other disclosure counsel in the event the Firm and the other disclosure counsel have conflicts. This does not mean that the Firm is entitled to represent the State on any particular bond issue. Rather, the Firm acknowledges that the State reserves the right to adjust the rotation of disclosure counsel to reflect conflicts with one of the other disclosure counsel firms or to distribute disclosure counsel work equitably in the discretion of the State. In short, the Firm agrees that the selection of disclosure counsel for any particular bond issue will remain in the sole discretion of the State.

The Firm further agrees to refrain from representing any other party for any bond issue for which it is serving as the State's disclosure counsel. However, on those bond issues for which it is not serving as disclosure counsel for the State, the Firm may serve as counsel for any other party who requests the Firm's representation, provided there is not a conflict with the State's interests which is not waivable under the Rules of Professional Conduct.

Fees for Services

The Firm agrees to bill at a blended hourly rate of \$235 for partners; \$175 for associates; and \$115 for legal assistants. The Firm also agrees that its fees, exclusive of any reasonable out of pocket disbursements, if any, for each separate bond, note or certificate of participation issuance will not exceed \$25,000 to the extent such fees relate solely to such issuance. The Firm will be reimbursed for any reasonable out-of-pocket expenses. The Firm will not charge for any inside copying or faxes. The same fee structure will be retained if the contract is extended for an additional year.

The engagement shall include collaborating with the Office of the General Treasurer, State Budget Office and with the State's bond counsel to prepare the State's official statement, issuing opinions of disclosure counsel, developing and reviewing the State's policies and practices regarding compliance with SEC regulations, providing counsel when appropriate on disclosure matters facing the State, preparing and filing, if required, notifications as it may relate to a particular issuance with the Electronic Municipal Market Access System and otherwise serving in the capacity as the State's disclosure counsel.

In addition, the Firm shall assist the State during the engagement with making continuing disclosure filings in accordance with the continuing disclosure undertakings of the State. It is intended that such assistance will be provided by the Firm without compensation beyond the compensation noted above to be provided in connection with services rendered on a particular bond, note or certificate of participation issuance. Notwithstanding the foregoing, if it is not anticipated that the Firm will be engaged to render services on particular bond, note or certificate of participation issuances of a sufficient number to result in the firm being adequately compensated for such continuing disclosure services to be provided, then the State and the Firm shall mutually agree upon alternate means of compensating the Firm for such continuing disclosure services before the Firm shall be required to render such services in connection with this engagement.

General Advice

The Firm will provide general advice on disclosure matters to the State as reasonably needed and will not seek additional compensation. However, for work substantially beyond the scope of work contemplated in the RFP, as mutually determined by the State and the Firm, the Firm will bill at the same blended hourly rate of \$235 per hour for partners; \$175 per hour for associates; and \$115 per hour for legal assistants. Fees for these services will be based upon an hourly basis, unless a fixed fee option is agreed upon by the Firm and the State. The State and the Firm agree to execute a separate written agreement for any work substantially beyond the scope of work contemplated in the RFP. No additional fees shall be paid by the State unless such writing is executed by the parties hereto.

Extended Term

If any of the transactions is scheduled to close, but does not close by June 30, 2012 (or June 30, 2013 in the event of an extension) for any reason, then the term of this engagement shall be extended at no extra cost to the State through the closing of such transaction or transactions, provided, however, if by September 30, 2012 (or September 30, 2013 in the event of an extension), such a transaction or transactions has not closed, then any further terms for extension shall be agreed upon by both parties.

Most Favored Rates

The Firm certifies to the State that all rates of compensation set forth herein do not exceed the rates of compensation charged by the Firm to its preferred public or private clients for similar services.

Billings

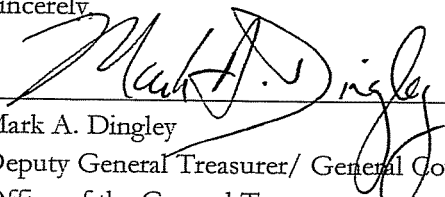
The Firm will provide the Office of the General Treasurer with statements for services rendered. Disbursements shall be at a reasonable rate and detailed records shall accompany each bill. When billed in connection with financings, the Firm's fees will be paid at the time of closing.

Conflicts of Interest

By accepting this engagement as disclosure counsel, the Firm acknowledges that it is acting as disclosure counsel for the State of Rhode Island. The Firm acknowledges that Rule 1.7 of the Rules of Professional Conduct sets forth the standard and the method of resolving any conflict of interest. If consent of the client is required pursuant to Rule 1.7 of the Rules of Professional Conduct, the Firm agrees that such consent must be received from both the Governor and the General Treasurer or their designees. Notwithstanding anything herein to the contrary, the conflict of interest provision set forth above is not intended to prohibit the Firm from representing, or requiring the Firm to obtain consent from the State to represent, any client before or against any instrumentality or department of government, quasi-public entity formed by the General Assembly or state bureau, commission, agency, board or entity of the State, including, without limitation, in any hearing or litigation.

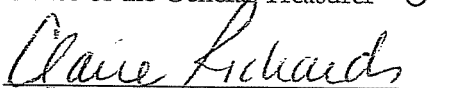
If the foregoing is an accurate confirmation of the terms of the Firm's engagement, please indicate your agreement by signing and returning to me a duplicate of this letter.

Sincerely



Mark A. Dingley
Deputy General Treasurer/ General Counsel
Office of the General Treasurer

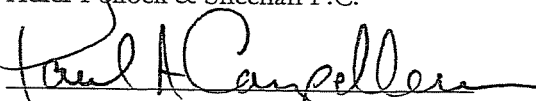
8/25/11
Date



Claire Richards
Chief Legal Officer
Office of the Governor

8/25/11
Date

Accepted and Agreed:
Adler Pollock & Sheehan P.C.



Paul A. Campellone, Esq.

9/2/11
Date

ADLER POLLOCK & SHEEHAN P.C.

One Citizens Plaza, 8th floor
Providence, RI 02903-1345
Telephone 401-274-7200
Fax 401-751-0604 / 351-4607

175 Federal Street
Boston, MA 02110-2210
Telephone 617-482-0600
Fax 617-482-0604

www.apslaw.com

July 27, 2011

VIA HAND DELIVERY

Board of Elections
Campaign Finance Division
50 Branch Avenue
Providence, Rhode Island 02904

2011

**Re: Affidavit of State Vendor
Under Chapter 17-27 of the General Laws of Rhode Island**

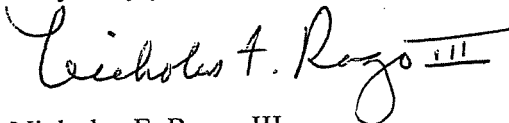
Ladies and Gentlemen:

Enclosed is the Affidavit of State Vendor on behalf Adler Pollock & Sheehan P.C. for the period January 1, 2011 through June 30, 2011.

Please acknowledge receipt by signing the enclosed copy of this letter and returning it to me in the envelope provided.

Thank you.

Very truly yours,



Nicholas F. Rago, III
Executive Director

NFR/rmd
Enclosures

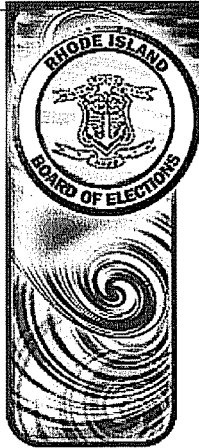
Receipt acknowledged:

Name:

Date:

482932.6

11 JUL 27 PM 3:33
BOARD OF ELECTIONS
RHODE ISLAND



State of Rhode Island and Providence Plantations
Campaign Finance Electronic Reporting & Tracking System (ERTS)

Vendor Affidavit

[Help with this page](#)

| v 1.6.36

AFFIDAVIT OF STATE VENDOR

1. Name, address and telephone number of person making this affidavit

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Prefix	MR.
First Name	Nicholas
Middle Name	F
Last Name	Rago
Suffix	III
Street Address	One Citizens Plaza, 8th Floor
Street Address2	
City/Town, State and Zip Code	Providence RI 02903-1345
Phone Number	401 274-7200

2. Within the 24 month period preceding the date of the contract with the state agency, or with respect to the contracts that are not in writing within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, any member of the general assembly or candidate for general assembly, or any R.I. political party? (includes an individual contracting party, or business entity on behalf of a related party, or a related party reporting on his/her own behalf) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

(If you checked "No", above, do not attach a copy of the state contract, or written summary, and do not complete remainder of form.)

Yes No

3. If the answer to question 2 is "Yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributions even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

[Add](#)

Name of Officer, Candidate or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
-----------------------------------------------	----------------------	------------------------	---------------------	-----------------------------------

4. What is the date of execution of the written contract requiring filing of this affidavit
 [Such contract must be filed with this affidavit] **N/A - SEMI-ANNUAL REPORTING**
5. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold? N/A *
6. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

Agency	Goods / Services	Contract Expire Date
N/A	N/A	N/A *

7. Is this affidavit made by you as an individual or on behalf of a business entity?
- Individual
 Business Entity

8. If on behalf of a business entity, what is the name and nature of the business entity?
- Business Name**
 Adler Pollock & Sheehan P.C.
- FEIN#**
 05-0343749
- Corporation Partnership Sole Proprietorship Other Business Entity

9. If "Other Business Entity" is checked above what is the nature of such business entity?
-

10. Are you a state vendor because you are the contracting party in a contract with a state agency?
- Yes
 No

11. If yes, what is the name of the state agency to which you are providing goods and/or services?
- SEE ATTACHED

12. If the answer to question 10 is "no", are you a state vendor because you are related to a contracting party?
- Yes
 No

13. If the answer to question 12 is "yes", what is the nature of your relationship with the contracting party?
-

14. If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.

Name of Contracting Party	
Address1	
Address2	
City, State and Zip	
State Agency	

15. If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable contributions made by such related parties?
- Yes
 No

16. If the answer to question 15 is "no", have you notified all such parties of the requirements and the law and of their obligation to file this affidavit if they have made reportable political contributions?
- Yes
 No

17. If the answer to question 16 is "yes", provide the names and addresses of related parties who might be required to file this affidavit and the nature of such relationship.

Add

Parties Name	Address	Relationship
--------------	---------	--------------

18. What is the total gross amount, in dollars, of contracts entered into during the said preceding 24 month period between the contracting party and ALL state agencies? Include all contracts regardless of amount. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state by marking "Yes." NOTE: If this is a semi annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report the total gross amount, in dollars, of contracts entered into from the date of execution of the last contract entered into during such semi-annual period to the day which is 24 months prior to the first day of the same semi-annual period.
- Yes
 No

SEE ATTACHED

Add

State Agency	Date of Contract	Amount of Contract	FEIN #	P.O. #
--------------	------------------	--------------------	--------	--------



State of:

RI

County of:

Providence



Carol M. Johnson

Notary Public

My Commission Expires: 6/14/13

Nicholas F. Rago, III

Nicholas F. Rago, III

Executive Director

Date: July 27, 2011

General Officer Candidate for General Office or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
Frank Caprio	08/20/10 PR	\$100.00	Alison L. Albanese	Associate
Michael J. Marcello	06/02/10 PR	\$100.00	Alison L. Albanese	Associate
A. Ralph Mollis	10/25/10 PR	\$200.00	Christopher Mulhearn	Spouse of Associate
A. Ralph Mollis	09/02/10 PR	\$250.00	Christopher Mulhearn	Spouse of Associate
Ralph Mollis	03/31/10 PR	\$300.00	Christopher Mulhearn	Spouse of Associate
Friends of Dan DaPonte	05/23/11	\$150.00	Victoria M. Almeida	Officer
Friends of Michael McCaffrey	05/17/11	\$100.00	Victoria M. Almeida	Officer
Friends of Joe McNamara	05/04/11	\$100.00	Victoria M. Almeida	Officer
Friends of Brian Kennedy	05/02/11	\$100.00	Victoria M. Almeida	Officer
Senator Lou DePalma Committee	04/27/11	\$150.00	Victoria M. Almeida	Officer
Senator Dominick J. Ruggiero Committee	02/28/11	\$150.00	Victoria M. Almeida	Officer
Paiva-Weed for Senate	02/02/11	\$200.00	Victoria M. Almeida	Officer
Chafee For Governor In Kind	01/28/11	\$1,000.00	Victoria M. Almeida	Officer
Friends of Gordon Fox	01/25/11	\$200.00	Victoria M. Almeida	Officer
Committee to Elect Catherine Taylor	11/01/10 PR	\$500.00	Victoria M. Almeida	Officer
Committee to Elect Catherine Taylor	09/23/10 PR	\$500.00	Victoria M. Almeida	Officer
Chafee for Governor	04/18/10 PR	\$500.00	Victoria M. Almeida	Officer
Chafee for Governor	03/25/10 PR	\$500.00	Victoria M. Almeida	Officer
Friends of Gina Raimondo	03/24/10 PR	\$500.00	Victoria M. Almeida	Officer
The Caprio Committee	03/11/10 PR	\$500.00	Victoria M. Almeida	Officer
Joe Fernandez for AG	01/20/10 PR	\$200.00	Victoria M. Almeida	Officer
The Caprio Committee	12/21/09 PR	\$500.00	Victoria M. Almeida	Officer
Friends of A. Ralph Mollis	12/01/09 PR	\$150.00	Victoria M. Almeida	Officer
Friends of Costantino	12/01/09 PR	\$250.00	Victoria M. Almeida	Officer
Friends of Patrick Lynch	09/23/09 PR	\$250.00	Victoria M. Almeida	Officer
Frank Caprio	08/12/10 PR	\$250.00	Joseph Avanzato	Officer
Friends of Ralph Mollis	03/23/10 PR	\$500.00	Joseph Avanzato	Officer
Friends of Gina Raimondo	03/18/10 PR	\$500.00	Joseph Avanzato	Officer
The Caprio Committee	03/18/10 PR	\$500.00	Joseph Avanzato	Officer

Friends of Gordon Fox	01/19/10 PR	\$500.00	Joseph Avanzato	Officer
Peter Kilmartin	06/15/11	\$100.00	Richard R. Beretta, Jr.	Officer
Eileen Naughton	06/14/11	\$75.00	Richard R. Beretta, Jr.	Officer
John McCauley	06/09/11	\$50.00	Richard R. Beretta, Jr.	Officer
Dan DaPonte	05/23/11	\$150.00	Richard R. Beretta, Jr.	Officer
Helio Mello	05/19/11	\$150.00	Richard R. Beretta, Jr.	Officer
Mike McCaffrey	05/17/11	\$100.00	Richard R. Beretta, Jr.	Officer
Donna Walsh	05/17/11	\$50.00	Richard R. Beretta, Jr.	Officer
Steven Ucci	05/16/11	\$50.00	Richard R. Beretta, Jr.	Officer
Mary Ellen Goodwin	05/16/11	\$75.00	Richard R. Beretta, Jr.	Officer
Peter Palumbo	05/16/11	\$75.00	Richard R. Beretta, Jr.	Officer
Ray Gallison	05/16/11	\$50.00	Richard R. Beretta, Jr.	Officer
Joseph McNamara	05/04/11	\$100.00	Richard R. Beretta, Jr.	Officer
Brian Kennedy	05/02/11	\$150.00	Richard R. Beretta, Jr.	Officer
Louis DiPalma	04/28/11	\$100.00	Richard R. Beretta, Jr.	Officer
Cale Keable	04/28/11	\$75.00	Richard R. Beretta, Jr.	Officer
William Walaska	04/27/11	\$100.00	Richard R. Beretta, Jr.	Officer
Deborah Ruggiero	04/27/11	\$75.00	Richard R. Beretta, Jr.	Officer
Patrick O'Neill	04/12/11	\$100.00	Richard R. Beretta, Jr.	Officer
Michael Marcello	04/12/11	\$50.00	Richard R. Beretta, Jr.	Officer
Teresa Paiva-Weed	04/11/11	\$250.00	Richard R. Beretta, Jr.	Officer
Rhoda Perry	04/11/11	\$100.00	Richard R. Beretta, Jr.	Officer
Joshua Miller	04/07/11	\$150.00	Richard R. Beretta, Jr.	Officer
John Tassoni	04/07/11	\$100.00	Richard R. Beretta, Jr.	Officer
Wally Felag	03/30/11	\$75.00	Richard R. Beretta, Jr.	Officer
Domenic Ruggiero	03/01/11	\$250.00	Richard R. Beretta, Jr.	Officer
Nick Mattiello	02/15/11	\$250.00	Richard R. Beretta, Jr.	Officer
Chafee for Governor	10/26/10 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Rhode Island Democratic Party	10/06/10 PR	\$500.00	Richard R. Beretta, Jr.	Officer
Dan DaPonte	10/06/10 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Josh Miller	09/23/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Jon Brien	08/26/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Frank Caprio	08/20/10 PR	\$500.00	Richard R. Beretta, Jr.	Officer

Peter Kilmartin	06/29/10 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Elizabeth Roberts	06/25/10 PR	\$200.00	Richard R. Beretta, Jr.	Officer
Joe Fernandez	06/25/10 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Steve Costantino	06/24/10 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Deb Ruggiero	06/03/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Tim Williamson	05/31/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Senator Goodwin	05/12/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Don Lally	05/11/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Senator McCaffrey	05/11/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Representative McCauley	05/07/10 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Joe McNamara	04/29/10 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Greg Schadone	04/29/10 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Peter Palumbo	04/27/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Brian Patrick Kennedy	04/12/10 PR	\$125.00	Richard R. Beretta, Jr.	Officer
Pat O'Neil	04/10/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
David Caprio	04/06/10 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Elizabeth Roberts	03/31/10 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Gina Raimondo	03/26/10 PR	\$990.18	Richard R. Beretta, Jr.	Officer
Jon Tassoni	03/26/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Patrick Lynch	03/26/10 PR	\$500.00	Richard R. Beretta, Jr.	Officer
Peter Petrarca	03/25/10 PR	\$75.00	Richard R. Beretta, Jr.	Officer
Bill Walaska	03/25/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Lou DePalma	03/25/10 PR	\$75.00	Richard R. Beretta, Jr.	Officer
Mike Marcello	03/25/10 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Josh Miller	03/25/10 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Ralph Mollis	03/23/10 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Gordon Fox	03/22/10 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Steve Costantino	03/22/10 PR	\$200.00	Richard R. Beretta, Jr.	Officer
Nick Mattiello	03/16/10 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Frank Caprio	03/16/10 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Sue Sosnowski	03/15/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Wally Felag	03/15/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Deb Ruggiero	03/03/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer

Dan Deponete	03/03/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Dan Connors	02/24/10 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Teresa Paiva Weed	02/03/10 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Gordon Fox	01/28/10 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Joseph Fernandez	01/21/10 P R	\$50.00	Richard R. Beretta, Jr.	Officer
Frank Caprio	12/15/09 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Patrick Lynch	12/09/09 PR	\$125.00	Richard R. Beretta, Jr.	Officer
Steven Costantino	11/30/09 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Ralph Mollis	11/30/09 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Peter Kilmartin	11/12/09 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Lincoln Chafee	12/16/10 PR	\$250.00	Amy Beretta	Spouse of Officer
Theresa Paiva-Weed	10/17/10 PR	\$250.00	Amy Beretta	Spouse of Officer
George Alzeback	10/13/10 PR	\$100.00	Amy Beretta	Spouse of Officer
Frank Caprio	08/20/10 PR	\$1,000.00	Amy Beretta	Spouse of Officer
Frank Caprio	11/05/09 PR	\$1,000.00	Amy Beretta	Spouse of Officer
Lincoln Chafee	01/28/11	\$250.00	Robert P. Brooks	Officer
Frank Caprio	09/23/10 PR	\$500.00	Robert P. Brooks	Officer
Frank Caprio	08/01/10 PR	\$500.00	Robert P. Brooks	Officer
Peter Kilmartin	07/01/10 PR	\$150.00	Robert P. Brooks	Officer
Friends of Gina Raimondo	03/24/10 PR	\$250.00	Robert P. Brooks	Officer
The Caprio Committee	03/21/10 PR	\$250.00	Robert P. Brooks	Officer
Frank Caprio	12/17/09 PR	\$250.00	Robert P. Brooks	Officer
Patrick Lynch	11/20/09 PR	\$150.00	Robert P. Brooks	Officer
Frank T. Caprio	03/27/09 PR	\$250.00	Robert P. Brooks	Officer
Nick Mattiello	02/15/11	\$100.00	Paul A. Campellone	Officer
Frank Caprio	09/19/10 PR	\$250.00	Paul A. Campellone	Officer
Gina Raimondo	03/24/10 PR	\$250.00	Paul A. Campellone	Officer
Frank Caprio	03/20/10 PR	\$250.00	Paul A. Campellone	Officer
Gordon Fox	01/28/10 PR	\$250.00	Paul A. Campellone	Officer
Frank Caprio	12/09 PR	\$250.00	Paul A. Campellone	Officer
Joseph Fernandez	12/09 PR	\$250.00	Marybeth Campellone	Spouse of Officer
Joseph Fernandez	08/18/10 PR	\$50.00	Avital Chatto	Associate
Gina Raimondo	03/25/10 PR	\$100.00	Avital Chatto	Associate

Helio Melo	05/19/11	\$150.00	Joseph DeAngelis	Officer
Domenic Ruggerio	03/01/11	\$150.00	Joseph DeAngelis	Officer
Teresa Paiva-Weed	02/02/11	\$200.00	Joseph DeAngelis	Officer
Lincoln Chafee	01/28/11	\$250.00	Joseph DeAngelis	Officer
Gordon Fox	01/25/11	\$200.00	Joseph DeAngelis	Officer
Lincoln Chafee	12/14/10 PR	\$250.00	Joseph DeAngelis	Officer
Kilmartin for Attorney General	10/15/10 PR	\$250.00	Joseph DeAngelis	Officer
Deb Ruggiero	10/06/10 PR	\$200.00	Joseph DeAngelis	Officer
Frank Caprio	08/26/10 PR	\$250.00	Joseph DeAngelis	Officer
Frank Caprio	08/18/10 PR	\$500.00	Joseph DeAngelis	Officer
Rene Menard	08/01/10 PR	\$50.00	Joseph DeAngelis	Officer
Friends of Peter Kilmartin	06/29/10 PR	\$250.00	Joseph DeAngelis	Officer
Friends Deborah Ruggiero	06/07/10 PR	\$250.00	Joseph DeAngelis	Officer
Friends of Peter Palumbo	04/27/10 PR	\$100.00	Joseph DeAngelis	Officer
Friends of Gina Raimondo	03/26/10 PR	\$250.00	Joseph DeAngelis	Officer
Friends of Dan Connors	02/25/10 PR	\$150.00	Joseph DeAngelis	Officer
Gordon Fox	02/15/10 PR	\$250.00	Joseph DeAngelis	Officer
Friends of Joseph Fernandez	02/03/10 PR	\$200.00	Joseph DeAngelis	Officer
Patrick Lynch	12/11/09 PR	\$500.00	Joseph DeAngelis	Officer
Elizabeth Roberts	10/17/09 PR	\$100.00	Joseph DeAngelis	Officer
Frank Caprio	09/27/10 PR	\$500.00	Susan Leach DeBlasio	Officer
Joseph Fernandez	08/06/10 PR	\$100.00	Susan Leach DeBlasio	Officer
Gina Raimondo	06/16/10 PR	\$125.00	Susan Leach DeBlasio	Officer
Frank Caprio	03/17/10 PR	\$500.00	Susan Leach DeBlasio	Officer
Friends of Helio Melo	05/18/11	\$150.00	Mark O. Denehy	Officer
Friends of Brian Kennedy	05/03/11	\$100.00	Mark O. Denehy	Officer
Dominick Ruggiero Committee	02/28/11	\$150.00	Mark O. Denehy	Officer
Friends of Nick Mattiello	02/14/11	\$150.00	Mark O. Denehy	Officer
Paiva Weed for Senate	02/02/11	\$200.00	Mark O. Denehy	Officer
Lincoln Chafee	01/19/11	\$1,000.00	Mark O. Denehy	Officer
Lincoln Chafee	10/28/10 PR	\$1,000.00	Mark O. Denehy	Officer
Frank Caprio	08/05/10 PR	\$500.00	Mark O. Denehy	Officer
Peter Kilmartin	06/29/10 PR	\$150.00	Mark O. Denehy	Officer

Friends of Steve Grossman	04/26/10 PR	\$250.00	Mark O. Denehy	Officer
Lynch Committee	03/26/10 PR	\$250.00	Mark O. Denehy	Officer
Frank Caprio	03/22/10 PR	\$500.00	Mark O. Denehy	Officer
Raimondo Committee	03/22/10 PR	\$500.00	Mark O. Denehy	Officer
Mollis Committee	03/22/10 PR	\$150.00	Mark O. Denehy	Officer
Friends of Paiva Weed	02/04/10 PR	\$200.00	Mark O. Denehy	Officer
Friends of Gordon Fox	01/29/10 PR	\$250.00	Mark O. Denehy	Officer
Joe Feernandez	01/27/10 PR	\$150.00	Mark O. Denehy	Officer
Frank Caprio	12/21/09 PR	\$500.00	Mark O. Denehy	Officer
Patrick Lynch	12/03/09 PR	\$250.00	Mark O. Denehy	Officer
Ralph Mollis	12/01/09 PR	\$150.00	Mark O. Denehy	Officer
Frank Caprio	08/10/10 PR	\$250.00	Joseph R. DiStefano	Officer
Ralph Mollis	06/10/10 PR	\$150.00	Joseph R. DiStefano	Officer
Gina Raimondo	04/28/10 PR	\$150.00	Joseph R. DiStefano	Officer
Gina Raimondo	03/11/10 PR	\$250.00	Joseph R. DiStefano	Officer
Ralph Mollis	03/08/10 PR	\$150.00	Joseph R. DiStefano	Officer
Ralph Mollis	11/30/09 PR	\$150.00	Joseph R. DiStefano	Officer
Elizabeth Roberts	10/14/10 PR	\$500.00	Sarah T. Dowling	Officer
Rhode Island Democratic Party	10/07/10 PR	\$1,000.00	Sarah T. Dowling	Officer
Friends of Mollis	08/26/10 PR	\$500.00	Sarah T. Dowling	Officer
Gina Raimondo	06/17/10 PR	\$500.00	Sarah T. Dowling	Officer
David Caprio	03/26/10 PR	\$300.00	Sarah T. Dowling	Officer
Frank Caprio	03/11/10 PR	\$1,000.00	Sarah T. Dowling	Officer
Ralph Mollis	03/08/10 PR	\$150.00	Sarah T. Dowling	Officer
Gina Raimondo	03/10 PR	\$500.00	Sarah T. Dowling	Officer
Connors Campaign Committee	02/22/10 PR	\$150.00	Sarah T. Dowling	Officer
Teresa Paiva Weed	02/05/10 PR	\$200.00	Sarah T. Dowling	Officer
Friends of Gordon Fox	01/10 PR	\$250.00	Sarah T. Dowling	Officer
Joe Fernandez	01/10 PR	\$250.00	Sarah T. Dowling	Officer
Friends of Ralph Mollis	11/25/09 PR	\$150.00	Sarah T. Dowling	Officer
Joseph Fernandez	11/09/09 PR	\$500.00	Sarah T. Dowling	Officer
Gina Raimondo	06/17/10 PR	\$500.00	Joseph L. Dowling, Jr.	Spouse of Officer
Elizabeth Roberts	06/02/10 PR	\$250.00	Joseph L. Dowling, Jr.	Spouse of Officer

Frank Caprio	03/30/10 PR	\$968.00	Joseph L. Dowling, Jr.	Spouse of Officer
Frank Caprio	03/22/10 PR	\$100.00	Stephen Geanacopoulos	Officer
Frank T. Caprio	12/09 PR	\$200.00	Stephen Geanacopoulos	Officer
The Caprio Committee	03/11/10 PR	\$250.00	Stanley J. Kanter	Officer
The Caprio Committee	12/21/09 PR	\$250.00	Stanley J. Kanter	Officer
Deborah Ruggeiro	10/18/10 PR	\$100.00	Richard A. Licht	Officer
DaPonte Committee	10/06/10 PR	\$150.00	Richard A. Licht	Officer
Mollis Committee	08/21/10 PR	\$250.00	Richard A. Licht	Officer
Fernandez for Attorney General	08/09/10 PR	\$250.00	Richard A. Licht	Officer
Caprio Committee	08/09/10 PR	\$500.00	Richard A. Licht	Officer
Deborah Ruggeiro	03/02/10 PR	\$75.00	Richard A. Licht	Officer
Kilmartin Committee	06/26/10 PR	\$250.00	Richard A. Licht	Officer
Blazejewski Committee	06/23/10 PR	\$200.00	Richard A. Licht	Officer
Ruggerio Committee	06/03/10 PR	\$100.00	Richard A. Licht	Officer
Desimone Committee	06/03/10 PR	\$50.00	Richard A. Licht	Officer
Gallison Committee	06/03/10 PR	\$100.00	Richard A. Licht	Officer
Pollard Fundraiser	05/22/10 PR	\$75.00	Richard A. Licht	Officer
Williamson Committee	05/22/10 PR	\$100.00	Richard A. Licht	Officer
Constantino Committee	05/17/10 PR	\$125.00	Richard A. Licht	Officer
RI Senate Democrats PAC	05/14/10 PR	\$125.00	Richard A. Licht	Officer
Messier Committee	05/14/10 PR	\$50.00	Richard A. Licht	Officer
Ferri Committee	05/14/10 PR	\$100.00	Richard A. Licht	Officer
Silva Committee	05/14/10 PR	\$100.00	Richard A. Licht	Officer
Macbeth Committee	05/14/10 PR	\$50.00	Richard A. Licht	Officer
Picard Committee	05/14/10 PR	\$75.00	Richard A. Licht	Officer
Shallcross Smith Committee	05/11/10 PR	\$50.00	Richard A. Licht	Officer
Mary Ellen Goodwin Committee	05/11/10 PR	\$100.00	Richard A. Licht	Officer
McCaffrey Committee	05/11/10 PR	\$100.00	Richard A. Licht	Officer
Donna Walsh Committee	05/11/10 PR	\$75.00	Richard A. Licht	Officer
Lally Committee`	05/11/10 PR	\$100.00	Richard A. Licht	Officer
Sanbento Committee	04/30/10 PR	\$100.00	Richard A. Licht	Officer
Bates Committee	04/30/10 PR	\$50.00	Richard A. Licht	Officer
Malik Committee	04/30/10 PR	\$100.00	Richard A. Licht	Officer

Jackson Committee	04/30/10 PR	\$100.00	Richard A. Licht	Officer
McCauley Committee	04/30/10 PR	\$50.00	Richard A. Licht	Officer
Jamie Doyle Committee	04/25/10 PR	\$50.00	Richard A. Licht	Officer
Carnevale Committee	04/25/10 PR	\$50.00	Richard A. Licht	Officer
Palumbo Committee	04/25/10 PR	\$100.00	Richard A. Licht	Officer
Corvese Committee	04/25/10 PR	\$50.00	Richard A. Licht	Officer
McNamara Committee	04/25/10 PR	\$100.00	Richard A. Licht	Officer
Schadone Committee	04/25/10 PR	\$50.00	Richard A. Licht	Officer
Naughton Committee	04/25/10 PR	\$75.00	Richard A. Licht	Officer
Gablinske Committee	04/25/10 PR	\$100.00	Richard A. Licht	Officer
Renee Menard Committee	04/25/10 PR	\$100.00	Richard A. Licht	Officer
McBurney Committee	04/12/10 PR	\$100.00	Richard A. Licht	Officer
Serpa Committee	04/12/10 PR	\$75.00	Richard A. Licht	Officer
Metts Committee	04/12/10 PR	\$50.00	Richard A. Licht	Officer
Brian Kennedy Committee	04/12/10 PR	\$125.00	Richard A. Licht	Officer
Edwards Committee	04/05/10 PR	\$50.00	Richard A. Licht	Officer
Scott Slater Committee	04/05/10 PR	\$100.00	Richard A. Licht	Officer
Patrick O'Neil Committee	04/05/10 PR	\$100.00	Richard A. Licht	Officer
David Caprio Committee	04/05/10 PR	\$150.00	Richard A. Licht	Officer
Roberts Committee	03/28/10 PR	\$250.00	Richard A. Licht	Officer
Vaudreuil Committee	03/28/10 PR	\$75.00	Richard A. Licht	Officer
Handy Committee	03/28/10 PR	\$75.00	Richard A. Licht	Officer
DiPalma Committee	03/28/10 PR	\$75.00	Richard A. Licht	Officer
Marcello Committee	03/28/10 PR	\$50.00	Richard A. Licht	Officer
Hanna Gallo Committee	03/28/10 PR	\$100.00	Richard A. Licht	Officer
Tassoni Committee	03/28/10 PR	\$100.00	Richard A. Licht	Officer
Patrick Lynch Committee	03/19/10 PR	\$500.00	Richard A. Licht	Officer
Ucci Committee	03/19/10 PR	\$100.00	Richard A. Licht	Officer
Miller Committee	03/19/10 PR	\$150.00	Richard A. Licht	Officer
Carter Committee	03/19/10 PR	\$100.00	Richard A. Licht	Officer
Charlene Lima Committee	03/19/10 PR	\$100.00	Richard A. Licht	Officer
Raimondo Committee	03/19/10 PR	\$500.00	Richard A. Licht	Officer
Walaska Committee	03/19/10 PR	\$100.00	Richard A. Licht	Officer

Petrarca Committee	03/19/10 PR	\$75.00	Richard A. Licht	Officer
Michael Rice Committee	03/19/10 PR	\$50.00	Richard A. Licht	Officer
Mollis Committee	03/19/10 PR	\$150.00	Richard A. Licht	Officer
Paul Fogarty Committee	03/19/10 PR	\$100.00	Richard A. Licht	Officer
Fierro Committee	03/19/10 PR	\$50.00	Richard A. Licht	Officer
Erin Lynch Committee	03/19/10 PR	\$100.00	Richard A. Licht	Officer
Constantino Committee	03/19/10 PR	\$250.00	Richard A. Licht	Officer
Maselli Committee	03/11/10 PR	\$50.00	Richard A. Licht	Officer
Amy Rice Committee	03/11/10 PR	\$100.00	Richard A. Licht	Officer
Matiello Committee	03/11/10 PR	\$150.00	Richard A. Licht	Officer
Ciccione Committee	03/08/10 PR	\$200.00	Richard A. Licht	Officer
Anastasia Williams	03/05/10 PR	\$100.00	Richard A. Licht	Officer
Pichardo Committee	03/05/10 PR	\$50.00	Richard A. Licht	Officer
Levesque Committee	03/05/10 PR	\$50.00	Richard A. Licht	Officer
DaSilva Committee	03/05/10 PR	\$50.00	Richard A. Licht	Officer
Felag Committee	03/05/10 PR	\$75.00	Richard A. Licht	Officer
Melo Committee	03/05/10 PR	\$75.00	Richard A. Licht	Officer
Coderre Committee	03/02/10 PR	\$100.00	Richard A. Licht	Officer
Bea Lanzi Committee	03/02/10 PR	\$75.00	Richard A. Licht	Officer
Deborah Ruggiero Committee	03/02/10 PR	\$75.00	Richard A. Licht	Officer
Daponte Committee	03/02/10 PR	\$100.00	Richard A. Licht	Officer
Perry Committee	02/22/10 PR	\$100.00	Richard A. Licht	Officer
Connors Committee	02/04/10 PR	\$150.00	Richard A. Licht	Officer
Paiva Weed Committee	02/04/10 PR	\$250.00	Richard A. Licht	Officer
Gordon Fox Committee	02/04/10 PR	\$540.30	Richard A. Licht	Officer
Kilmartin Committee	01/19/10 PR	\$250.00	Richard A. Licht	Officer
Fernandez For Attorney General	01/19/10 PR	\$250.00	Richard A. Licht	Officer
Patrick Lynch Committee	12/08/09 PR	\$500.00	Richard A. Licht	Officer
Aponte Committee	12/08/09 PR	\$200.00	Richard A. Licht	Officer
Costantino Committee	12/01/09 PR	\$250.00	Richard A. Licht	Officer
Mollis Committee	12/01/09 PR	\$150.00	Richard A. Licht	Officer
Frank Caprio	08/20/10 PR	\$250.00	E. Hans Lundsten	Officer
Frank Caprio	03/18/10 PR	\$250.00	E. Hans Lundsten	Officer

Gina Raimondo	03/18/10 PR	\$150.00	E. Hans Lundsten	Officer
Gordon Fox	01/29/10 PR	\$250.00	E. Hans Lundsten	Officer
Joe Fernandez	01/20/10 PR	\$175.00	E. Hans Lundsten	Officer
Frank Caprio	03/18/10 PR	\$200.00	Geoffrey W. Millsom	Officer
Frank Caprio	12/22/09 PR	\$250.00	Geoffrey W. Millsom	Officer
Gina Raimondo	06/24/10 PR	\$100.00	Elizabeth M. Noonan	Officer
Patrick Lynch	03/31/10 PR	\$500.00	Elizabeth M. Noonan	Officer
Gina Raimondo	03/29/10 PR	\$250.00	Elizabeth M. Noonan	Officer
Peter Kilmartin	03/04/10 PR	\$150.00	Elizabeth M. Noonan	Officer
Frank Ciccone, III	03/03/10 PR	\$200.00	Elizabeth M. Noonan	Officer
Gordon Fox	02/15/10 PR	\$250.00	Elizabeth M. Noonan	Officer
Teresa Paiva Weed	02/04/10 PR	\$200.00	Elizabeth M. Noonan	Officer
Joseph Fernandez	02/03/10 PR	\$100.00	Elizabeth M. Noonan	Officer
Patrick Lynch	12/09/09 PR	\$250.00	Elizabeth M. Noonan	Officer
Patrick Lynch	09/30/09 PR	\$250.00	Elizabeth M. Noonan	Officer
Dominick J. Ruggerio	03/01/11	\$150.00	Patricia K. Rocha	Officer
Nicholas Anthony Matiello	02/15/11	\$150.00	Patricia K. Rocha	Officer
M. Teresa Paiva Weed	02/03/11	\$200.00	Patricia K. Rocha	Officer
Gordon Fox	01/25/11	\$200.00	Patricia K. Rocha	Officer
Lincoln Chafee	10/26/10 PR	\$250.00	Patricia K. Rocha	Officer
Frank Caprio	08/10/10 PR	\$500.00	Patricia K. Rocha	Officer
Friends of Patrick Lynch	03/29/10 PR	\$500.00	Patricia K. Rocha	Officer
Gina Raimondo	03/26/10 PR	\$250.00	Patricia K. Rocha	Officer
Daniel Connors	02/25/10 PR	\$150.00	Patricia K. Rocha	Officer
Gordon Fox	02/15/10 PR	\$250.00	Patricia K. Rocha	Officer
Teresa Paiva Weed	02/04/10 PR	\$200.00	Patricia K. Rocha	Officer
Joseph Fernandez	02/03/10 PR	\$150.00	Patricia K. Rocha	Officer
Frank Caprio	12/21/09 PR	\$500.00	Patricia K. Rocha	Officer
Frank Caprio	08/19/10 PR	\$100.00	Alan M. Shoer	Officer
Gina Raimondo	03/26/10 PR	\$100.00	Alan M. Shoer	Officer
Frank Caprio	03/17/10 PR	\$100.00	Alan M. Shoer	Officer
Gordon Fox	02/15/10 PR	\$100.00	Alan M. Shoer	Officer
Joseph Fernandez	02/03/10 PR	\$100.00	Alan M. Shoer	Officer

Senate Democrats	05/26/11	\$125.00	Lori C. Silveira	Officer
Teresa Paiva Weed	02/03/11	\$200.00	Lori C. Silveira	Officer
Lincoln Chafee	01/28/11	\$250.00	Lori C. Silveira	Officer
Lincoln Chafee	12/16/10 PR	\$250.00	Lori C. Silveira	Officer
Lincoln Chafee	10/29/10 PR	\$100.00	Lori C. Silveira	Officer
Frank Caprio	08/16/10 PR	\$250.00	Lori C. Silveira	Officer
Gina Raimondo	03/25/10 PR	\$100.00	Lori C. Silveira	Officer
Frank Caprio	03/22/10 PR	\$99.00	Lori C. Silveira	Officer
Gordon Fox	01/28/10 PR	\$250.00	Lori C. Silveira	Officer
Joe Fernandez	01/13/10 PR	\$250.00	Lori C. Silveira	Officer
Lincoln Chafee	01/28/11	\$500.00	Robert I. Stolzman	Officer
Lincoln Chafee	10/27/10 PR	\$500.00	Robert I. Stolzman	Officer
Joe Fernandez	08/09/10 PR	\$250.00	Robert I. Stolzman	Officer
Anastasia Williams	07/20/10 PR	\$100.00	Robert I. Stolzman	Officer
Brian Patrick Kennedy	04/12/10 PR	\$125.00	Robert I. Stolzman	Officer
Gina Raimondo	03/25/10 PR	\$500.00	Robert I. Stolzman	Officer
Charlene Lima	03/24/10 PR	\$100.00	Robert I. Stolzman	Officer
Frank Caprio	03/18/10 PR	\$1,000.00	Robert I. Stolzman	Officer
Amy Rice	03/17/10 PR	\$150.00	Robert I. Stolzman	Officer
Patrick Lynch	03/16/10 PR	\$1,000.00	Robert I. Stolzman	Officer
Elizabeth Roberts	03/15/10 PR	\$1,000.00	Robert I. Stolzman	Officer
Dan Connors	02/24/10 PR	\$150.00	Robert I. Stolzman	Officer
Teresa Paiva Weed	02/04/10 PR	\$200.00	Robert I. Stolzman	Officer
Gordon Fox	01/28/10 PR	\$500.00	Robert I. Stolzman	Officer
Joe Fernandez	01/13/10 PR	\$250.00	Robert I. Stolzman	Officer
Frank Caprio	12/15/09 PR	\$250.00	Robert I. Stolzman	Officer
Steven Costantino	12/02/09 PR	\$250.00	Robert I. Stolzman	Officer
A. Ralph Mollis	12/01/09 PR	\$150.00	Robert I. Stolzman	Officer
Daniel Daponte	05/23/11	\$100.00	John A. Tarantino	Officer
Helio Melo	05/14/11	\$150.00	John A. Tarantino	Officer
Brian Kennedy	05/02/11	\$100.00	John A. Tarantino	Officer
Nicholas Mattiello	02/14/11	\$100.00	John A. Tarantino	Officer
Teresa Paiva Weed	02/02/11	\$200.00	John A. Tarantino	Officer

Gordon Fox	01/25/11	\$200.00	John A. Tarantino	Officer
Frank Caprio	08/18/10 PR	\$500.00	John A. Tarantino	Officer
Friends of Gina Raimondo	03/23/10 PR	\$250.00	John A. Tarantino	Officer
Friends of Mollis Committee	03/22/10 PR	\$150.00	John A. Tarantino	Officer
Caprio Committee	03/13/10 PR	\$250.00	John A. Tarantino	Officer
Connors Campaign Committee	02/23/10 PR	\$150.00	John A. Tarantino	Officer
Joe Fernandez for AG	01/28/10 PR	\$100.00	John A. Tarantino	Officer
Friends of Gordon Fox	01/12/10 PR	\$250.00	John A. Tarantino	Officer
Frank Caprio	12/21/09 PR	\$500.00	John A. Tarantino	Officer
Lincoln Chafee	01/28/11	\$250.00	R. Bart Totten	Officer
Gina Raimondo	06/08/10 PR	\$500.00	R. Bart Totten	Officer
Frank Caprio	03/22/10 PR	\$500.00	R. Bart Totten	Officer
Frank Caprio	12/23/09 PR	\$250.00	Jeffrey Techentin	Officer
Frank Caprio	08/16/10 PR	\$250.00	David A. Wollin	Officer
Joe Fernandez	06/21/10 PR	\$100.00	David A. Wollin	Officer
Lincoln Chafee	05/01/10 PR	\$250.00	David A. Wollin	Officer
Daniel P. Connors	02/25/10 PR	\$150.00	David A. Wollin	Officer
Gordon Fox	02/15/10 PR	\$250.00	David A. Wollin	Officer
Frank T. Caprio	12/31/09 PR	\$500.00	David A. Wollin	Officer
Previously Reported: \$73,197.48				
This Report: \$10,925.00				

319007.12



April 1, 2011

ADLER POLLOCK & SHEEHAN P.C.

Mr. John A. Tarantino
Attorney at Law
Adler Pollock & Sheehan P.C.
One Citizens Plaza, 8th Floor
Providence, RI 02903-1345

APR 5 2011

ONE CITIZENS PLAZA 8th FLOOR
PROVIDENCE, RI 02903

Dear Mr. Tarantino:

RE: RFQ/RFP No. 878 - Legal Services

65 Shun Pike
Johnston, RI 02919-4512
TEL: (401) 942-1430
FAX: (401) 946-5174
www.tiirc.org

Attached for your files is a fully executed original of the Renewal Agreement which extends your contract with the Corporation for the above-referenced procurement until December 31, 2011. The appropriate paperwork has been provided to our Accounting Department. Please reference RFP-878A-2 on invoices related to this procurement.

In addition, Chapter 17-27 of the General Laws of Rhode Island requires all state vendors contracting with a state agency for the provision of goods and/or services costing \$5,000.00 or more to file an affidavit with the State Board of Elections concerning reportable political contributions. Without admitting that it is a "state agency" under this law and without prejudice to its status as a public corporation which is not a department of state government, the Corporation is voluntarily providing the Vendor with the website for the Rhode Island Board of Elections, Campaign Finance section. The Affidavit of State Vendor can be accessed at: <http://www.elections.state.ri.us/finance> and filed electronically. Please file the affidavit with the State Board of Elections within sixty (60) days of contract execution. (Note that if the response to Item 2 on the affidavit is "No," then completion of the affidavit is not required.) If you do not have access to the Internet, please contact me at (401) 942-1430, Extension 240, and I will provide you with a copy of the affidavit.

You will also find enclosed a Memorandum which details the registration requirements that are applicable to all contractors and subcontractors who will be entering our facility during the Corporation's non-operating hours.

Should you have any questions, please do not hesitate to contact me at (401) 942-1430, Ext. 240.

Very truly yours,

A handwritten signature in cursive script that reads 'Patricia A. Cerbo'.

Patricia A. Cerbo
Purchasing Director

Enclosures

cc: Michael J. OConnell, Executive Director

RENEWAL AGREEMENT

BETWEEN

**ADLER POLLOCK & SHEEHAN P.C.
ONE CITIZENS PLAZA, 8TH FLOOR
PROVIDENCE, RHODE ISLAND 02903-1345**

AND

**RHODE ISLAND RESOURCE RECOVERY CORPORATION
65 SHUN PIKE
JOHNSTON, RHODE ISLAND 02919**

WHEREAS, the Rhode Island Resource Recovery Corporation ("the Corporation") entered into a contract on June 25, 2009 ("the Contract") with Adler Pollock & Sheehan P.C. ("the Law Firm").

The Contract was awarded pursuant to Request for Qualifications/Request for Proposals (RFQ/RFP) No. 878 for Legal Services, dated October 8, 2008. This RFQ/RFP taken together with the Law Firm's Proposal, dated November 5, 2008, serve as the "Procurement Documents" and are hereby incorporated into and made a part of this Renewal Agreement as if set forth in full herein. In any case where specific terms and conditions included in this Renewal Agreement differ from those terms and conditions set forth in the Procurement Documents or the Contract, the terms of this Renewal Agreement shall govern.

WHEREAS, the June 25, 2009 Contract provided for the conclusion of services to be performed by the Law Firm on or about December 31, 2009 with an option to renew the Contract, under the same terms and conditions, for up to two additional one-year periods.

WHEREAS, the Corporation and the Law Firm exercised the option to renew the Contract for the first one-year period and entered into a Renewal Agreement on January 4, 2010 for the period January 1, 2010 to December 31, 2010.

WHEREAS, the Corporation and the Law Firm are desirous of renewing the terms of the Contract for the second and final renewal period from January 1, 2011 to December 31, 2011, with no escalation of rates.

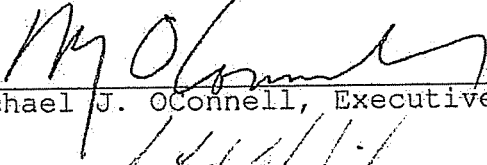
THEREFORE, in consideration of the promises and mutual covenants set forth and for other good and valuable consideration, the parties agree to a renewal of the Contract under the same terms and conditions for the period up to December 31, 2011.

Except as modified herein, the terms, conditions, rights and obligations of the Original Agreement shall remain in full force and effect during the term of this Renewal Agreement.

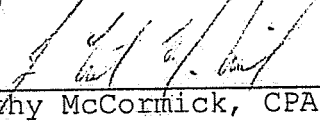
IN WITNESS WHEREOF, the Corporation has caused this Agreement to be duly executed and the Law Firm has caused this Agreement to be duly executed as of this 22 day of March, 2011.

RHODE ISLAND RESOURCE RECOVERY CORPORATION ("the Corporation")

BY:

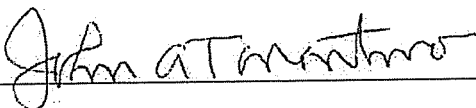
 3-24-11
Michael J. O'Connell, Executive Director

BY:

 3-24-11
J. Timothy McCormick, CPA, Chief Financial Officer

ADLER POLLOCK & SHEEHAN P.C. ("the Law Firm")

BY:



TITLE:

Shareholder
(Title of Authorized Officer)

ALAS

ATTORNEYS' LIABILITY ASSURANCE SOCIETY, INC.,
A RISK RETENTION GROUP

January 21, 2011

Adler Pollock & Sheehan P.C.
One Citizens Plaza
8th Floor
Providence, RI 02903-1345

MAR 23 2011
MAR 23 2011

To Whom It May Concern:

CONFIRMATION OF INSURANCE

We hereby confirm that Adler Pollock & Sheehan P.C. has Professional Liability Coverage under Policy ALA#1531 with an annual limit of \$15,000,000 per claim and \$30,000,000 in the aggregate with the right, under stated conditions, to purchase extended reporting rights upon termination of such Policy by ALAS.

The self-insured retention under such Policy is \$250,000 each claim up to an aggregate of \$500,000 and \$100,000 each claim thereafter.

The Policy effective date is from January 1, 2011 to January 1, 2012.

Such Policy is subject to the terms, conditions, limitations and exclusions stated therein.

ATTORNEYS' LIABILITY ASSURANCE SOCIETY, INC.
A RISK RETENTION GROUP

By: Nancy J. Montroy
Nancy J. Montroy
Vice President – Director of Underwriting

Date: 1/21/2011



MEMORANDUM

65 Shun Pike
Johnston, RI 02919-4512
TEL: (401) 942-1430
FAX: (401) 946-5174
www.rirrc.org

TO: All Contractors/Subcontractors
RE: Registration with Security Office

The Rhode Island Resource Recovery Corporation (RIRRC) is open for business and accepts waste Monday through Friday from 6:00 A.M. to 3:45 P.M. and Saturday from 6:00 A.M. to 12:00 P.M. On holiday weeks the facility will remain open until 2:00 P.M. on Saturdays. RIRRC maintains a security officer in the guard shack 24/7. Any vehicles or persons wishing to enter the facility complex during non-operating hours **MUST STOP** at the guard shack and sign in. The security office requests that each contractor provide a list of all employees involved in providing the contracted goods and/or services, along with their vehicles and registrations. Should a contractor have personnel or equipment coming on site during non-operating hours, they **MUST** provide that list to the security office either daily or weekly. If your company has not provided a list of vehicles or persons who will be coming on site during non-operating hours to the security office, that person will not be allowed to enter the complex.

This policy has been instituted for the safety and security of all who are working on site and their equipment. RIRRC is not responsible for the equipment of any contractor but is willing to assist contractors in preventing theft or vandalism to their equipment.

Attachment

11. If yes, what is the name of the state agency to which you are providing goods and/or services

- Department of Administration
- Employees Retirement System of the State of Rhode Island
- Narragansett Bay Commission
- Office of the General Treasurer
- Rhode Island Airport Corporation
- Rhode Island Board of Governors For Higher Education
- Rhode Island Convention Center Authority
- Rhode Island Department of Corrections
- Rhode Island Economic Development Corporation
- Rhode Island Health and Educational Building Corporation
- Rhode Island Public Transit Authority
- Rhode Island Resource Recovery Corporation
- Rhode Island State Investment Commission
- Rhode Island Turnpike & Bridge Authority
- Secretary of State
- State of Rhode Island

18. What is the total gross amount, in dollars, of contracts entered into during the said preceding 24 month period between the contracting party and ALL state agencies? Include all contracts regardless of amount. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, **please so state by marking "Yes."** NOTE: If this is a semi annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report the total gross amount, in dollars, of contracts entered into from the date of execution of the last contract entered into during such semi-annual period to the day which is 24 months prior to the first day of the same semi-annual period

<u>Agency</u>	<u>Fees Received</u> <u>07/01/09 – 06/30/11</u>
RI Economic Development Corporation.....	\$ 764,065.21
RI Airport Corporation	303,102.41
RI Resource Recovery Corporation	50,393.68
RI Convention Center Authority.....	131,629.37
Secretary of State	15,545.00
Board of Governors	226,199.85
State of Rhode Island.....	51,346.25
RI Department of Corrections.....	16,986.25
Narragansett Bay Commission	24,891.25
RI Turnpike & Bridge Authority	81,770.62
RI Public Transit Authority	103,115.43
RI Health and Educational Building Corporation.....	205,204.17
Department of Administration	21,720.00
RI State Investment Commission.....	77,348.50
Employees' Retirement System of the State of Rhode Island	20,683.04
	<u>\$ 2,094,001.03</u>

Wendy Y. Jamison
Senior Vice President
Public Policy Government Relations

July 29, 2011

2011

State of Rhode Island
Board of Elections
Campaign Finance Division
50 Branch Avenue
Providence, RI 02904

Attn: Ms. Cynthia Trombetti

Re: Affidavit of State Vendor Under Chapter 17-27 of the Rhode Island General Laws

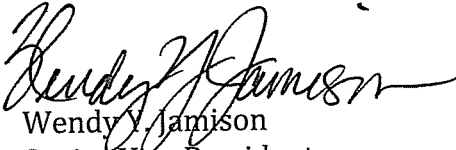
Dear Ms. Trombetti:

Enclosed please find the January 1, 2011 – June 30, 2011 Affidavit for Bank of America Corporation and subsidiaries under Chapter 17-27 of the General Laws of Rhode Island, with Exhibits. During the defined time period no political contributions were made by Rhode Island Bank of America officers. Also enclosed is a copy of the 2011 Notice and Certification for Relief from Multiple filings form.

I trust that the enclosed materials provide the information required by the Board of Elections under the State Vendor Law.

Please call me at 302.432.0956 if you require any further information regarding the aforementioned Affidavit and Exhibits.

Sincerely,


Wendy Y. Jamison
Senior Vice President
Public Policy

Enclosures

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
Board of Elections, Campaign Finance Division

AFFIDAVIT OF BANK OF AMERICA CORPORATION
UNDER CHAPTER 17-27 OF THE
GENERAL LAWS OF RHODE ISLAND

I, Wendy Y. Jamison, on oath do hereby make the following statements and provide the following information:

1. I am making this Affidavit in my capacity as Senior Vice President of Public Policy, for Bank of America Corporation and all of its subsidiaries. Bank of America's main office is located at 100 N. Tryon Street, Charlotte, NC, 28255. My telephone number is 302.432.0956.
2. Bank of America has reasonable cause to believe that it would be required to make two or more Affidavit filings under Chapter 17-27. Therefore, enclosed is a Notice and Certification of State Vendor for Relief from Multiple Filings dated January 28, 2011 by Bank of America. We have elected a semi-annual filing schedule attached hereto as **Exhibit A**.
3. In all instances the contracting party is Bank of America or certain of its subsidiaries ("together Bank of America and its subsidiaries") identified herein and not the individual who actually signed a contract as such contract exists in writing.
4. Any contract between Bank of America and the State of Rhode Island would be signed by a related party, in his or her capacity as an officer of the particular contracting department or subsidiary as identified below.
5. In order to gather the information required to make these statements, it was necessary to review corporate directories to determine each of the officers who should be surveyed with respect to this Affidavit. Each such officer was requested to provide an affirmative response to a questionnaire concerning reportable political contributions and state contracts.
6. After a review of Bank of America's corporate directories, a survey was made for senior officers concerning the making of political contributions. The officers surveyed range from Senior Vice President Level and above of Bank of America and/or its affected subsidiaries. Officers were asked to provide information as to contributions made to a candidate during the reporting period January 1, 2011 – June 30, 2011. The terms "candidate" and "contribution" as used herein have the same meaning as the definitions set forth in RIGL 17-25-3. No contributions were made during the reporting period.
7. In order to properly advise our officers, the questionnaire referenced in Paragraph 5 set out the requirements for the filing of an Affidavit with the State of Rhode Island and an explanation of what a state vendor relationship would be, and the obligation of the officers to report political contributions individually, by their spouse and/or minor

children. The officers were informed of the legal obligations to file an Affidavit concerning this information. A summary of the responses from officers who said they made reportable contributions is contained in **Exhibit B**. (Contributions previously reported are included on this Exhibit to provide the required 24-month history and such contributions are noted "PR".)

8. The information supplied in this Affidavit is accurate to the best of my knowledge, based upon a best effort survey as described in paragraphs 5, 6, and 7 above. Given that Bank of America is a large organization with a multitude of complex relationships with the State of Rhode Island and its subdivisions, our survey may not have identified all Bank of America contractual relationships with the State of Rhode Island. Should additional relationships be identified prior to the next regular semi-annual reporting period, this Affidavit will be amended.

9. Based upon the research conducted, we have determined 1 new contractual relationship was executed with the State of Rhode Island during the period January 1, 2011 through June 30, 2011. The following contracts remain in force or from a previous effective date and have a dollar value of \$5,000 or more or when aggregated reach the \$5,000 threshold.

10. The subparagraphs below provide details as to the contracting party, the agency of the State of Rhode Island with which the contract was made, the date of the contract, the expiration date of the contract (where applicable), the amount billed under the contract, and a description of the goods and services provided to the State of Rhode Island under the contract.

- A. Bank of America N.A. - Global Commercial Banking financed with the Economic Development Corporation 3/6/2000 for the Fidelity Bond and Fleet Bond. (original documents included in 1-1-01 affidavit) Fidelity Bond - Fleet National Bank bought a \$25MM, 25 year, taxable development revenue bond (Fidelity Bond) issued by the Rhode Island Economic Development Corp, with a maturity date of 5/01/21.
- B. Bank of America N.A. - Global Commercial Banking bought a \$11MM, 30 year, taxable development revenue bond (Fleet Bond) issued by the Rhode Island Economic Development Corp, with a maturity date of 5/01/27. Fleet National Bank (FNB) financed through the Economic Development Corporation, (EDC) dated 1/25/02, a 25 year, \$10MM, Moral Obligation Taxable Development Revenue Bond (MOB) as Phase II parity issue from the existing MOB that Fleet issued in 1996. Maturity date of 2027.
- C. Bank of America, N.A. – Global Commercial Banking provided a 10 years, \$12.55MM term loan to RIHMFC in May 2002. Fleet's share of the loan was \$3.4MM. The proceeds of the loan provided \$10MM for LMI housing purposes through RIHMFC and \$2.5MM to Travelers' Aid to support their relocation to the YMCA building. The term maturity date is 5/15/12.
- D. Bank of America, N.A. – Global Commercial Banking provides Image Viewer service access to and use of certain Fleet and/or third party computer software programs and documentation and manuals provided by Fleet to the State of Rhode Island.
- E. Bank of America, N.A. – Global Commercial Banking (“GCB”) are currently parties to the following service agreement:
- (i.) GCB Cash Management Department continues to provide comprehensive banking services to the State of Rhode Island and the University of Rhode Island, such as demand deposit services, advanced clearing services, account reconciliation services, coin and currency services and Treasury Express services. The charges for the services provided are on a per item basis related to the level of activity in each account. The State and the University of Rhode Island receive a monthly activity statement detailing these charges. Both parties have been setup on an analysis basis, leaving compensating balances in lieu of payment for services. The signed contracts submitted with the July 1995 filing to the Board remain in place for the State of Rhode Island.
 - (ii) GCB Institutional Custody Department continues to provide custodial services to the State of Rhode Island with respect to unclaimed property (securities) escheated by the State. The services are governed by an agreement dated June 13, 1989. Annual revenues for this service are approximately \$22,000. A copy of the Custody Agreement was included in the January 1998 filing with the Board.
 - (iii) GCB continues to provide wholesale lockbox services to the State of Rhode Island and the State of Rhode Island Boater Registrations (Dept. of Environmental Mgmt.) pursuant to the Service Agreements submitted as part of the July 1998 filing to the Board.

- F. Bank of America Merrill Lynch (including formerly known as Banc of America Securities "BAS") a subsidiary of Bank of America Corporation, has entered into several bond purchase agreements with the Rhode Island Health and Educational Building Corporation as follow:
- Health Facilities Revenue Bonds (Thundermist Health Center – Series 2004) valued at \$6.3MM , effective date 2/9/04, expiration date 2/34.
 - Variable Rate Demand Industrial Development Revenue Bonds (Hall Real Estate, LLC – Series 2004) valued at \$4.27MM, effective date 2/24/04, expiration date 2/21.
 - Health Financing Revenue Bonds (Newport Hospital – Series 2004) valued at \$10MM dated 3/8/04, expiration 3/14.
 - Educational Institution Revenue Bonds (The Pennfield School – Series 2004) valued at \$5MM, effective date 3/24/04, expiration date 9/2034.
 - Higher Education Fac Revenue Bonds, Series 2010 A valued at \$40MM, effective date 5/07/10, expiration date 3/01/40.
- G. Bank of America Merrill Lynch (including formerly known as Banc of America Securities "BAS"), a subsidiary of Bank of America Corporation, has entered into two bond purchase agreements with the Rhode Island Economic Development Corporation and the Rhode Island Health and Educational Building Corporation as follow:
- Variable Rate Demand Bonds (YMCA of Pawtucket, Inc. - Series 2004) valued at \$4.4MM, effective date 10/13/2004, expiration date 10/2034.
 - Fixed Rate Education Facilities Revenue Bonds (The Groden Center, Inc. – Series 2004) valued at \$8MM, effective date 10/28/2004, expiration date 10/2034.
- H. Bank of America Merrill Lynch (including formerly known as Banc of America Securities "BAS"), a subsidiary of Bank of America Corporation, has entered into a bond purchase agreement with the Rhode Island Health and Educational Building Corporation (RIHEBC) as follows:
- Fixed rate (30 years) Tax-Exempt Bond Issue Educational Facility Revenue Bonds Catholic School Program Issue – Series 2005A valued at \$23.1MM effective date 4/27/2005, expiration date 4/1/2035.
- I. Bank of America Merrill Lynch (including formerly known as Banc of America Securities "BAS"), a subsidiary of Bank of America Corporation, has entered into a bond purchase agreement with the Rhode Island Health and Educational Building Corporation (RIHEBC) as follows:
- Fixed rate (14 year) Tax-Exempt Bond Issue. Medical/Hospital Facility Revenue Bonds (Refunding) Landmark Medical Center Issue – Series 2005 valued at \$14.1MM effective date 8/1/2005, expiration date 10/1/2019.
- J. Bank of America Merrill Lynch (including formerly known as Banc of America Securities "BAS"), a subsidiary of Bank of America Corporation has entered into a bond purchase agreement with the Rhode Island Health and Educational Building Corporation, CVS Highlander Charter School Issues – Series 2007 in the amount of \$7.0M effective date 9/19/2007.

- K. Bank of America Merrill Lynch, a subsidiary of Bank of America Corporation has entered into several agreements with the RI Housing and Mortgage Finance Corporation as follows:
- Series 3 Home Funding Bonds valued in the amount of \$20MM, effective 10/10, expiration date 04/01/28
 - 2010 Series I Multi-Family Development Bonds valued in the amount of \$11.2MM effective 11/10, expiration date 10/01/51.
 - 2010 Series A Multi-Family Funding Bonds valued in the amount of \$21.3MM, effective date 11/23/10, expiration date 04/01/35
- L. Banc of America Securities, LLC, a subsidiary of Bank of America Corporation has entered into a bond purchase agreement with the RI Health and Educational Building Corporation, Home & Hospice Care of RI Issue – Series 2008 in the amount of \$12.6MM, effective date 2/07/2008.
- M. Banc of America Securities, LLC, a subsidiary of Bank of America Corporation has entered into a bond purchase agreement with the RI Economic Development Corporation, RI Philharmonic Orchestra Issue – Series 2008 in the amount of \$7.MM. Effective date 3/19/2008.
- N. Banc of America Securities, LLC, a subsidiary of Bank of America Corporation has entered into two bond purchase agreements with the Rhode Island Health and Educational Building Corporation (Roger Williams University) as follows:
- Roger Williams University Series 2008A valued in the amount of \$22.9MM, effective date 7/02/2008.
 - Roger Williams University, Issue Series 2008B valued in the amount of \$38MM, effective date 8/27/2008.
- O. Bank of America, N.A. entered into two negotiated bond agreements with Rhode Island Student Loan Authority in the amount of \$7MM effective 3/31/2010 and \$2 MM effective 4/30/2010.
- P. Bank of America, N.A. entered into a negotiated bond agreement with Rhode Island Turnpike & Bridge Authority in the amount of \$50MM effective date 4/01/2010.
- Q. Bank of America corporation subsidiaries entered into the following agreements with the State of Rhode Island:
- Bank of America, NA in amount of \$ 21MM with an effective date of 4/30/2010.
 - Bank of America Merrill Lynch, Series 2010A, Consolidated Cap Dev Loan with State of RI and Providence Plan in the amount of \$78.9MM effective date 04/07/2010, expiration date 10/01/20.
- R. Banc of America Public Capital Corporation (“BAPCC”) entered into an agreement with State of Rhode Island in the amount of \$11MM for computer system lease with an effective date of 5/13/10.

S. Bank of America Merrill Lynch entered into an agreement with Rhode Island Clean Water Fin Agency, Water PC Revolving Fund Revenue bonds in the amount of \$40.2M, effective 3/9/11, expiration date 10/01/32.

IN WITNESS WHEREOF, I have hereunto set my hand and seal as of this 29th day of July, 2011.

BANK OF AMERICA CORPORATION


Wendy Y. Jamison, Senior Vice President, Public Policy

STATE OF DELAWARE
NEW CASTLE COUNTY

Subscribed and sworn to or affirmed before me, an officer authorized to administer oaths in the jurisdiction where this Affidavit was made on *this 29th day of July, 2011.*



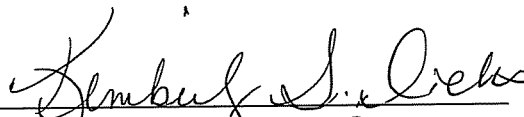

NOTARY PUBLIC
My Commission Expires: Jan. 25, 2015

EXHIBIT A

**NOTICE AND CERTIFICATION OF STATE VENDOR
FOR RELIEF FROM MULTIPLE FILINGS**

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
BOARD OF ELECTIONS
CAMPAIGN FINANCE DIVISION
50 Branch Avenue, Providence, Rhode Island 02904

TEL. (401) 222-2056 [TDD: 222-2239]

**NOTICE AND CERTIFICATION OF STATE VENDOR
FOR RELIEF FROM MULTIPLE FILINGS**

The undersigned certifies to the State Board of Elections under Section 17-27-3 of the General Laws of Rhode Island that it has reasonable cause to believe that for the calendar year 2011, it will be required to file two or more affidavits, and that this notice and certification is being filed for the purpose of permitting the undersigned to report on a semi-annual basis to the State Board of Elections for the aforementioned calendar year.

BANK OF AMERICA CORPORATION

State Vendor

Date: January 28, 2011

NOTE:

State Vendors are permitted to file semi-annual reports with the Board of Elections if they reasonably believe that they otherwise would be required to file multiple reports within a single calendar year. In order to avail themselves of this opportunity, state vendors are required to file this Notice and Certification with the State Board of Elections for such calendar year no later than the date by which the first report to the Board of Elections for such calendar year would otherwise be due, i.e., 60 days from the date of execution of a contract with a state agency or in the case of unwritten contracts, within 60 days after the state vendor is first notified that the contract has reached the \$5,000 reporting threshold.

EXHIBIT B

CONTRIBUTIONS BY BANK OF AMERICA OFFICERS

CONTRIBUTIONS BY BANK OF AMERICA OFFICERS

24 Month Period: January 2009 – June 30, 2011

	Name(s) of Contributor	Recipient/Campaign	Date of Contrib.	Amount
PR	Bennett, Oliver	The Cicilline Committee (Mayor David Cicilline)	March 30, 2009	\$ 500
PR	McQueen, Donald McQueen, Virginia (wife of McQueen, David)	Lincoln Chaffee for Governor	June 8, 2009	\$ 150
PR	Bennett, Oliver	Lincoln Chaffee	June 18, 2009	\$ 200
PR	Vales IV, Manuel J (husband of Vales, Mary)	Frank Caprio	June 24, 2009	\$ 300
PR	Bennett, Oliver	Lincoln Chaffee	June 25, 2009	\$ 200
PR	Stevenson, C. Scott	Lincoln Chaffee	June, 2009	\$ 50
PR	Vales IV, Manuel J (husband of Vales, Mary)	Patrick Lynch	August 17, 2009	\$ 500
PR	Bennett, Oliver	Gina Raimondo	March 30, 2010	\$ 400
PR	Vales IV, Manuel J (husband of Vales, Mary)	Patrick Lynch	May 1, 2010	\$ 500
PR	Stevenson, C. Scott	Lincoln Chaffee	May, 2010	\$ 250
PR	Bennett, Oliver	Steve Constantino	June 30, 2010	\$ 400
PR	McDevitt, Kevin L	Kevin O'Neill	June 6, 2010	\$ 100
PR	Tracey, Kevin	Joe Fernandez	July 1, 2010	\$ 50
PR	Medici, Edward	Lincoln Chaffee	July, 2010	\$1,200
PR	Almon, Christopher	Friends of Bill Lynch	August 11, 2010	\$ 100
PR	Parr, David	Catherine Taylor	August 30, 2010	\$ 250
PR	Tracey, Kevin	Gina Raimondo	September, 2010	\$ 100
PR	Tracey, Kevin	Lincoln Chaffee	September, 2010	\$ 250
PR	Vales IV, Manuel J (husband of Vales, Mary)	Patrick Lynch	September 15, 2010	\$ 350
PR	Stevenson, C Scott	Lincoln Chaffee	September 19, 2010	\$ 100
PR	Stevenson, C Scott	Catherine Taylor	September 19, 2010	\$ 100
PR	Turner, Jennifer (wife of Jacobson, Keith R)	Joshua Miller	September 23, 2010	\$ 150
PR	Vales IV, Manuel J (husband of Vales, Mary)	William Lynch	October 1, 2010	\$ 500
PR	Parr, David	Sam Zurier	October 18, 2010	\$ 100
PR	McDevitt, Kevin L	Kerry King	November 2, 2010	\$ 392.57
PR	Turner, Jennifer (wife of Jacobson, Keith R)	Friends of Michael Solomon	November 20, 2010	\$ 100

PR = Previously reported

Wendy Y. Jamison
Senior Vice President
Public Policy Government Relations

January 27, 2012

State of Rhode Island
Board of Elections
Campaign Finance Division
50 Branch Avenue
Providence, RI 02904

Attn: Ms. Cynthia Trombetti

Re: Affidavit of State Vendor under Chapter 17-27 of the Rhode Island General Laws

Dear Ms. Trombetti:


Enclosed please find Bank of America's semi-annual filing for July 1, 2011 – December 31, 2011. During the defined time period Bank of America Rhode Island officers have not contributed an aggregated amount of more than \$250 within a calendar year to any RI assembly, or any RI political party.

Bank of America certifies to the State Board of Elections under Section 17-27-3 of the General Laws of Rhode Island that it has reasonable cause to believe that for the calendar year 2012, it will be required to file two or more affidavits, and enclosed is a 2012 Notice and Certification for Relief from multiple filings form. It is being filed for the purpose of permitting Bank of America to report on a semi-annual basis to the State Board of Elections for the aforementioned calendar year.

I trust that the enclosed materials provide the information required by the Board of Elections under the State Vendor Law.

Please call me at 302.432.0956 if you require any further information regarding the aforementioned Affidavit and Exhibits.

Sincerely,


Wendy Y. Jamison
Senior Vice President
Public Policy

Enclosures

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
Board of Elections, Campaign Finance Division

AFFIDAVIT OF BANK OF AMERICA CORPORATION
UNDER CHAPTER 17-27 OF THE
GENERAL LAWS OF RHODE ISLAND

I, Wendy Y. Jamison, on oath do hereby make the following statements and provide the following information:

1. I am making this Affidavit in my capacity as Senior Vice President of Public Policy, for Bank of America Corporation and all of its subsidiaries. Bank of America's main office is located at 100 N. Tryon Street, Charlotte, NC, 28255. My telephone number is 302.432.0956.
2. Bank of America has reasonable cause to believe that it would be required to make two or more Affidavit filings under Chapter 17-27. Therefore, enclosed is a Notice and Certification of State Vendor for Relief from Multiple Filings dated January 28, 2011 by Bank of America. We have elected a semi-annual filing schedule attached hereto as **Exhibit A**.
3. In all instances the contracting party is Bank of America or certain of its subsidiaries ("together Bank of America and its subsidiaries") identified herein and not the individual who actually signed a contract as such contract exists in writing.
4. Any contract between Bank of America and the State of Rhode Island would be signed by a related party, in his or her capacity as an officer of the particular contracting department or subsidiary as identified below.
5. In order to gather the information required to make these statements, it was necessary to review corporate directories to determine each of the officers who should be surveyed with respect to this Affidavit. Each such officer was requested to provide an affirmative response to a questionnaire concerning reportable political contributions and state contracts.
6. After a review of Bank of America's corporate directories, a survey was made for senior officers concerning the making of political contributions. The officers surveyed range from Senior Vice President Level and above of Bank of America and/or its affected subsidiaries. Officers were asked to provide information as to contributions made to a candidate during the reporting period July 1, 2011 – December 31, 2011. The terms "candidate" and "contribution" as used herein have the same meaning as the definitions set forth in RIGL 17-25-3. No contributions were made during the reporting period.
7. In order to properly advise our officers, the questionnaire referenced in Paragraph 5 set out the requirements for the filing of an Affidavit with the State of Rhode Island and an explanation of what a state vendor relationship would be, and the obligation of the officers to report political contributions individually, by their spouse and/or minor

children. The officers were informed of the legal obligations to file an Affidavit concerning this information. A summary of the responses from officers who said they made reportable contributions is contained in **Exhibit B**. (Contributions previously reported are included on this Exhibit to provide the required 24-month history and such contributions are noted "PR".)

8. The information supplied in this Affidavit is accurate to the best of my knowledge, based upon a best effort survey as described in paragraphs 5, 6, and 7 above. Given that Bank of America is a large organization with a multitude of complex relationships with the State of Rhode Island and its subdivisions, our survey may not have identified all Bank of America contractual relationships with the State of Rhode Island.

9. The following contracts remain in force or from a previous effective date and have a dollar value of \$5,000 or more or when aggregated reach the \$5,000 threshold.

10. The subparagraphs below provide details as to the contracting party, the agency of the State of Rhode Island with which the contract was made, the date of the contract, the expiration date of the contract (where applicable), the amount billed under the contract, and a description of the goods and services provided to the State of Rhode Island under the contract.

- A. Bank of America N.A. - Global Commercial Banking financed with the Economic Development Corporation 3/6/2000 for the Fidelity Bond and Fleet Bond. (original documents included in 1-1-01 affidavit) Fidelity Bond - Fleet National Bank bought a \$25MM, 25 year, taxable development revenue bond (Fidelity Bond) issued by the Rhode Island Economic Development Corp, with a maturity date of 5/01/21.
- B. Bank of America N.A. - Global Commercial Banking bought a \$11MM, 30 year, taxable development revenue bond (Fleet Bond) issued by the Rhode Island Economic Development Corp, with a maturity date of 5/01/27. Fleet National Bank (FNB) financed through the Economic Development Corporation, (EDC) dated 1/25/02, a 25 year, \$10MM, Moral Obligation Taxable Development Revenue Bond (MOB) as Phase II parity issue from the existing MOB that Fleet issued in 1996. Maturity date of 2027.
- C. Bank of America, N.A. – Global Commercial Banking provided a 10 years, \$12.55MM term loan to RIHMFC in May 2002. Fleet's share of the loan was \$3.4MM. The proceeds of the loan provided \$10MM for LMI housing purposes through RIHMFC and \$2.5MM to Travelers' Aid to support their relocation to the YMCA building. The term maturity date is 5/15/12.
- D. Bank of America, N.A. – Global Commercial Banking provides Image Viewer service access to and use of certain Fleet and/or third party computer software programs and documentation and manuals provided by Fleet to the State of Rhode Island.
- E. Bank of America, N.A. – Global Commercial Banking (“GCB”)are currently parties to the following service agreement:
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 - (ii) GCB Institutional Custody Department continues to provide custodial services to the State of Rhode Island with respect to unclaimed property (securities) escheated by the State. The services are governed by an agreement dated June 13, 1989. Annual revenues for this service are approximately \$22,000. A copy of the Custody Agreement was included in the January 1998 filing with the Board.
 - (iii) GCB continues to provide wholesale lockbox services to the State of Rhode Island and the State of Rhode Island Boater Registrations (Dept. of Environmental Mgmt.) pursuant to the Service Agreements submitted as part of the July 1998 filing to the Board.

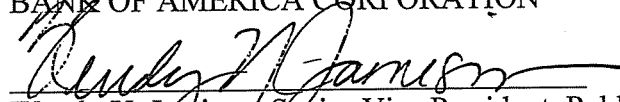
- F. Bank of America Merrill Lynch (including formerly known as Banc of America Securities "BAS") a subsidiary of Bank of America Corporation, has entered into several bond purchase agreements with the Rhode Island Health and Educational Building Corporation as follow:
- Health Facilities Revenue Bonds (Thundermist Health Center – Series 2004) valued at \$6.3MM, effective date 2/9/04, expiration date 2/34.
 - Variable Rate Demand Industrial Development Revenue Bonds (Hall Real Estate, LLC – Series 2004) valued at \$4.27MM, effective date 2/24/04, expiration date 2/21.
 - Health Financing Revenue Bonds (Newport Hospital – Series 2004) valued at \$10MM dated 3/8/04, expiration 3/14.
 - Educational Institution Revenue Bonds (The Pennfield School – Series 2004) valued at \$5MM, effective date 3/24/04, expiration date 9/2034.
 - Higher Education Fac Revenue Bonds, Series 2010 A valued at \$40MM, effective date 5/07/10, expiration date 3/01/40.
- G. Bank of America Merrill Lynch (including formerly known as Banc of America Securities "BAS"), a subsidiary of Bank of America Corporation, has entered into two bond purchase agreements with the Rhode Island Economic Development Corporation and the Rhode Island Health and Educational Building Corporation as follow:
- Variable Rate Demand Bonds (YMCA of Pawtucket, Inc. - Series 2004) valued at \$4.4MM, effective date 10/13/2004, expiration date 10/2034.
 - Fixed Rate Education Facilities Revenue Bonds (The Groden Center, Inc. – Series 2004) valued at \$8MM, effective date 10/28/2004, expiration date 10/2034.
- H. Bank of America Merrill Lynch (including formerly known as Banc of America Securities "BAS"), a subsidiary of Bank of America Corporation, has entered into a bond purchase agreement with the Rhode Island Health and Educational Building Corporation (RIHEBC) as follows:
- Fixed rate (30 years) Tax-Exempt Bond Issue Educational Facility Revenue Bonds Catholic School Program Issue – Series 2005A valued at \$23.1MM effective date 4/27/2005, expiration date 4/1/2035.
- I. Bank of America Merrill Lynch (including formerly known as Banc of America Securities "BAS"), a subsidiary of Bank of America Corporation, has entered into a bond purchase agreement with the Rhode Island Health and Educational Building Corporation (RIHEBC) as follows:
- Fixed rate (14 year) Tax-Exempt Bond Issue. Medical/Hospital Facility Revenue Bonds (Refunding) Landmark Medical Center Issue – Series 2005 valued at \$14.1MM effective date 8/1/2005, expiration date 10/1/2019.
- J. Bank of America Merrill Lynch (including formerly known as Banc of America Securities "BAS"), a subsidiary of Bank of America Corporation has entered into a bond purchase agreement with the Rhode Island Health and Educational Building Corporation, CVS Highlander Charter School Issues – Series 2007 in the amount of \$7.0M effective date 9/19/2007.

- K. Bank of America Merrill Lynch, a subsidiary of Bank of America Corporation has entered into several agreements with the RI Housing and Mortgage Finance Corporation as follows:
- Series 3 Home Funding Bonds valued in the amount of \$20MM, effective 10/10, expiration date 04/01/28
 - 2010 Series I Multi-Family Development Bonds valued in the amount of \$11.2MM effective 11/10, expiration date 10/01/51.
 - 2010 Series A Multi-Family Funding Bonds valued in the amount of \$21.3MM, effective date 11/23/10, expiration date 04/01/35
- L. Banc of America Securities, LLC, a subsidiary of Bank of America Corporation has entered into a bond purchase agreement with the RI Health and Educational Building Corporation, Home & Hospice Care of RI Issue – Series 2008 in the amount of \$12.6MM, effective date 2/07/2008.
- M. Banc of America Securities, LLC, a subsidiary of Bank of America Corporation has entered into a bond purchase agreement with the RI Economic Development Corporation, RI Philharmonic Orchestra Issue – Series 2008 in the amount of \$7.MM. Effective date 3/19/2008.
- N. Banc of America Securities, LLC, a subsidiary of Bank of America Corporation has entered into two bond purchase agreements with the Rhode Island Health and Educational Building Corporation (Roger Williams University) as follows:
- Roger Williams University Series 2008A valued in the amount of \$22.9MM, effective date 7/02/2008.
 - Roger Williams University, Issue Series 2008B valued in the amount of \$38MM, effective date 8/27/2008.
- O. Bank of America, N.A. entered into two negotiated bond agreements with Rhode Island Student Loan Authority in the amount of \$7MM effective 3/31/2010 and \$2 MM effective 4/30/2010.
- P. Bank of America, N.A. entered into a negotiated bond agreement with Rhode Island Turnpike & Bridge Authority in the amount of \$50MM effective date 4/01/2010.
- Q. Bank of America corporation subsidiaries entered into the following agreements with the State of Rhode Island:
- Bank of America, NA in amount of \$ 21MM with an effective date of 4/30/2010.
 - Bank of America Merrill Lynch, Series 2010A, Consolidated Cap Dev Loan with State of RI and Providence Plan in the amount of \$78.9MM effective date 04/07/2010, expiration date 10/01/20.
- R. Banc of America Public Capital Corporation (“BAPCC”) entered into an agreement with State of Rhode Island in the amount of \$11MM for computer system lease with an effective date of 5/13/10.

S. Bank of America Merrill Lynch entered into an agreement with Rhode Island Clean Water Fin Agency, Water PC Revolving Fund Revenue bonds in the amount of \$40.2M, effective 3/9/11, expiration date 10/01/32.

IN WITNESS WHEREOF, I have hereunto set my hand and seal as of this 27th day of January 2012.

BANK OF AMERICA CORPORATION


Wendy Y. Jamison, Senior Vice President, Public Policy

STATE OF DELAWARE
NEW CASTLE COUNTY

Subscribed and sworn to or affirmed before me, an officer authorized to administer oaths in the jurisdiction where this Affidavit was made on *this 27th day of January, 2012*



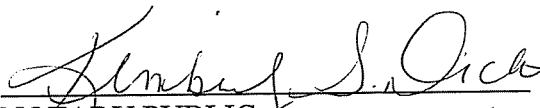

NOTARY PUBLIC
My Commission Expires: 1/25/15

EXHIBIT A

**NOTICE AND CERTIFICATION OF STATE VENDOR
FOR RELIEF FROM MULTIPLE FILINGS**

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
BOARD OF ELECTIONS
CAMPAIGN FINANCE DIVISION
50 Branch Avenue, Providence, Rhode Island 02904

TEL. (401) 222-2056 [TDD: 222-2239]

**NOTICE AND CERTIFICATION OF STATE VENDOR
FOR RELIEF FROM MULTIPLE FILINGS**

The undersigned certifies to the State Board of Elections under Section 17-27-3 of the General Laws of Rhode Island that it has reasonable cause to believe that for the calendar year 2012, it will be required to file two or more affidavits, and that this notice and certification is being filed for the purpose of permitting the undersigned to report on a semi-annual basis to the State Board of Elections for the aforementioned calendar year.

BANK OF AMERICA CORPORATION
State Vendor

Date: January 27, 2012

NOTE:

State Vendors are permitted to file semi-annual reports with the Board of Elections if they reasonably believe that they otherwise would be required to file multiple reports within a single calendar year. In order to avail themselves of this opportunity, state vendors are required to file this Notice and Certification with the State Board of Elections for such calendar year no later than the date by which the first report to the Board of Elections for such calendar year would otherwise be due, i.e., 60 days from the date of execution of a contract with a state agency or in the case of unwritten contracts, within 60 days after the state vendor is first notified that the contract has reached the \$5,000 reporting threshold.

EXHIBIT B

CONTRIBUTIONS BY BANK OF AMERICA OFFICERS

CONTRIBUTIONS BY BANK OF AMERICA OFFICERS

24 Month Period: July 1, 2009 – December 31, 2011

	Name(s) of Contributor	Recipient/Campaign	Date of Contrib.	Amount
PR	Vales IV, Manuel J (husband of Vales, Mary)	Patrick Lynch	August 17, 2009	\$ 500
PR	Bennett, Oliver	Gina Raimondo	March 30, 2010	\$ 400
PR	Vales IV, Manuel J (husband of Vales, Mary)	Patrick Lynch	May 1, 2010	\$ 500
PR	Stevenson, C. Scott	Lincoln Chaffee	May, 2010	\$ 250
PR	Bennett, Oliver	Steve Constantino	June 30, 2010	\$ 400
PR	McDevitt, Kevin L	Kevin O'Neill	June 6, 2010	\$ 100
PR	Tracey, Kevin	Joe Fernandez	July 1, 2010	\$ 50
PR	Medici, Edward	Lincoln Chaffee	July, 2010	\$1,200
PR	Almon, Christopher	Friends of Bill Lynch	August 11, 2010	\$ 100
PR	Parr, David	Catherine Taylor	August 30, 2010	\$ 250
PR	Tracey, Kevin	Gina Raimondo	September, 2010	\$ 100
PR	Tracey, Kevin	Lincoln Chaffee	September, 2010	\$ 250
PR	Vales IV, Manuel J (husband of Vales, Mary)	Patrick Lynch	September 15, 2010	\$ 350
PR	Stevenson, C Scott	Lincoln Chaffee	September 19, 2010	\$ 100
PR	Stevenson, C Scott	Catherine Taylor	September 19, 2010	\$ 100
PR	Turner, Jennifer (wife of Jacobson, Keith R)	Joshua Miller	September 23, 2010	\$ 150
PR	Vales IV, Manuel J (husband of Vales, Mary)	William Lynch	October 1, 2010	\$ 500
PR	Parr, David	Sam Zurier	October 18, 2010	\$ 100
PR	McDevitt, Kevin L	Kerry King	November 2, 2010	\$ 392.57
PR	Turner, Jennifer (wife of Jacobson, Keith R)	Friends of Michael Solomon	November 20, 2010	\$ 100

PR = Previously reported

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
BOARD OF ELECTIONS

CAMPAIGN FINANCE DIVISION
50 Branch Avenue, Providence, Rhode Island 02904

RHODE ISLAND
BOARD OF ELECTIONS
12 JAN 20 AM 10:32

TEL. (401)222-2056 [TDD: 222-2239]

AFFIDAVIT OF STATE VENDOR
UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

ctions:

Every person or business entity contracting with a state agency on or after July 27, 1993 to sell goods and services (contracting party) deemed to be a "state vendor". Also deemed to be a "state vendor" is (a) a person having a 10% or greater ownership interest in such contracting party, or (b) a person who is an executive officer of such contracting party, or (c) a person who is the spouse or minor child of the contracting party, or of a person having such 10% or greater ownership interest, or of a person who is an executive officer of such contracting (related parties).

This affidavit must be filed with the State Board of Elections, 50 Branch Avenue, Providence, Rhode Island 02904 by every state or in connection with any contract with a state agency to provide goods and/or services costing \$5000 or more. If the contract is in writing, affidavit must be filed within 60 days after the contract is executed and MUST BE ACCOMPANIED BY A COPY OF THE CONTRACT, WRITTEN SUMMARY THEREOF. If the contract is not in writing, then the affidavit must be filed within 60 days from the date when state vendor is first notified that the \$5000 threshold has been reached. However, Chapter 17-27 and the Regulations adopted thereunder, it semi-annual filings of affidavits under certain circumstances.

Every person or business entity qualifying as a state vendor (either as the contracting party or as a related party) and providing goods services at a cost of \$5000 or more shall be responsible for filing this affidavit. A state vendor who is a contracting party must file this affidavit when the \$5000 threshold has been reached, even if no reportable political contributions have been made either by the contracting or a related party. A state vendor who is a related party must file this affidavit only when a reportable political contribution has been made by such related party, and then, only if such contribution has not been included in an affidavit filed by the contracting party. A reportable political contribution is defined in question #16. The affidavit, when filed, will be a public document.

The Board of Elections is empowered to impose civil penalties for violations of Chapter 17-27.

The attention of state vendors is directed to the Regulations adopted by the Board of Elections in connection with the preparation and filing of this affidavit which are on file at the offices of both the Board of Elections and the Secretary of State.

Statement Under Oath or Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information:

Name, address and telephone number of person making this affidavit.

Michael V. D'Ambra
NAME OF PERSON MAKING THIS AFFIDAVIT

800 Jefferson Boulevard, Warwick, RI 02887
ADDRESS

(401) 737-1300
TELEPHONE NUMBER

What is the date of execution of the written contract requiring the filing of this affidavit? [Such contract must be filed with this affidavit.]

DATE

If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold?

DATE

If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

Is this affidavit made by you as an individual or on behalf of a business entity? Individual On Behalf of Business Entity

If on behalf of a business entity, what is the name and nature of the business entity?

Name of Business Entity D'Ambra Construction Co., Inc. FEIN # 05-0352104

Check One:) Corporation Partnership Sole Proprietorship Other Business Entity

If "other business entity" is checked above, what is the nature of such business entity?

Are you a state vendor because you are the contracting party in a contract with a state agency? YES NO

**STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
BOARD OF ELECTIONS
CAMPAIGN FINANCE DIVISION**

50 Branch Avenue, Providence, Rhode Island 02904

TEL. (401) 222-2056 [TDD: 222-2239]

**NOTICE AND CERTIFICATION OF STATE VENDOR
FOR RELIEF FROM MULTIPLE FILINGS**

The undersigned certifies to the State Board of Elections under Section 17-27-3 of the General Laws of Rhode Island that it has reasonable cause to believe that for the calendar year 2011, it will be required to file two or more affidavits, and that this notice and certification is being filed for the purpose of permitting the undersigned to report on a semi-annual basis to the State Board of Elections for the aforementioned calendar year.

D'Ambra Construction Co., Inc.

State Vendor

January 12, 2012

Date

NOTE:

State vendors are permitted to file semi-annual reports with the Board of Elections if they reasonably believe that they otherwise would be required to file multiple reports within any single calendar year. In order to avail themselves of this opportunity, state vendors are required to file this Notice and Certification with the State Board of Elections for each calendar year no later than the date by which the first report to the Board of Elections for such calendar year would otherwise be due, i.e. 60 days from the date of execution of a contract with a state agency or in the case of unwritten contracts, within 60 days after the state vendor is first notified that the contract has reached the \$5000 reporting threshold.



Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

I-95 RESURFACING SOUTHERN C-1	
Award Number 3145434	Effective Period: 10-JUL-09 - 31-DEC-09

S H I P T C	DOT CONTRACT ADMINISTRATION
	TWO CAPITOL HILL, RM 109
	SMITH ST
	PROVIDENCE, RI 02903
	United States

Date:	10-JUL-09
Buyer:	J Moynihan
Shipping:	Paid
Terms:	NET 30
Vendor#:	29

I N V O I C E	DOT ACCOUNTS PAYABLE
	TWO CAPITOL HILL, RM 243
	SMITH ST
	PROVIDENCE, RI 02903
	United States

Department	Type of Requisition	Bid Number	Requisition Number
DOT		N/A	1136698

7/10/09 - 12/31/09

ANTICIPATED PROJECT COMPLETION DATE: 12/9/09

RI CONTACT #2009-CH-031

INTERSTATE 95 RESURFACING SOUTHERN CONTRACT 1

CONTRACT PRICE: \$7,737,000.00

FAP NO: IM-0950 (125) 100% FEDERAL

AGENCY CONTACT:
LISA HILL
401-222-2495 X4401

SUPPLIER CONTACT:
401-737-1300

VENDOR

STATE PURCHASING AGENT
Louis A. DeQuattro



Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2009-CH-097 - RESURFACING OF U.S. ROUTE 1 - WESTERLY	
Award Number 3151294	Effective Period: 06-AUG-09 - 31-DEC-13

S H I P P I N G	DOT CONTRACT ADMINISTRATION TWO CAPITOL HILL, RM 109 SMITH ST PROVIDENCE, RI 02903 United States
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Date: 07-AUG-09
Buyer: J Moynihan
Shipping: Paid
Terms: NET 30
Vendor#: 29

I N V O I C E	DOT ACCOUNTS PAYABLE TWO CAPITOL HILL, RM 243 SMITH ST PROVIDENCE, RI 02903 United States
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Department	Type of Requisition	Bid Number	Requisition Number
DOT		7282909 XXXX	1141670

8/6/09 - 12/31/13

ANTICIPATED PROJECT COMPLETION DATE - 10/31/09

RI CONTRACT NO. 2009-CH-097

RESURFACING OF U.S. ROUTE 1 - WESTERLY

CONTRACT PRICE - \$1,673,737.75

RI FAP NO. STP-RESF (175)
80% FEDERAL - 20% STATE

AGENCY CONTACT PERSON: LISA HILL - (401) 222-3260 x4401

SUPPLIER TELEPHONE # (401) 737-1300
SUPPLIER FAX # (401) 732-4725

VENDOR

STATE PURCHASING AGENT
Louis A. DeQuattro

This Notice of Award/Purchase Order is issued in accordance with the specific requirements described herein and the State's Purchasing Regulations and General Conditions of Purchase, copies of which are available at www.purchasing.ri.gov. Delivery of goods or services as described herein shall be deemed acceptance of these requirements



Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2009-CH-036 - 1R IMPROVEMENTS
TO ROUTE 91 - CONTRACT 1

Award Number: 3154582
Effective Period: 08-SEP-09 - 31-DEC-15

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DOT CONTRACT ADMINISTRATION
TWO CAPITOL HILL, RM 109
SMITH ST
PROVIDENCE, RI 02903
United States

Date: 26-AUG-09
Buyer: L Roche
Shipping: Paid
Terms: NET 30
Vendor#: 29

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DOT ACCOUNTS PAYABLE
TWO CAPITOL HILL, RM 243
SMITH ST
PROVIDENCE, RI 02903
United States

Department	Type of Requisition	Bid Number	Requisition Number
DOT		7322921 NET	1144321

9/8/09 - 12/31/15

ANTICIPATED PROJECT COMPLETION DATE - 10/21/10

RI CONTRACT NO. 2009-CH-036

1R IMPROVEMENTS TO ROUTE 91 - CONTRACT 1

CONTRACT PRICE - \$3,127,106.17

FAP NO. STP-RESF (179)
100% FEDERAL

FAP NO. 3rd PARTY (088)

AGENCY CONTACT PERSON: GARY GARZONE - (401) 222-3260 x 4408

SUPPLIER TELEPHONE # (401) 737-1300
SUPPLIER FAX # (401) 732-4725

STATE PURCHASING AGENT
Louis A. DeQuattro

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Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2009-CH-077 - 1R IMPROVEMENTS TO DIAMOND HILL ROAD	
Award Number 3161470	Effective Period: 14-OCT-09 - 31-DEC-13

S H I P P I N G I N F O R M A T I O N	DOT CONTRACT ADMINISTRATION TWO CAPITOL HILL, RM 109 SMITH ST PROVIDENCE, RI 02903 United States
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Date: 14-OCT-09
Buyer: J Moynihan
Shipping: Paid
Terms: NET 30
Vendor#: 29

I N V O I C E	DOT ACCOUNTS PAYABLE TWO CAPITOL HILL, RM 243 SMITH ST. PROVIDENCE, RI 02903 United States
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Department	Type of Requisition	Bid Number	Requisition Number
DOT		7323009 N/A	1151819

10/14/09 - 12/31/13

ANTICIPATED PROJECT COMPLETION DATE - 10/22/10

RI CONTRACT NO. 2009-CH-077

1R IMPROVEMENTS TO DIAMOND HILL ROAD

CONTRACT PRICE - \$3,676,294.00

RI FAP NO. STP-RESF (170)
100% FEDERAL

AGENCY CONTACT PERSON: DENNIS BROWN - (401) 222-3260 x 4409

SUPPLIER TELEPHONE # (401) 737-1300
SUPPLIER FAX # (401) 732-4725

STATE PURCHASING AGENT
Louis A. DeQuattro



Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2009-CH-098 - ADA IMPROVEMENTS TO PROVIDENCE & MAIN STREETS, WEST WARWICK	
Award Number 3183941	Effective Period: 26-APR-10 - 31-DEC-13

S H I P P I N G I N F O R M A T I O N	DOT CONTRACT ADMINISTRATION
	TWO CAPITOL HILL, RM 109
	SMITH ST
	PROVIDENCE, RI 02903
	United States

Date:	26-APR-10
Buyer:	J Moynihan
Shipping:	Paid
Terms:	NET 30
Vendor#:	Z9

I N V O I C E	DOT ACCOUNTS PAYABLE
	TWO CAPITOL HILL, RM 243
	SMITH ST
	PROVIDENCE, RI 02903
	United States

Department	Type of Requisition	Bid Number	Requisition Number
DOT		7323272 1163218	1163218

4/26/10 - 12/31/13

ESTIMATED COMPLETION DATE - 8/12/10

RI CONTRACT NO. 2009-CH-098

ADA IMPROVEMENTS TO PROVIDENCE AND MAIN STREETS, WEST WARWICK

CONTRACT PRICE - \$868,591.65

RI FAP NO. STP-AWDA (022)
80% FEDERAL - 20% STATE

AGENCY CONTACT PERSON: DENNIS BROWN - (401) 222-3260 x 4409

SUPPLIER TELEPHONE # (401) 737-1300
SUPPLIER FAX # (401) 732-4725

STATE PURCHASING AGENT
Louis A. DeQuattro

VENDOR

This Notice of Award/Purchase Order is issued in accordance with the specific requirements described herein and the State's Purchasing Regulations and General Conditions of Purchase, copies of which are available at www.purchasing.d.gov. Delivery of goods or services on



Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2009-CH-042 - 1R IMPROVEMENTS
TO ROUTE 146 AND WILBUR ROAD
BRIDGE #415

Award Number: 3184082
Effective Period: 27-APR-10 - 31-DEC-15

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DOT CONTRACT ADMINISTRATION
TWO CAPITOL HILL, RM 109
SMITH ST
PROVIDENCE, RI 02903
United States

Date: 27-APR-10
Buyer: J Moynihan
Shipping: Paid
Terms: NET 30
Vendor#: 29

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DOT ACCOUNTS PAYABLE
TWO CAPITOL HILL, RM 243
SMITH ST
PROVIDENCE, RI 02903
United States

Department	Type of Requisition	Bid Number	Requisition Number
		N/A 7323377	1167672

4/27/10 - 12/31/15

ANTICIPATED COMPLETION DATE - 7/1/11

RI CONTRACT NO. 2009-CH-042

1R IMPROVEMENTS TO ROUTE 146 AND WILBUR ROAD BRIDGE #415

CONTRACT PRICE - \$8,185,284.55

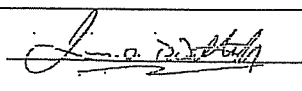
RI FAP NO. NHS-0146 (020)
100% FEDERAL

AGENCY CONTACT PERSON: KIMBERLY VADENAIS - (401) 222-2495 x 4403

SUPPLIER TELEPHONE # (401) 737-1300
SUPPLIER FAX # (401) 732-4725

VENDOR

STATE PURCHASING AGENT



Louis A. DeQuattro

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Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

I-95 RESURFACING SOUTHERN C-2 (2009-CH-032)	
Award Number 3193250	Effective Period: 15-JUL-10 31-DEC-14

S H I P T O	DOT CONTRACT ADMINISTRATION TWO CAPITOL HILL, RM 109 SMITH ST PROVIDENCE, RI 02903 United States
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Date:	15-JUL-10
Buyer:	J Moynihan
Shipping:	Paid
Terms:	NET 30
Vendor#:	29

I N V O I C E	DOT ACCOUNTS PAYABLE TWO CAPITOL HILL, RM-243 SMITH ST PROVIDENCE, RI 02903 United States
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Department	Type of Requisition	Bid Number	Requisition Number
DOT		7350670 AAA	1182842

7/15/10 - 12/31/14

ANTICIPATED COMPLETION DATE - 6/30/11

RI CONTRACT NO. 2009-CH-032

I-95 RESURFACING SOUTHERN C-2

CONTRACT PRICE - \$7,242,714.80

RI F&P NO. IM-0953 (109)

90% FEDERAL
10% STATE

AGENCY CONTACT PERSON: GARY GARZONE - (401) 222-2495-X4408

SUPPLIER TELEPHONE # (401) 737-1300

SUPPLIER FAX # (401) 732-4725

STATE PURCHASING AGENT
Lorraine A. Hynes

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Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
 ONE CAPITOL HILL
 PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
 800 JEFFERSON BLVD
 WARWICK, RI 02888

2010-DF-011 - FLD038 - DISASTER RECOVERY - ROUTE 165, EXETER	
Award Number 3185986	Effective Period: 13-MAY-10 - 31-DEC-10

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 DOT CONTRACT ADMINISTRATION
 TWO CAPITOL HILL, RM 109
 SMITH ST
 PROVIDENCE, RI 02903
 United States

Date: 13-MAY-10
 Buyer: J Moynihan
 Shipping: Paid
 Terms: NET 30
 Vendor#: 29

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 DOT ACCOUNTS PAYABLE
 TWO CAPITOL HILL, RM 243
 SMITH ST
 PROVIDENCE, RI 02903
 United States

Department	Type of Requisition	Bid Number	Requisition Number
DOT		N/A	1181166

5/13/10 - 12/31/10

ANTICIPATED COMPLETION DATE - 5/31/10

RI CONTRACT NO. 2010-DF-011 - FLD 038 - DISASTER RECOVERY

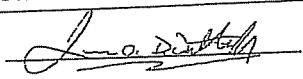
EMERGENCY DECLARATION - ROUTE 165, EXETER

RI CONTRACT PRICE - \$83,970.00

PER THE ATTACHED EMERGENCY DECLARATION WORK LETTER OF AGREEMENT DATED 4/30/10

AGENCY CONTACT PERSON: NATHAN SHAPIRO - (401) 222-3260 x 4410

SUPPLIER TELEPHONE # (401) 737-1300
 SUPPLIER FAX # (401) 732-4725

STATE PURCHASING AGENT

 Louis A. DeQuattro

This Notice of Award/Purchase Order is issued in accordance with the specific requirements described herein and the State's Purchasing Regulations and General Conditions of Purchase, copies of which are available at www.purchasing.ri.gov. Delivery of goods or services as described herein shall be deemed acceptance of these requirements

VENDOR



Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2010-DF-020 FLD-091 - DISASTER RECOVERY - WOOD RIVER BRIDGE #261, HOPKINTON	
Award Number 3187601	Effective Period: 01-JUN-10 - 31-DEC-10

S H I P P I N G I N F O R M A T I O N	DOT CONTRACT ADMINISTRATION TWO CAPITOL HILL, RM 109 SMITH ST PROVIDENCE, RI 02903 United States
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Date:	01-JUN-10
Buyer:	J Moynihan
Shipping:	Paid
Terms:	NET 30
Vendor#:	29

I N V O I C E	DOT ACCOUNTS PAYABLE TWO CAPITOL HILL, RM 243 SMITH ST PROVIDENCE, RI 02903 United States
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Department	Type of Requisition	Bid Number	Requisition Number
DOT		N/A	1183816

6/1/10 - 12/31/10

ANTICIPATED COMPLETION DATE - 30 DAYS

RI CONTRACT NO. 2010-DF-020 - FLD 091 - DISASTER RECOVERY

EMERGENCY DECLARATION - WOOD RIVER BRIDGE NO. 261, HOPKINTON

RI CONTRACT PRICE - \$197,780.90

FAP NO. FLD-EMRG (020)

PER THE ATTACHED EMERGENCY DECLARATION WORK LETTER OF AGREEMENT DATED 5/19/10

AGENCY CONTACT PERSON: NATHAN SHAPIRO - (401) 222-3260 x 4410

SUPPLIER TELEPHONE # (401) 737-1300

STATE PURCHASING AGENT
Louis A. DeQualtro

This Notice of Award/Purchase Order is issued in accordance with the specific requirements described herein and the State's Purchasing Regulations and General Conditions of Purchase, copies of which are available at www.purchasing.ri.gov. Delivery of goods or services as described herein shall be deemed acceptance of these requirements

VENDOR



Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2010-DF-040 FLD 380,381,382 - DISASTER RECOVERY - ROUTE 115, WEST WARWICK	
Award Number 3188469	Effective Period: 08-JUN-10 - 31-DEC-10

S H I P T O	DOT CONTRACT ADMINISTRATION TWO CAPITOL HILL, RM 109 SMITH ST PROVIDENCE, RI 02903 United States
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Date:	08-JUN-10
Buyer:	J Moynihan
Shipping:	Paid
Terms:	NET 30
Vendor#:	29

I N V O I C E	DOT ACCOUNTS PAYABLE TWO CAPITOL HILL, RM 243 SMITH ST PROVIDENCE, RI 02903 United States
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Department	Type of Requisition	Bid Number	Requisition Number
DOT		N/A	1184449

6/8/10 - 12/31/10

ANTICIPATED COMPLETION DATE - 21 DAYS FROM NTP

RI CONTRACT NO. 2010-DF-040 - FLD 380, 381, 382 - DISASTER RECOVERY

ROUTE 115, WEST WARWICK

RI CONTRACT PRICE - \$149,495.00

FAP NO. FLD-EMRG (040)
80% FEDERAL - 20% STATE

PER THE ATTACHED EMERGENCY DECLARATION WORK LETTER OF AGREEMENT DATED 5/24/10

AGENCY CONTACT PERSON: LISA HILL - (401) 222-3260 x 4401

SUPPLIER TELEPHONE # (401) 737-1300

STATE PURCHASING AGENT
Louis A. DeQuattro

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VENDOR



Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2010-DF-003 FLD #078 - DISASTER RECOVERY - ROUTE 3, HOPKINTON	
Award Number 3190960	Effective Period: 28-JUN-10 - 31-DEC-10

S H I P T O	DOT CONTRACT ADMINISTRATION TWO CAPITOL HILL, RM 109 SMITH ST PROVIDENCE, RI 02903 United States
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Date:	28-JUN-10
Buyer:	J Moynihan
Shipping:	Paid
Terms:	NET 30
Vendor#:	29

I N V O I C E	DOT ACCOUNTS PAYABLE TWO CAPITOL HILL, RM 243 SMITH ST PROVIDENCE, RI 02903 United States
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Department	Type of Requisition	Bid Number	Requisition Number
DOT		N/A	1185849

6/28/10 - 12/31/10

ANTICIPATED COMPLETION DATE - 30 DAYS

RI CONTRACT NO. 2010-DF-003 - FLD 078 - DISASTER RECOVERY

EMERGENCY DECLARATION - ROUTE 3, HOPKINTON

RI CONTRACT PRICE - \$81,908.84

FAP #FLD-EMRG (553)
80% FEDERAL - 20% STATE

AGENCY CONTACT PERSON: NATHAN SHAPIRO - (401) 222-3260 x 4410

SUPPLIER TELEPHONE # (401) 737-1300
SUPPLIER FAX # (401) 732-4725

STATE PURCHASING AGENT
Lorraine A. Hynes

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WARWICK



Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2010-DF-043 FLD 090,217,385 - DISASTER RECOVERY - REPAIRS TO ROUTE 117	
Award Number 3199222	Effective Period: 18-AUG-10 - 31-DEC-10

S H I P T O	DOT CONTRACT ADMINISTRATION TWO CAPITOL HILL, RM 109 SMITH ST PROVIDENCE, RI 02903 United States	Date: 18-AUG-10 Buyer: J Moynihan Shipping: Paid Terms: NET 30 Vendor#: 29	I N V O I C E	DOT ACCOUNTS PAYABLE TWO CAPITOL HILL, RM 243 SMITH ST PROVIDENCE, RI 02903 United States

Department	Type of Requisition	Bid Number	Requisition Number
DOT		7325694 1111	1195851

8/18/10 - 12/31/10

ANTICIPATED COMPLETION DATE - 45 DAYS

RI CONTRACT NO. 2010-DF-043 - FLD 090, 217, AND 385 - DISASTER RECOVERY

EMERGENCY DECLARATION - REPAIRS TO ROUTE 117

RI CONTRACT PRICE - \$1,149,500.00

FAP #FLD-EMRG (043)
80% FEDERAL - 20% STATE

AGENCY CONTACT PERSON: LISA HILL - (401) 222-3260 x 4401

SUPPLIER TELEPHONE # (401) 737-1300
SUPPLIER FAX # (401) 732-4725

STATE PURCHASING AGENT
Lorraine A. Hynes

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WARWICK



Notice of Blanket Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

PAVING PAWTUCKET STOCKPILE AREA,
DOT

Award
Number
3208602

Effective Period:
04-NOV-10 - 30-JUN-11

S H I P T O	DOT MAINTENANCE DIVISION MAIN OFFICE 360 LINCOLN AVE WARWICK, RI 02888 United States	Date: 03-NOV-10 Buyer: J Onara 2nd Shipping: Paid Terms: NET 30 Vendor #: 29	I N V O I C E	DOT ACCOUNTS PAYABLE TWO CAPITOL HILL, RM 243 SMITH ST PROVIDENCE, RI 02903 United States

Department	Type of Requisition	Bid Number	Requisition Number
		N/A	
Line	Item	Item Description	Unit Price

	<p>BLANKET REQUIREMENTS: 11/4/10 - 8/30/11</p> <p>PAVING PAWTUCKET STOCKPILE AREA, DOT</p> <p>NOT TO EXCEED - \$178,300.00</p> <p>BASE BID \$146,899.70 20% E & C \$ 29,400.30 \$178,300.00</p> <p>IN ACCORDANCE WITH THE PLANS, SPECIFICATIONS AND DOCUMENTS OF PUBLIC BID #7447787 DATED 9/27/10.</p> <p>AGENCY CONTACT PERSON: SONGHAP TAING TELEPHONE NO. (401) 734-4853</p> <p>SUPPLIER CONTACT PERSON: LEE TAYLOR TELEPHONE NO. (401) 737-1300</p>		
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STATE PURCHASING AGENT

Lorraine A. Hynes

Lorraine A. Hynes

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RI Purchase Agreement Amendment Report

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
 ONE CAPITOL HILL
 PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
 800 JEFFERSON BLVD
 WARWICK, RI 02888

Amendment Date: 15-FEB-11
 Original Award Date: 03-NOV-10
 Buyer: J O'hara 2nd
 Phone #
 FOB: Destination
 Terms: NET 30
 Vendor # 29

S H I P T O	DOT MAINTENANCE DIVISION MAIN OFFICE 360 LINCOLN AVE WARWICK, RI 02888 United States	Change Order Number: 1	I N V O I C E	DOT ACCOUNTS PAYABLE TWO CAPITOL HILL, RM 243 SMITH ST PROVIDENCE, RI 02903 United States
		Award Number: 3208602		Effective Period: 04-NOV-10 - 30-JUN-11

PAVING PAWTUCKET STOCKPILE AREA, DOT

Description		Bid Number	Change Order Req#		
PAVING PAWTUCKET STOCKPILE AREA, DOT			DOT-FY11-071		
Line #	Code	Class-Item	Quantity	Unit	Unit Price
		BLANKET REQUIREMENTS: 11/4/10 - 6/30/11			
		PAVING PAWTUCKET STOCKPILE AREA, DOT			
		BASE BID \$146,899.70			
		20% E & C \$29,400.30			
		\$176,300.00			
		C/O #1 \$15,000.00 ✓			
		TOTAL \$191,300.00			
		IN ACCORDANCE WITH THE PLANS, SPECIFICATIONS AND DOCUMENTS OF PUBLIC BID #7447787 DATED 9/27/10			
		AGENCY CONTACT PERSON: SONGHAP TAING TELEPHONE NO: (401) 734-4853			
		SUPPLIER CONTACT PERSON: LEE TAYLOR TELEPHONE NO: (401) 737-1300			
		2/15/11 - BLANKET CONTROL AMOUNT INCREASED FROM \$176,300.00 TO \$191,300.00 PER AGENCY DOC. ID #DOT-FY11-071/da TO COVER ADDITIONAL WORK ASSOCIATED WITH INSTALLING MORE FENCING AT THE ABOVE LOCATION. SEE 12/7/10 MEMO FROM DOT			

VENDOR

STATE PURCHASING AGENT

 Lorraine A. Hynes

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COPY



Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2011-CH-015 - IMPROVEMENTS TO ROUTE 3	
Award Number: 32284.10	Effective Period: 29-APR-11 31-DEC-15

S H I P P I N G T O	DOT CONTRACT ADMINISTRATION
	TWO CAPITOL HILL RM 109
	SMITH ST
	PROVIDENCE, RI 02903
	United States

Date:	29-APR-11
Buyer:	J Moynihan
Shipping:	Paid
Terms:	NET 30
Vendor#:	29

I N V O I C E	DOT ACCOUNTS PAYABLE
	TWO CAPITOL HILL, RM 243
	SMITH ST
	PROVIDENCE, RI 02903
	United States

Department	Type of Requisition	Bid Number	Requisition Number
DOT		7448238 N/A	1213353

4/29/11 - 12/31/15

ANTICIPATED COMPLETION DATE - 6/22/12

RI CONTRACT NO: 2011-CH-015

IMPROVEMENTS TO ROUTE 3

CONTRACT PRICE - \$3,485,584.30

RI FAP NO: STP-0003 (010)
80% FEDERAL - 20% STATE

RI FAP NO: STPG-0003 (011)
100% FEDERAL

AGENCY CONTACT PERSON: DENNIS BROWN - (401) 222-3260 x 4409

SUPPLIER TELEPHONE # (401) 737-1300
SUPPLIER FAX # (401) 732-4725

STATE PURCHASING AGENT
<i>Lorraine A. Hynes</i>
Lorraine A. Hynes

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VENDOR



Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2011-CH-049 - IMPROVEMENTS TO US ROUTE 1-SUCCOTASH RD. TO WOODRUFF AVE.	
Award Number: 3234945	Effective Period: 23-JUN-11 31-DEC-16

S H I P P I N G I N F O	DOT CONTRACT ADMINISTRATION TWO CAPITOL HILL, RM 109 SMITH ST PROVIDENCE, RI 02903 United States
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Date:	23-JUN-11
Buyer:	J Moynihan
Shipping:	Paid
Terms:	NET 30
Vendor#:	29

I N V O I C E	DOT ACCOUNTS PAYABLE TWO CAPITOL HILL, RM 243 SMITH ST PROVIDENCE, RI 02903 United States
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Department	Type of Requisition	Bid Number	Requisition Number
DOT		7448572 N/A	1222988

6/23/11 - 12/31/16

ANTICIPATED COMPLETION DATE - 12/1/11

RI CONTRACT NO. 2011-CH-049

IMPROVEMENTS TO U.S. ROUTE 1 - SUCCOTASH ROAD TO WOODRUFF AVENUE

CONTRACT PRICE - \$5,230,027.56

RI FAP NO. NHS-RESF (202)

80% FEDERAL - 20% STATE

RI FAP NO. NHSG-RESF (203)

100% FEDERAL

AGENCY CONTACT PERSON: KIMBERLY VADENAIS - (401) 222-2495 x 4403

SUPPLIER TELEPHONE # (401) 737-1300

SUPPLIER FAX # (401) 732-4725

STATE PURCHASING AGENT
<i>Lorraine A. Hynes</i>
Lorraine A. Hynes

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VENDOR

COPY



Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE, RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2011-CH-082 - EMERGENCY REPAIRS TO ROUTE 2 RAMP TO NEW LONDON AVENUE	
Award Number 3248261	Effective Period: 19-SEP-11 31-DEC-16

S H I P T O	DOT CONTRACT ADMINISTRATION
	TWO CAPITOL HILL, RM. 109
	SMITH ST
	PROVIDENCE, RI 02903
	United States

Date:	19-SEP-11
Buyer:	L Hill
Shipping:	Paid
Terms:	NET 30
Vendor#:	29

I N V O I C E	DOT ACCOUNTS PAYABLE
	TWO CAPITOL HILL, RM. 243
	SMITH ST
	PROVIDENCE, RI 02903
	United States

Department	Type of Requisition	Bid Number	Requisition Number
DOT	EMERGENCY	N/A	1241888

9/19/11 - 12/31/16

ANTICIPATED COMPLETION DATE - 30 CALENDAR DAYS FROM ISSUANCE OF "NOTICE TO PROCEED"

RI CONTRACT NO. 2011-CH-082

EMERGENCY REPAIRS TO ROUTE 2 RAMP TO NEW LONDON AVENUE

CONTRACT PRICE - \$89,953.50

RIFAP NO. STP-0002 (019)
80% FEDERAL - 20% STATE

AGENCY CONTACT PERSON: GARY GARZONE - (401) 222-3260 x 4408

SUPPLIER TELEPHONE # (401) 737-1300
SUPPLIER FAX # (401) 732-4725

STATE PURCHASING AGENT
<i>Lorraine A. Hynes</i>
Lorraine A. Hynes

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VENDOR

<u>Name of General Officer, Candidate for General Office, or Political Party</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Name of Contributor</u>	<u>Relationship to Contracting Party</u>
Senator Domenic Ruggiero	03/07/11 PR	\$500.00	Michael V. D'Ambra	None
Senator Frank Ciccone	03/18/11 PR	\$250.00	Michael V. D'Ambra	None
Representative Steve Ucci	04/26/11 PR	\$250.00	Michael V. D'Ambra	None
Langevin for Congress	06/29/11 PR	\$1,000.00	Michael V. D'Ambra	None
Friends of Gina Raimondo	09/06/11	\$250.00	Michael V. D'Ambra	None
Friends of Gina Raimondo	09/06/11	\$200.00	Michael V. D'Ambra	None
Steve Archambault for Atty Gen.	10/14/11	\$200.00	Michael V. D'Ambra	None
Lou Raptakis for State Rep	10/31/11	\$250.00	Michael V. D'Ambra	None

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
BOARD OF ELECTIONS
CAMPAIGN FINANCE DIVISION
50 Branch Avenue, Providence, Rhode Island 02904
TEL. (401)222-2056 [TDD: 222-2239]

STATE OF RHODE ISLAND
BOARD OF ELECTIONS
11 JUL 18 AM 10:37

**AFFIDAVIT OF STATE VENDOR
UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND**

ctions:

Every person or business entity contracting with a state agency on or after July 27, 1993 to sell goods and services (contracting party) deemed to be a "state vendor". Also deemed to be a "state vendor" is (a) a person having a 10% or greater ownership interest in such contracting party, or (b) a person who is an executive officer of such contracting party, or (c) a person who is the spouse or minor child of the contracting party, or of a person having such 10% or greater ownership interest, or of a person who is an executive officer of such contracting (related parties).

This affidavit must be filed with the State Board of Elections, 50 Branch Avenue, Providence, Rhode Island 02904 by every state in connection with any contract with a state agency to provide goods and/or services costing \$5000 or more. If the contract is in writing, affidavit must be filed within 60 days after the contract is executed and **MUST BE ACCOMPANIED BY A COPY OF THE CONTRACT, WRITTEN SUMMARY THEREOF.** If the contract is not in writing, then the affidavit must be filed within 60 days from the date when the vendor is first notified that the \$5000 threshold has been reached. However, Chapter 17-27 and the Regulations adopted thereunder, semi-annual filings of affidavits under certain circumstances.

Every person or business entity qualifying as a state vendor (either as the contracting party or as a related party) and providing goods and services at a cost of \$5000 or more shall be responsible for filing this affidavit. A state vendor who is a contracting party must file this affidavit when the \$5000 threshold has been reached, even if no reportable political contributions have been made either by the contracting party or a related party. A state vendor who is a related party must file this affidavit only when a reportable political contribution has been made by a related party, and then, only if such contribution has not been included in an affidavit filed by the contracting party. A reportable political contribution is defined in question #16. The affidavit, when filed, will be a public document.

The Board of Elections is empowered to impose civil penalties for violations of Chapter 17-27. The attention of state vendors is directed to the Regulations adopted by the Board of Elections in connection with the preparation and filing of this affidavit which are on file at the offices of both the Board of Elections and the Secretary of State.

Statement Under Oath or Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information:

Name, address and telephone number of person making this affidavit.

Michael V. D'Ambra
NAME OF PERSON MAKING THIS AFFIDAVIT
800 Jefferson Boulevard, Warwick, RI 02887
ADDRESS
(401)737-1300
TELEPHONE NUMBER

What is the date of execution of the written contract requiring the filing of this affidavit? [Such contract must be filed with this affidavit.]

DATE

If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold?

DATE

If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

Is this affidavit made by you as an individual or on behalf of a business entity? Individual On Behalf of Business Entity

If on behalf of a business entity, what is the name and nature of the business entity?

Name of Business Entity D'Ambra Construction Co., Inc. FEIN # 05-0352104
Check One:) Corporation Partnership Sole Proprietorship Other Business Entity

If "other business entity" is checked above, what is the nature of such business entity?

Are you a state vendor because you are the contracting party in a contract with a state agency?

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
BOARD OF ELECTIONS
CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence, Rhode Island 02904

TEL. (401) 222-2056 [TDD: 222-2239]

NOTICE AND CERTIFICATION OF STATE VENDOR
FOR RELIEF FROM MULTIPLE FILINGS

The undersigned certifies to the State Board of Elections under Section 17-27-3 of the General Laws of Rhode Island that it has reasonable cause to believe that for the calendar year 2010, it will be required to file two or more affidavits, and that this notice and certification is being filed for the purpose of permitting the undersigned to report on a semi-annual basis to the State Board of Elections for the aforementioned calendar year.

D'Ambra Construction Co., Inc.

State Vendor

July 14, 2011

Date

NOTE:

State vendors are permitted to file semi-annual reports with the Board of Elections if they reasonably believe that they otherwise would be required to file multiple reports within any single calendar year. In order to avail themselves of this opportunity, state vendors are required to file this Notice and Certification with the State Board of Elections for each calendar year no later than the date by which the first report to the Board of Elections for such calendar year would otherwise be due, i.e. 60 days from the date of execution of a contract with a state agency or in the case of unwritten contracts, within 60 days after the state vendor is first notified that the contract has reached the \$5000 reporting threshold.



Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

I-95 RESURFACING SOUTHERN C-1	
Award Number 3145434	Effective Period: 10-JUL-09 - 31-DEC-09

S H I P T C	DOT CONTRACT ADMINISTRATION
	TWO CAPITOL HILL, RM 109
	SMITH ST
	PROVIDENCE, RI 02903
	United States

Date:	10-JUL-09
Buyer:	J Moynihan
Shipping:	Paid
Terms:	NET 30
Vendor#:	29

I N V O I C E	DOT ACCOUNTS PAYABLE
	TWO CAPITOL HILL, RM 243
	SMITH ST
	PROVIDENCE, RI 02903
	United States

Department	Type of Requisition	Bid Number	Requisition Number
DOT		N/A	1136698

7/10/09 - 12/31/09

ANTICIPATED PROJECT COMPLETION DATE: 12/9/09

RI CONTACT #2009-CH-031

INTERSTATE 95 RESURFACING SOUTHERN CONTRACT 1

CONTRACT PRICE: \$7,737,000.00

FAP NO: IM-0950 (125) 100% FEDERAL

AGENCY CONTACT:
LISA HILL
401-222-2495 X4401

SUPPLIER CONTACT:
401-737-1300

Vendor

STATE PURCHASING AGENT
Louis A. DeQuattro



Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2009-CH-097 - RESURFACING OF U.S. ROUTE 1 - WESTERLY	
Award Number 3151294	Effective Period: 06-AUG-09 - 31-DEC-13

S H I P P I N G T O	DOT CONTRACT ADMINISTRATION
	TWO CAPITOL HILL, RM 109
	SMITH ST
	PROVIDENCE, RI 02903
	United States

Date:	07-AUG-09
Buyer:	J Moynihan
Shipping:	Paid
Terms:	NET 30
Vendor#:	29

I N V O I C E	DOT ACCOUNTS PAYABLE
	TWO CAPITOL HILL, RM 243
	SMITH ST
	PROVIDENCE, RI 02903
	United States

Department	Type of Requisition	Bid Number	Requisition Number
DOT		7282909 XXXX	1141670

8/6/09 - 12/31/13

ANTICIPATED PROJECT COMPLETION DATE - 10/31/09

RI CONTRACT NO, 2009-CH-097

RESURFACING OF U.S. ROUTE 1 - WESTERLY

CONTRACT PRICE - \$1,673,737.75

RI FAP NO. STP-RÉSÉ (175)
80% FEDERAL - 20% STATE

AGENCY CONTACT PERSON: LISA HILL - (401) 222-3260 x4401

SUPPLIER TELEPHONE # (401) 737-1300
SUPPLIER FAX # (401) 732-4725

VENDOR

STATE PURCHASING AGENT
Louis A. DeQuattro

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Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2009-CH-036 - 1R IMPROVEMENTS
TO ROUTE 91 - CONTRACT 1

Award Number: 3154582
Effective Period: 08-SEP-09 - 31-DEC-15

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DOT CONTRACT ADMINISTRATION
TWO CAPITOL HILL, RM 109
SMITH ST
PROVIDENCE, RI 02903
United States

Date: 26-AUG-09
Buyer: L Roche
Shipping: Paid
Terms: NET 30
Vendor#: 29

**I
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DOT ACCOUNTS PAYABLE
TWO CAPITOL HILL, RM 243
SMITH ST
PROVIDENCE, RI 02903
United States

Department	Type of Requisition	Bid Number	Requisition Number
DOT		7322921 NFA	1144321

9/8/09 - 12/31/15

ANTICIPATED PROJECT COMPLETION DATE - 10/21/10

RI CONTRACT NO. 2009-CH-036

1R IMPROVEMENTS TO ROUTE 91 - CONTRACT 1

CONTRACT PRICE - \$3,127,106.17

FAP NO. STP-RESF. (179)
100% FEDERAL

FAP NO. 3rd PARTY (088)

AGENCY CONTACT PERSON: GARY GARZONE - (401) 222-3260 x 4408

SUPPLIER TELEPHONE # (401) 737-1300
SUPPLIER FAX # (401) 732-4725

STATE PURCHASING AGENT
Louis A. DeQuattro

This Notice of Award/Purchase Order is issued in accordance with the specific requirements described herein and the State's Purchasing Regulations and General Conditions of Purchase, copies of which are available...



Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2009-CH-005 - 1R IMPROVEMENTS TO ATWELLS AVENUE - CONTRACT 2	
Award Number 3153385	Effective Period: 24-AUG-09 - 31-DEC-13

S H I P T C	DOT CONTRACT ADMINISTRATION TWO CAPITOL HILL, RM 109 SMITH ST PROVIDENCE, RI 02903 United States	Date: 21-AUG-09 Buyer: L Roche Shipping: Paid Terms: NET 30 Vendor#: 29	I N V O I C E	DOT ACCOUNTS PAYABLE TWO CAPITOL HILL, RM 243 SMITH ST PROVIDENCE, RI 02903 United States

Department	Type of Requisition	Bid Number	Requisition Number
DOT		7284907 N/A	1141692

8/24/09 - 12/31/13

EXPECTED PROJECT COMPLETION DATE - 7/3/10

RI CONTRACT NO. 2009-CH-005

1R IMPROVEMENTS TO ATWELLS AVENUE - CONTRACT 2

CONTRACT PRICE - \$1,291,684.10

FAP NO. STP-RESF (135)
80% FEDERAL - 20% STATE

AGENCY CONTACT PERSON: DENNIS BROWN - (401) 222-3260 x 4409

SUPPLIER TELEPHONE # (401) 737-1300
SUPPLIER FAX # (401) 732-4725

STATE PURCHASING AGENT
Louis A. DeQuattro

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Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2009-CH-077 - 1R IMPROVEMENTS TO DIAMOND HILL ROAD	
Award Number 3161470	Effective Period: 14-OCT-09 - 31-DEC-13

S H I P T O	DOT CONTRACT ADMINISTRATION
	TWO CAPITOL HILL, RM 109
	SMITH ST
	PROVIDENCE, RI 02903
	United States

Date:	14-OCT-09
Buyer:	J Moynihan
Shipping:	Paid
Terms:	NET 30
Vendor#:	29

I N V O I C E	DOT ACCOUNTS PAYABLE
	TWO CAPITOL HILL, RM 243
	SMITH ST.
	PROVIDENCE, RI 02903
	United States

Department	Type of Requisition	Bid Number	Requisition Number
DOT		7323009 N/A	1151819

10/14/09 - 12/31/13

ANTICIPATED PROJECT COMPLETION DATE - 10/22/10

RI CONTRACT NO. 2009-CH-077

1R IMPROVEMENTS TO DIAMOND HILL ROAD

CONTRACT PRICE - \$3,676,294.00

RI FAP NO. STP-RESF (170)
100% FEDERAL

AGENCY CONTACT PERSON: DENNIS BROWN - (401) 222-3260 x 4409

SUPPLIER TELEPHONE # (401) 737-1300
SUPPLIER FAX # (401) 732-4725

STATE PURCHASING AGENT
Louis A. DeQuattro



Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2009-CH-098 - ADA IMPROVEMENTS
TO PROVIDENCE & MAIN STREETS,
WEST WARWICK

Award Number 3183941 Effective Period:
26-APR-10 -
31-DEC-13

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DOT CONTRACT ADMINISTRATION
TWO CAPITOL HILL, RM 109
SMITH ST
PROVIDENCE, RI 02903
United States

Date: 26-APR-10
Buyer: J Moynihan
Shipping: Paid
Terms: NET 30
Vendor#: Z9

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DOT ACCOUNTS PAYABLE
TWO CAPITOL HILL, RM 243
SMITH ST
PROVIDENCE, RI 02903
United States

Department	Type of Requisition	Bid Number	Requisition Number
DOT		7323272 <small>132K</small>	1163218

4/26/10 - 12/31/13

ESTIMATED COMPLETION DATE - 8/12/10

RI CONTRACT NO. 2009-CH-098

ADA IMPROVEMENTS TO PROVIDENCE AND MAIN STREETS, WEST WARWICK

CONTRACT PRICE - \$868,591.65

RI FAP NO. STP-AWDA (022)
80% FEDERAL - 20% STATE

AGENCY CONTACT PERSON: DENNIS BROWN - (401) 222-3260 x 4409

SUPPLIER TELEPHONE # (401) 737-1300
SUPPLIER FAX # (401) 732-4725

STATE PURCHASING AGENT
Louis A. DeQuattro

VENDOR

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Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2009-CH-042 - 1R IMPROVEMENTS TO ROUTE 146 AND WILBUR ROAD BRIDGE #415	
Award Number 3184082	Effective Period: 27-APR-10 - 31-DEC-15

SHIP TO	DOT CONTRACT ADMINISTRATION TWO CAPITOL HILL, RM 109 SMITH ST PROVIDENCE, RI 02903 United States
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Date:	27-APR-10
Buyer:	J Moynihan
Shipping:	Paid
Terms:	NET 30
Vendor#:	29

INVOICE	DOT ACCOUNTS PAYABLE TWO CAPITOL HILL, RM 243 SMITH ST PROVIDENCE, RI 02903 United States
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Department	Type of Requisition	Bid Number	Requisition Number
		N/A 7323377	1167672

4/27/10 - 12/31/15

ANTICIPATED COMPLETION DATE - 7/1/11

RI CONTRACT NO. 2009-CH-042

1R IMPROVEMENTS TO ROUTE 146 AND WILBUR ROAD BRIDGE #415

CONTRACT PRICE - \$8,185,284.55

RI FAP NO. NHS-0146 (020)
100% FEDERAL

AGENCY CONTACT PERSON: KIMBERLY VADENAIS - (401) 222-2495 x 4403

SUPPLIER TELEPHONE # (401) 737-1300
SUPPLIER FAX # (401) 732-4725

VENDOR

STATE PURCHASING AGENT
Louis A. DeQuattro

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Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

I-95 RESURFACING SOUTHERN C-2
(2009-CH-032)

Award Number: 3193250
Effective Period: 15-JUL-10 - 31-DEC-14

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DOT CONTRACT ADMINISTRATION
TWO CAPITOL HILL, RM 109
SMITH ST
PROVIDENCE, RI 02903
United States

Date: 15-JUL-10
Buyer: J Moynihan
Shipping: Paid
Terms: NET 30
Vendor#: 29

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DOT ACCOUNTS PAYABLE
TWO CAPITOL HILL, RM-243
SMITH ST
PROVIDENCE, RI 02903
United States

Department	Type of Requisition	Bid Number	Requisition Number
DOT		7350670 N/A	1182842

7/15/10 - 12/31/14

ANTICIPATED COMPLETION DATE - 6/30/11

RI CONTRACT NO. 2009-CH-032

I-95 RESURFACING SOUTHERN C-2

CONTRACT PRICE - \$7,242,714.80

RI FAP NO. IM-0953 (109)
90% FEDERAL
10% STATE

AGENCY CONTACT PERSON: GARY GARZONE - (401) 222-2495 X4408

SUPPLIER TELEPHONE # (401) 737-1300
SUPPLIER FAX # (401) 732-4725

STATE PURCHASING AGENT

Lorraine A. Hynes

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Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
 ONE CAPITOL HILL
 PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
 800 JEFFERSON BLVD
 WARWICK, RI 02888

2010-DF-011 - FLD038 - DISASTER
 RECOVERY - ROUTE 165, EXETER

Award Number: 3185986
 Effective Period: 13-MAY-10 - 31-DEC-10

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DOT CONTRACT ADMINISTRATION
 TWO CAPITOL HILL, RM 109
 SMITH ST
 PROVIDENCE, RI 02903
 United States

Date: 13-MAY-10
 Buyer: J Moynihan
 Shipping: Paid
 Terms: NET 30
 Vendor#: 29

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DOT ACCOUNTS PAYABLE
 TWO CAPITOL HILL, RM 243
 SMITH ST
 PROVIDENCE, RI 02903
 United States

Department	Type of Requisition	Bid Number	Requisition Number
DOT		N/A	1181166

5/13/10 - 12/31/10

ANTICIPATED COMPLETION DATE - 5/31/10

RI CONTRACT NO. 2010-DF-011 - FLD 038 - DISASTER RECOVERY

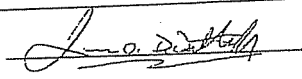
EMERGENCY DECLARATION - ROUTE 165, EXETER

RI CONTRACT PRICE - \$83,970.00

PER THE ATTACHED EMERGENCY DECLARATION WORK LETTER OF AGREEMENT DATED 4/30/10

AGENCY CONTACT PERSON: NATHAN SHAPIRO - (401) 222-3260 x 4410

SUPPLIER TELEPHONE # (401) 737-1300
 SUPPLIER FAX # (401) 732-4725

STATE PURCHASING AGENT

 Louis A. DeQuattro

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VENDOR



Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2010-DF-020 FLD-091 - DISASTER RECOVERY - WOOD RIVER BRIDGE #261, HOPKINTON	
Award Number 3187601	Effective Period: 01-JUN-10 - 31-DEC-10

S H I P P I N G	DOT CONTRACT ADMINISTRATION TWO CAPITOL HILL, RM 109 SMITH ST PROVIDENCE, RI 02903 United States
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Date:	01-JUN-10
Buyer:	J Moynihan
Shipping:	Paid
Terms:	NET 30
Vendor#:	29

I N V O I C E	DOT ACCOUNTS PAYABLE TWO CAPITOL HILL, RM 243 SMITH ST PROVIDENCE, RI 02903 United States
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Department	Type of Requisition	Bid Number	Requisition Number
DOT		N/A	1183816

6/1/10 - 12/31/10

ANTICIPATED COMPLETION DATE - 30 DAYS

RI CONTRACT NO. 2010-DF-020 - FLD 091 - DISASTER RECOVERY

EMERGENCY DECLARATION - WOOD RIVER BRIDGE NO. 261, HOPKINTON

RI CONTRACT PRICE - \$197,780.90

FAP NO. FLD-EMRG (020)

PER THE ATTACHED EMERGENCY DECLARATION WORK LETTER OF AGREEMENT DATED 5/19/10

AGENCY CONTACT PERSON: NATHAN SHAPIRO - (401) 222-3260 x 4410

SUPPLIER TELEPHONE # (401) 737-1300

STATE PURCHASING AGENT
Louis A. DeQuattro

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VENDOR



Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2010-DF-040 FLD 380,381,382 - DISASTER RECOVERY - ROUTE 115, WEST WARWICK	
Award Number 3188469	Effective Period: 08-JUN-10 - 31-DEC-10

S H I P P I N G	DOT CONTRACT ADMINISTRATION TWO CAPITOL HILL, RM 109 SMITH ST PROVIDENCE, RI 02903 United States
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Date:	08-JUN-10
Buyer:	J Moynihan
Shipping:	Paid
Terms:	NET 30
Vendor#:	29

I N V O I C E	DOT ACCOUNTS PAYABLE TWO CAPITOL HILL, RM 243 SMITH ST PROVIDENCE, RI 02903 United States
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Department	Type of Requisition	Bid Number	Requisition Number
DOT		N/A	1184449

6/8/10 - 12/31/10

ANTICIPATED COMPLETION DATE - 21 DAYS FROM NTP

RI CONTRACT NO. 2010-DF-040 - FLD 380, 381, 382 - DISASTER RECOVERY

ROUTE 115, WEST WARWICK

RI CONTRACT PRICE - \$149,495.00

FAP NO. FLD-EMRG (040)
80% FEDERAL - 20% STATE

PER THE ATTACHED EMERGENCY DECLARATION WORK LETTER OF AGREEMENT DATED 5/24/10

AGENCY CONTACT PERSON: LISA HILL - (401) 222-3260 x 4401

SUPPLIER TELEPHONE # (401) 737-1300

STATE PURCHASING AGENT
Louis A. DeQuattro

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VENNOR



Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2010-DF-003 FLD #078 - DISASTER RECOVERY - ROUTE 3, HOPKINTON	
Award Number 3190960	Effective Period: 28-JUN-10 - 31-DEC-10

S H I P T O	DOT CONTRACT ADMINISTRATION TWO CAPITOL HILL, RM 109 SMITH ST PROVIDENCE, RI 02903 United States
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Date:	28-JUN-10
Buyer:	J Moynihan
Shipping:	Paid
Terms:	NET 30
Vendor#:	29

I N V O I C E	DOT ACCOUNT'S PAYABLE TWO CAPITOL HILL, RM 243 SMITH ST PROVIDENCE, RI 02903 United States
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Department	Type of Requisition	Bid Number	Requisition Number
DOT		N/A	1185849

6/28/10 - 12/31/10

ANTICIPATED COMPLETION DATE - 30 DAYS

RI CONTRACT NO. 2010-DF-003 - FLD 078 - DISASTER RECOVERY

EMERGENCY DECLARATION - ROUTE 3, HOPKINTON

RI CONTRACT PRICE - \$81,908.84

FAP #FLD-EMRG (553)
80% FEDERAL - 20% STATE

AGENCY CONTACT PERSON: NATHAN SHAPIRO - (401) 222-3260 x 4410

SUPPLIER TELEPHONE # (401) 737-1300
SUPPLIER FAX # (401) 732-4725

STATE PURCHASING AGENT
Lorraine A. Hynes

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WEAVER



Notice of Blanket Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

PAVING PAWTUCKET STOCKPILE AREA,
DOT

Award
Number
3208602

Effective Period:
04-NOV-10 - 30-JUN-11

S H I P P I N G T O	DOT MAINTENANCE DIVISION MAIN OFFICE 360 LINCOLN AVE WARWICK, RI 02888 United States	Date: 03-NOV-10 Buyer: J Onara 2nd Shipping: Paid Terms: NET 30 Vendor #: 29	I N V O I C E	DOT ACCOUNTS PAYABLE TWO CAPITOL HILL, RM 243 SMITH ST PROVIDENCE, RI 02903 United States

Line	Item	Item Description	Unit	Unit Price
		Department	Type of Requisition	Bid Number
				Requisition Number
				N/A

		<p>BLANKET REQUIREMENTS: 11/4/10 - 6/30/11</p> <p>PAVING PAWTUCKET STOCKPILE AREA, DOT</p> <p>NOT TO EXCEED - \$176,300.00</p> <p>BASE BID \$146,899.70 20% E & C \$ 29,400.30 \$176,300.00</p> <p>IN ACCORDANCE WITH THE PLANS, SPECIFICATIONS AND DOCUMENTS OF PUBLIC BID #7447787 DATED 9/27/10.</p> <p>AGENCY CONTACT PERSON: SONGHAP TAING TELEPHONE NO. (401) 734-4853</p> <p>SUPPLIER CONTACT PERSON: LEE TAYLOR TELEPHONE NO. (401) 737-1300</p>		
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STATE PURCHASING AGENT
Lorraine A. Hynes

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Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2010-DF-043 FLD 090,217,385 - DISASTER RECOVERY - REPAIRS TO ROUTE 117	
Award Number 3199222	Effective Period: 18-AUG-10 - 31-DEC-10

S H I P T O	DOT CONTRACT ADMINISTRATION TWO CAPITOL HILL, RM 109 SMITH ST PROVIDENCE,RI 02903 United States
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Date:	18-AUG-10
Buyer:	J Moynihan
Shipping:	Paid
Terms:	NET 30
Vendor#:	29

I N V O I C E	DOT ACCOUNTS PAYABLE TWO CAPITOL HILL, RM 243 SMITH ST PROVIDENCE,RI 02903 United States
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Department	Type of Requisition	Bid Number	Requisition Number
DOT		7325694 NA	1195851

8/18/10 - 12/31/10

ANTICIPATED COMPLETION DATE - 45 DAYS

RI CONTRACT NO. 2010-DF-043 - FLD 090, 217, AND 385 - DISASTER RECOVERY

EMERGENCY DECLARATION - REPAIRS TO ROUTE 117

RI CONTRACT PRICE - \$1,149,500.00

FAP #FLD-EMRG (043)
80% FEDERAL - 20% STATE

AGENCY CONTACT PERSON: LISA HILL - (401) 222-3260 x 4401

SUPPLIER TELEPHONE # (401) 737-1300
SUPPLIER FAX # (401) 732-4725

STATE PURCHASING AGENT
Lorraine A. Hynes

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WARWICK



Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2011-CB-007 - EMERGENCY REPAIRS TO BARBERVILLE BRIDGE NO. 41	
Award Number 3210583	Effective Period: 19-NOV-10 - 31-DEC-11

S H I P T O	DOT CONTRACT ADMINISTRATION TWO CAPITOL HILL, RM 109 SMITH ST PROVIDENCE, RI 02903 United States
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Date:	19-NOV-10
Buyer:	J Moynihan
Shipping:	Paid
Terms:	NET 30
Vendor#:	29

I N V O I C E	DOT ACCOUNTS PAYABLE TWO CAPITOL HILL, RM 243 SMITH ST PROVIDENCE, RI 02903 United States
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Department	Type of Requisition	Bid Number	Requisition Number
DOT	EMERGENCY	N/A	1205397

11/19/10 - 12/31/11

ANTICIPATED COMPLETION DATE - 30 DAYS FROM NTP

RI CONTRACT NO. 2011-CB-007

TYPE II EMERGENCY - EMERGENCY REPAIRS TO BARBERVILLE BRIDGE NO. 41

CONTRACT PRICE - \$84,900.00

FAP NO. STP-0041 (002)
80% FEDERAL - 20% STATE

AGENCY CONTACT PERSON: GARY GARZONE - (401) 222-3260 x 4408

SUPPLIER TELEPHONE # (401) 737-1300
SUPPLIER FAX # (401) 732-4725

STATE PURCHASING AGENT
Lorraine A. Hynes

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VENUE

COPY



RI Purchase Agreement Amendment Report

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

Amendment Date: 15-FEB-11
Original Award Date: 03-NOV-10
Buyer: J Ohara 2nd
Phone #:
FOB: Destination
Terms: NET 30
Vendor # 29

S H I P T O	DOT MAINTENANCE DIVISION	Change Order Number:	I N V O I C E
	MAIN OFFICE	1	
	360 LINCOLN AVE	Award Number	
	WARWICK, RI 02888	3208602	
United States	Effective Period:	04-NOV-10 - 30-JUN-11	DOT ACCOUNTS PAYABLE
			TWO CAPITOL HILL, RM 243
			SMITH ST
			PROVIDENCE, RI 02903
			United States

PAVING PAWTUCKET STOCKPILE AREA, DOT

Description		Bid Number	Change Order Req#
PAVING PAWTUCKET STOCKPILE AREA, DOT			DOT-FY11-071
Line#	Code	Class-Item	Quantity
		BLANKET REQUIREMENTS: 11/4/10 - 6/30/11	
		PAVING PAWTUCKET STOCKPILE AREA, DOT	
		BASE BID \$146,899.70	
		20% E & C \$ 29,400.30	
		\$176,300.00	
		C/O #1 \$ 15,000.00 ✓	
		TOTAL \$191,300.00	
		IN ACCORDANCE WITH THE PLANS, SPECIFICATIONS AND DOCUMENTS OF PUBLIC BID #7447787 DATED 9/27/10.	
		AGENCY CONTACT PERSON: SONGHAP TAING TELEPHONE NO: (401) 734-4853	
		SUPPLIER CONTACT PERSON: LEE TAYLOR TELEPHONE NO: (401) 737-1300	
		2/15/11 - BLANKET CONTROL AMOUNT INCREASED FROM \$176,300.00 TO \$191,300.00 PER AGENCY DOC. ID #DOT-FY11-071/da - TO COVER ADDITIONAL WORK ASSOCIATED WITH INSTALLING MORE FENCING AT THE ABOVE LOCATION. SEE 12/7/10 MEMO FROM DOT	
Unit	Unit Price		

VENDOR

STATE PURCHASING AGENT

Lorraine A. Hynes

Lorraine A. Hynes

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COPY

Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2011-CH-015 - IMPROVEMENTS TO ROUTE 3	
Award Number 3228410	Effective Period: 29-APR-11 31-DEC-15

S H I P T O	DOT CONTRACT ADMINISTRATION
	TWO CAPITOL HILL, RM 109
	SMITH ST
	PROVIDENCE, RI 02903
	United States

Date:	29-APR-11
Buyer:	J. Moynihan
Shipping:	Paid
Terms:	NET 30
Vendor#:	29

I N V O I C E	DOT ACCOUNTS PAYABLE
	TWO CAPITOL HILL, RM 243
	SMITH ST
	PROVIDENCE, RI 02903
	United States

Department	Type of Requisition	Bid Number	Requisition Number
DOT		7448238 N/A	1213353

4/29/11 - 12/31/15

ANTICIPATED COMPLETION DATE - 6/22/12

RI CONTRACT NO. 2011-CH-015

IMPROVEMENTS TO ROUTE 3

CONTRACT PRICE - \$3,485,584.30

RI FAP NO. STP-0003 (010)
80% FEDERAL - 20% STATE

RI FAP NO. STPG-0003 (011)
100% FEDERAL

AGENCY CONTACT PERSON: DENNIS BROWN - (401) 222-3260 x 4409

SUPPLIER TELEPHONE # (401) 737-1300
SUPPLIER FAX # (401) 732-4725

STATE PURCHASING AGENT
Lorraine A. Hynes

This Notice of Award/Purchase Order is issued in accordance with the specific requirements described herein and the State's Purchasing Regulations and General Conditions of Purchase, copies of which are available at www.purchasing.ri.gov. Delivery of goods or services as described herein shall be deemed acceptance of these requirements.

VENDOR



Notice of Contract Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

D'AMBRA CONSTRUCTION CO INC
800 JEFFERSON BLVD
WARWICK, RI 02888

2011-CH-049 - IMPROVEMENTS TO US ROUTE 1-SUCCOTASH RD. TO WOODRUFF AVE.	
Award Number: 3234945	Effective Period: 23-JUN-11- 31-DEC-16

S H I P T O	DOT CONTRACT ADMINISTRATION
	TWO CAPITOL HILL, RM 109
	SMITH ST
	PROVIDENCE, RI 02903
	United States

Date:	23-JUN-11
Buyer:	J Moynihan
Shipping:	Paid
Terms:	NET 30
Vendor#:	29

I N V O I C E	DOT ACCOUNTS PAYABLE
	TWO CAPITOL HILL, RM-243
	SMITH ST
	PROVIDENCE, RI 02903
	United States

Department	Type of Requisition	Bid Number	Requisition Number
DOT		7448572 N/A	1222988

6/23/11 - 12/31/16

ANTICIPATED COMPLETION DATE - 12/1/11

RI CONTRACT NO. 2011-CH-049

IMPROVEMENTS TO U.S. ROUTE 1 - SUCCOTASH ROAD TO WOODRUFF AVENUE

CONTRACT PRICE - \$5,230,027.56

RI FAP NO. NHS-RESF (202)
80% FEDERAL - 20% STATE
RI FAP NO. NHSG-RESF (203)
100% FEDERAL

AGENCY CONTACT PERSON: KIMBERLY VADENAIS - (401) 222-2495 x 4403

SUPPLIER TELEPHONE # (401) 737-1300
SUPPLIER FAX # (401) 732-4725

STATE PURCHASING AGENT
<i>Lorraine A. Hynes</i>
Lorraine A. Hynes

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VENDOR

<u>Name of General Officer, Candidate for General Office, or Political Party</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Name of Contributor</u>	<u>Relationship to Contracting Party</u>
Friends of Kilmartin	08/10/10 PR	\$200.00	Michael V. D'Ambra	None
Caprio for Governor	08/31/10 PR	\$500.00	Michael V. D'Ambra	None
Friends of Chaffee	09/09/10 PR	\$1,000.00	Michael V. D'Ambra	None
Senator Domenic Ruggiero	03/07/11	\$500.00	Michael V. D'Ambra	None
Senator Frank Ciccone	03/18/11	\$250.00	Michael V. D'Ambra	None
Representative Steve Ucci	04/26/11	\$250.00	Michael V. D'Ambra	None
Langevin for Congress	06/29/11	\$1,000.00	Michael V. D'Ambra	None