BOARD OF ELECTIONS

CAMPAIGN FINANCE DIVISION 50 Branch Avenue, Providence, Rhode Island 02904

RHODE ISLAND BOARD OF ELECTIONS

TEL. (401) 222-2056 [TDD: 222-2239]

10 JUL 28 AM 9: 55

AFFIDAVIT OF STATE VENDOR UNDER CHAPTER 17-27 OF THE GENERAL LASW OF RHODE ISLAND

Instructions:

Every person or business entity contracting with a state agency on or after July 27, 1993 to sell goods and services (contracting party) is deemed to be a "state vendor". Also deemed to be a "state vendor" is (a) a person having a 10% or greater ownership interest in such contracting party, or (b) a person who is an executive officer of such contracting party, or (c) a person who is the spouse or minor child of the contracting party, or of a person having such 10% or greater ownership interest, or of a person who is an executive officer of such contracting party (related parties).

This affidavit must be filed with the State Board of Elections, 50 Branch Avenue, Providence, Rhode Island 02904 by every state vendor in connection with any contract with a state agency to provide goods and/or services costing \$5,000 or more. If the contract is in writing, this affidavit must be filed within 60 days after the contract is executed and MUST BE ACCOMPANIED BY A COPY OF THE CONTRACT, OR A WRITTEN SUMMARY THEREOF. If the contract is not in writing, then the affidavit must be filed within 60 days from the date when the state vendor is first notified that the \$5,000 threshold has been reached. However, Chapter 17-27 and the Regulations

adopted thereunder, permit semi-annual filings of affidavits under certain circumstances.

Every person or business entity qualifying as a state vendor (either as the contracting party or as a related party) and providing goods and services at a cost of \$5,000 or more shall be responsible for filing this affidavit. A state vendor who is a contracting party must file this affidavit when the \$5,000 threshold has been reached, even if no reportable political contributions have been made either by the contracting party or a related party. A state vendor who is a related party must file this affidavit only when a reportable political contribution has been made by such related party, and then, only if such contribution has not been included in an affidavit filed by the contracting party. A reportable political contribution is defined in #16. The affidavit, when filed will be a public document.

The Board of Elections is empowered to impose civil penalties for violations of Chapter 17-27.

The attention of state vendors is directed to the Regulations adopted by the board of Elections in connection with the preparation and filing of this affidavit which are on file at the offices of both the Board of Elections and the Secretary of State.

Statement Under Oath or Affirmation

	The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information:
1.	Name, address and telephone number of person making this affidavit.
	Howard G. Sutton, President, The Providence Journal Company NAME OF PERSON MAKING THIS AFFIDAVIT
	75 Fountain Street, Providence, RI 02902 ADDRESS
	(401) 277-7520 TELEPHONE NUMBER
2.	What is the date of execution of the written contract requiring The filing of this affidavit? [Such contract must be filed with this affidavit.] DATE
3.	If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5,000 threshold? DATE
4.	If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the terms or duration of such contract. If the term is indefinite, so state.
5.	Is this affidavit made by you as an individual or on behalf of a business entity? Individual On Behalf of Business Entity X
6.	If on behalf of a business entity, what is the name and nature of the business entity?
	Name of Business Entity The Providence Journal Company FEIN # 05-0481988
	(Check One:) Corporation X Partnership Sole Proprietorship: Other Business Entity:

						310
	Are you a state vendor because you				YESX	NO
	If yes, what is the name of state ag					
	Various					NO
).	If the answer to question 8 is "no"					NU
	If answer to question 10 is "yes",	what is the nature o				
	(a) 10% or greater ownership interest in (b) executive officer of contracting party	contracting party	(c) spouse or greate	or minor child of contracti er owner, or of executive o	ng party, or of 10% officer	
	If you are a related party, provide					
	Name of Contracting Party					
	Address					
	State Agency					
3.	If you are the contracting part contributions made by such relate	ed parties?	1133			
4.	If the answer to question 13 is "n file this affidavit if they have made	le reportable politic	cal contributions?	11		
5.	If the answer to question 14 is "and the nature of such relationshin Name	yes", provide the n p. <u>Address</u>	ames and addresses		no might be require	
16.	Within the 24 month period prec within the 24 month period prec an aggregate amount of more the political party? DO NOT INC contracting party, or a business is a semi-annual filing (i.e. Jan	eding the date of home series of hom	lendar year to any R ITRIBUTIONS MA a related party, or a	I. general officer, can DE PRIOR TO OC related party reportinger 31), you must reside to the date which	indidate for R.I. ger TOBER 2, 1993 (ag on his/her own b	neral office, or any R.I Includes an individual mehalf.) NOTE: If this made from the date of
	execution of the last contract en same semi-annual period.			**		0 <u>X</u>
17.	execution of the last contract er	not attach a copy of "yes", provide the contribution. DO	of the state contract of the general NOT INCLUDE COI	or written summary, a officer, candidate for NTRIBUTIONS MA	and do not complete or general office or DE PRIOT TO Of	O X e question #17] political party and the CTOBER 2, 1993. Lited contributions by the

18.	party and PRIOR you are question the total	nd ALL state ager TO JULY 27, 199 filing this affidav n, please so state.	icies? Include all contracts r of OR THOSE WHICH HAV it as a party related to the co	regardless of amount. If REACHED THE \$5 ntracting party and do rull filing (i.e. January 1 into from the date of	OO NOT INCL! OOO THRESHO! not have knowle to June 30 or June execution of the	month period between the contracting UDE CONTRACTS ENTERED INTO LD PRIOR TO OCTOBER 2, 1993. If dge of the information required by this ally 1 to December 31), you must report a last contract entered into during such mual period.
	State A	gency	Date of Contract	Amount of Contract	FEIN#	P.O. #
			TOTAL GROSS AMOUNT	: \$ in excess of \$5,	000	
					Howard G. Sut	
					The Providence	: Journal Company
				•		y Shota
Ctata a	.c	Rhode Island			Signature of Pe	rson Making the Affidavit
State of County		Providence				
	Subscr	ibed and sworn to	or affirmed before me, an of	fficer authorized to adm	inister oaths in	the jurisdiction where this affidavit was
made,	on this <u>o</u>		fuly, 2010.	Drys	les q. g	Hemill'
				Signature of Not	ary or Other Perso	n Authorized to Administer Oaths

Phyllis A. Hamilton
Printed Name of Notary or Other Person Authorized to Administer Oaths

My Commission Expires: 4/10/2013

BOARD OF ELECTIONS CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence, Rhode Island 02904

TEL. (401) 222-2056 [TDD: 222-2239]

NOTICE AND CERTIFICATION OF STATE VENDOR FOR RELIEF FROM MULTIPLE FILINGS

The undersigned certifies to the State Board of Elections under Section 17-27-3 of the General Laws of Rhode Island that it has reasonable cause to believe that for the calendar year 2009, it will be required to file two or more affidavits, and that this notice and certification is being filed for the purpose of permitting the undersigned to report on a semi-annual basis to the State Board of Elections for the aforementioned calendar year.

The Providence Journal Company
State Vendor

By: Howard G. Sutton, President

Date

NOTE:

State vendors are permitted to file semi-annual reports with the Board of Elections if they reasonably believe that they otherwise would be required to file multiple reports within any single calendar year. In order to avail themselves of this opportunity, state vendors are required to file this Notice and Certification with the State board of Elections for each calendar year no later than the date by which the first report to he Board of Elections for such calendar year would otherwise be due, i.e. 60 days from the date of execution of a contract with a state agency or in the case of unwritten contracts, within 60 days after the state vendor is first notified that the contract has reached the \$5000 reporting threshold.



The Providence Journal Company 75 Fountain Street, Providence, RI 02902

Certified Mail Return-Receipt

July 26, 2010

State of Rhode Island and Providence Plantations Board of Elections Campaign Finance Division 50 Branch Avenue Providence, RI 02904

To whom it may concern:

Attached please find a signed original copy of the "Affidavit of State Vendor" and the "Notice and Certification of State Vendor for Relief from Multiple Filings for the Washington Street Garage Corporation for the period January through July 2010. This is the last time The Providence Journal Company will file these documents on behalf of the Washington Street Garage Corporation since the Garage was sold on July 1, 2010.

Sincerely,

Phyllis Hamilton

Executive Assistant to

Dhyle's Hamill

Howard G. Sutton

Attachments

A subsidiary of A. H. Belo Corporation

BOARD OF ELECTIONS

CAMPAIGN FINANCE DIVISION 50 Branch Avenue, Providence, Rhode Island 02904 RHODE ISLAND BOARD OF ELECTIONS

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JUL 28 AM 9: 55

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This affidavit must be filed with the State Board of Elections, 50 Branch Avenue, Providence, Rhode Island 02904 by every state vendor in connection with any contract with a state agency to provide goods and/or services costing \$5,000 or more. If the contract is in writing, this affidavit must be filed within 60 days after the contract is executed and MUST BE ACCOMPANIED BY A COPY OF THE CONTRACT, OR A WRITTEN SUMMARY THEREOF. If the contract is not in writing, then the affidavit must be filed within 60 days from the date when the state vendor is first notified that the \$5,000 threshold has been reached. However, Chapter 17-27 and the Regulations adopted thereunder, permit semi-annual filings of affidavits under certain circumstances.

Every person or business entity qualifying as a state vendor (either as the contracting party or as a related party) and providing goods and services at a cost of \$5,000 or more shall be responsible for filing this affidavit. A state vendor who is a contracting party must file this affidavit when the \$5,000 threshold has been reached, even if no reportable political contributions have been made either by the contracting party or a related party. A state vendor who is a related party must file this affidavit only when a reportable political contribution has been made by such related party, and then, only if such contribution has not been included in an affidavit filed by the contracting party. A reportable political contribution is defined in #16. The affidavit, when filed will be a public document.

The Board of Elections is empowered to impose civil penalties for violations of Chapter 17-27.

The attention of state vendors is directed to the Regulations adopted by the board of Elections in connection with the preparation and filing of this affidavit which are on file at the offices of both the Board of Elections and the Secretary of State.

Statement Under Oath or Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information:

1.	Name, address and telephone number of person making this affidavit.	
	Howard G. Sutton, President, Washington Street Garage Corporation NAME OF PERSON MAKING THIS AFFIDAVIT	
	75 Fountain Street, Providence, RI 02902 ADDRESS	
	(401) 277-7520 TELEPHONE NUMBER	
2.	What is the date of execution of the written contract requiring The filing of this affidavit? [Such contract must be filed with this affidavit.]	No written contracts DATE
3.	If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5,000 threshold?	1994 DATE

:.	What is the date of execution of the written contract requiring The filing of this affidavit? [Such contract must be filed with this affidavit.]	No written contracts DATE
3.	If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5,000 threshold?	1994 DATE
1.	If the contract requiring the filing of this affidavit is not in writing, describe the goods are involved, and the terms or duration of such contract. If the term is indefinite, so state.	nd/or services to be provided, the state agency
	Parking spaces – indefinite term	
5. 6.	Is this affidavit made by you as an individual or on behalf of a business entity? Individu If on behalf of a business entity, what is the name and nature of the business entity?	alOn Behalf of Business EntityX
	Name of Business Entity Washington Street Garage Corporation	_FEIN #05-0455783
	(Check One:) Corporation X Partnership Sole Proprietorship:	Other Business Entity:
		parties and the second

7.	If "other business entity" is checked above, what is the nature of such business entity?
8.	Are you a state vendor because you are the contracting party in a contract with a state agency? YES X NO NO
9.	If yes, what is the name of state agency to which you are providing goods and/or services?
	RIHMFC, RI Treasury, Providence Water
10.	If the answer to question 8 is "no", are you a state vendor because you are related to a contracting party? YES NO
11.	If answer to question 10 is "yes", what is the nature of your relationship with the contracting party?
	(a) 10% or greater ownership interest in contracting party (c) spouse or minor child of contracting party, or of 10% or greater owner, or of executive officer
12.	If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.
	Name of Contracting Party Not Applicable
	Address
	State Agency
13.	If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable political contributions made by such related parties? YES X NO
14.	If the answer to question 13 is "no", have you notified all such related parties of the requirements of the law and of their obligation to file this affidavit if they have made reportable political contributions? YES NO
15.	If the answer to question 14 is "yes", provide the names and addresses of related parties who might be required to file this affidavit and the nature of such relationship. Name Address Relationship to Contracting Party
16.	Within the 24 month period preceding the date of the contract with the state agency; or with respect to contracts that are not in writing, within the 24 month period preceding the date of notification that the contract has reached the \$5,000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, or any R.I. political party? DO NOT INCLUDE ANY CONTRIBUTIONS MADE PRIOR TO OCTOBER 2, 1993 (Includes an individual contracting party, or a business entity on behalf of a related party, or a related party reporting on his/her own behalf.) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period. [If you checked "NO" above, do not attach a copy of the state contract or written summary, and do not complete question #17]
17.	If the answer to question 16 is "yes", provide the name of the general officer, candidate for general office or political party and the date and amount of each such contribution. DO NOT INCLUDE CONTRIBUTIONS MADE PRIOT TO OCTOBER 2, 1993. List all contributions even if reported on a previously filed affidavit. However, please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below. [If additional sheets are required, please attach them to this affidavit.]
	Name of General Officer, Candidate for General Office,

What is the total gross amount, in dollars, of contracts entered into during said preceding 24 month period between the contracting party and ALL state agencies? Include all contracts regardless of amount. DO NOT INCLUDE CONTRACTS ENTERED INTO PRIOR TO JULY 27, 1993 OR THOSE WHICH HAVE REACHED THE \$5000 THRESHOLD PRIOR TO OCTOBER 2, 1993. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state. NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report the total gross amount, in dollars, of contracts entered into from the date of execution of the last contract entered into during such semi-annual period to the date of which is 24 months prior to the first day of the same semi-annual period.

State Agency

Date of Contract

Amount of Contract

FEIN #

P.O. #

RIHMFC

RIHMFC RI Treasury Providence Water No written contracts

TOTAL GROSS AMOUNT: \$ in excess of \$5,000

Washington Street Garage Corporation

Signature of Person Making the Affidavit

State of County of

Rhode Island Providence

Subscribed and sworn to or affirmed before me, an officer authorized to administer oaths in the jurisdiction where this affidavit was made, on this 4 th day of July, 2010.

Signature of Notary or Other Person Authorized to Administer Oaths

Howard G. Sutton, President

Phyllis A. Hamilton

Printed Name of Notary or Other Person Authorized to Administer Oaths

My Commission Expires: 4/10/2013

BOARD OF ELECTIONS CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence, Rhode Island 02904

TEL. (401) 222-2056 [TDD: 222-2239]

NOTICE AND CERTIFICATION OF STATE VENDOR FOR RELIEF FROM MULTIPLE FILINGS

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Washington Street Garage Corporation
State Vendor

By: Howard G. Sutton, President

Date

NOTE:

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BOARD OF ELECTIONS CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence, Rhode Island 02904

RHODE ISLAND **BOARD OF ELECTIONS**

TEL. (401) 222-2056 [TDD: 222-2239]

11 JAN 31 AM 10: 49 AFFIDAVIT OF STATE VENDOR UNDER CHAPTER 17-27 OF THE GENERAL LASW OF RHODE ISLAND

Instructions:

Every person or business entity contracting with a state agency on or after July 27, 1993 to sell goods and services (contracting party) is deemed to be a "state vendor". Also deemed to be a "state vendor" is (a) a person having a 10% or greater ownership interest in such contracting party, or (b) a person who is an executive officer of such contracting party, or (c) a person who is the spouse or minor child of the contracting party, or of a person having such 10% or greater ownership interest, or of a person who is an executive officer of such contracting party (related parties).

This affidavit must be filed with the State Board of Elections, 50 Branch Avenue, Providence, Rhode Island 02904 by every state vendor in connection with any contract with a state agency to provide goods and/or services costing \$5,000 or more. If the contract is in writing, this affidavit must be filed within 60 days after the contract is executed and MUST BE ACCOMPANIED BY A COPY OF THE CONTRACT, OR A WRITTEN SUMMARY THEREOF. If the contract is not in writing, then the affidavit must be filed within 60 days from the date when the state vendor is first notified that the \$5,000 threshold has been reached. However, Chapter 17-27 and the Regulations adopted thereunder, permit semi-annual filings of affidavits under certain circumstances.

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The attention of state vendors is directed to the Regulations adopted by the board of Elections in connection with the preparation and filing of this affidavit which are on file at the offices of both the Board of Elections and the Secretary of State.

Statement Under Oath or Affirmation The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information:

Name, address and telephone number of person making this affidavit. 1. Howard G. Sutton, President, The Providence Journal Company NAME OF PERSON MAKING THIS AFFIDAVIT 75 Fountain Street, Providence, RI 02902 ADDRESS (401)<u>277-7520</u> TELEPHONE NUMBER What is the date of execution of the written contract requiring 2.. The filing of this affidavit? [Such contract must be filed with this affidavit.] Various If the contract requiring the filing of this affidavit is not in writing, Various - 2010

If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the terms or duration of such contract. If the term is indefinite, so state.

Individual _____ On Behalf of Business Entity X Is this affidavit made by you as an individual or on behalf of a business entity? 5.

If on behalf of a business entity, what is the name and nature of the business entity? 6.

when were you first notified that the contract had reached the \$5,000 threshold?

The Providence Journal Company Name of Business Entity _

05-0481988 FEIN #_____

Other Business Entity: _ Sole Proprietorship: ____ (Check One:) Corporation X Partnership

Are you a state vendor because y	ou are the contracting	party in a contract	with a state agency	/? YES <u>X</u>	NO
If yes, what is the name of state a	gency to which you a	re providing goods	and/or services?		
<u>Various</u>					
If the answer to question 8 is "no					NO
If answer to question 10 is "yes"	, what is the nature of	your relationship v	vith the contracting	; party?	
(a) 10% or greater ownership interest(b) executive officer of contracting part	n contracting party	(c) spouse or great	or minor child of contra ter owner, or of executiv	acting party, or of 10% we officer	
If you are a related party, provid					
Name of Contracting Party	Not Appl	icable			
Address					
State Agency				•	
If you are the contracting parcontributions made by such rela	rty, have you survey	ed all related par	ties and does this	s affidavit contain al	l reportable political
If the answer to question 13 is file this affidavit if they have m	'no" have you notifie	d all such related p	parties of the requir	ements of the law and YES	of their obligation to NO
If the answer to question 14 is and the nature of such relations Name	"yes", provide the na	mes and addresses		who might be require	
Within the 24 month period prowithin the 24 month period prowing an aggregate amount of more to political party? DO NOT IN	ceding the date of not han \$250 within a calc CLUDE ANY CON s entity on behalf of a	endar year to any R FRIBUTIONS MA related party, or a	LI. general officer, ADE PRIOR TO C related party report	candidate for R.I. gen OCTOBER 2, 1993 (Iting on his/her own b	eral office, or any R.I includes an individua ehalf.) NOTE: If this made from the date o
is a semi-annual filing (i.e. Ja execution of the last contract of same semi-annual period.	entered into during su	ch semi-annuai pei	Tod to the date wit	YESNO) <u>X</u>
is a semi-annual filing (i.e. Ja execution of the last contract of same semi-annual period. [If you checked "NO" above, or seeming the semi-annual period in the semi-annual period.	entered into during sur do not attach a copy of	on semi-annual per	or written summary	YES No	Q X question #17]
is a semi-annual filing (i.e. Ja execution of the last contract of same semi-annual period.	do not attach a copy of s "yes", provide the n contribution.	the state contract ame of the genera	or written summary officer, candidate NTRIBUTIONS M	YES Now	o X question #17] political party and the TOBER 2, 1993. Lied contributions by the

8.	party and ALL state a PRIOR TO JULY 27, you are filing this affi question, please so stathe total gross amount	s amount, in dollars, of contracts agencies? Include all contracts in 1993 OR THOSE WHICH HAVE delayit as a party related to the coute. NOTE: If this is a semi-annut, in dollars, of contracts entered the date of which is 24 months process.	regardless of amount. If the REACHED THE \$5 ntracting party and do report the standary 1 into from the date of	DO NOT INCLE 000 THRESHOI not have knowled to June 30 or Ju execution of the	D PRIOR TO OCTOBER 2, 19 dge of the information required by 1 to December 31), you must last contract entered into during	93. If by this report
	State Agency	Date of Contract	Amount of Contract	FEIN#	<u>P.O. #</u>	
		TOTAL GROSS AMOUNT	: \$ in excess of \$5,			
				Howard G. Su The Providence	tton, President ce Journal Company	
				Signature of T	erson Making the Affidavit	
State of County		d				
made, o	Subscribed and swor on this <u>27</u> th day	n to or affirmed before me, an o of January, 2011.	Phyll	25 a. 21	the jurisdiction where this affida	vit was
			Phylli Printed Name of	is A. Hamilton Notary or Other P	erson Authorized to Administer Oat	hs

My Commission Expires: 4/10/2013

BOARD OF ELECTIONS CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence, Rhode Island 02904

TEL. (401) 222-2056 [TDD: 222-2239]

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The Providence Journal Company
State Vendor

By: Howard G. Sutton, President

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BOARD OF ELECTIONS CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence, Rhode Island 02904

RHODE ISLAND [TDD: 222-2839 D OF ELECTIONS TEL. (401)222-2056

AFFIDAVIT OF STATE VENDOR UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISBAND 9: 50

Every person or business entity contracting with a state agency on or after July 27, 1993 to sell goods and services (contracting party) is deemed to be a "state vendor". Also deemed to be a "state vendor" is (a) a person having a 10% or greater ownership interest in such contracting party, or (b) a person who is an executive officer of such contracting party, or (c) a person who is the spouse or minor child of the contracting party, or of a person having such 10% or greater ownership interest, or of a person who is an executive officer of such contracting party (related parties).

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Statement Under Oath or Affirmation

	Statement Characteristics	•
	The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following is	nformation:
1.	Name, address and telephone number of person making this affidavit.	*.
	Habib Y. Gorgi	
	NAME OF PERSON MAKING THIS AFFIDAVIT	• .
	Nautic Partners, LLC 50 Kennedy Plaza Providence, RI 02903	· :·
	ADDRESS	·
	(401) 278–6770 TELEPHONE NUMBER	
_		•
2.	What is the date of execution of the written contract requiring the filing of this affidavit? [Such contract must be filed with this affidavit.] March 30, 2001 DATE	
	DATE	
3.	If the contract requiring the filing of this affidavit is not in writing,	*
	when were you first notified that the contract had reached the \$5000 threshold? DATE	
,	If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be pro	vided, the state
4.	agency involved, and the term or duration of such contract. If the term is indefinite, so state.	
	agonoy involved, and an en	
		•
5.	Is this affidavit made by you as an individual or on behalf of a business entity? Individual On Behalf of Bus	iness Entity
6,	If on behalf of a business entity, what is the name and nature of the business entity?	• •
٥,		2/.1
	Name of Business Entity Nautic Partners V, L.P. FEIN # 05-0511	
	(Check One:) Corporation Partnership X Sole Proprietorship Other Business Entity	
7	If "other business entity" is checked above, what is the nature of such business entity?	
	The Other Business army as an	٠. ،
		• .
0	Are you a state vendor because you are the contracting party in a contract with a state agency? YES X	_ NO
٥.	Ale you a state vender because you are a services?	
9.	If yes, what is the name of state agency to which you are providing goods and/or services?	•
	Rhode Island State Employees Fund	
10). If the answer to question 8 is "no", are you a state vendor because you are related to a contracting party? YES	NO
11	I. If answer to question 10 is "yes", what is the nature of your relationship with the contracting party?	
	(a) 10% or greater ownership interest in contracting party (c) spouse or minor child of contracting party, or greater owner, or of executive officer	or of 10%

12.	if you are a relate	ed party, provide	the name and add	dress of the c	contracti	ing party and the	state agency inve	olved in such contr	act.
•	Name of Contra	cting Party N	autic Partn	ers V, L	P .				
	Address 50	Kennedy Pla	za Pr	ovidence	, RI	02903			
	State Agency	Rhode Iclan	za Pr d State Emp	loyee Fu	nd	•	·		
13.	If you are the comade by such re	ntracting party, h	ave you surveyed	all related p	arties a	nd does this affic NO	lavit contain all r	eportable political	contributions
	this affidavit if t	they have made r	eportable politica	l contributio	ns?	12	S		<u></u> .
15.	If the answer to	question 14 is "y	es", provide the i	names and ac	idresses	of related partie	s who might be r	equired to file this	affidavit and
	the nature of su Name	ch relationship.	<u>Addres</u>	<u>s</u>			Relat	ionship to Contrac	cting Party
	See Attache	d Listing							e ve
	•		•		*	¥			*
		÷							
		•				•		contracts that are	
	aggregate amou party? DO NO party, or a busin filing (i.e. Jan contract entered	nt of more than \$2 OT INCLUDE A less entity on beha uary 1 to June 3 I into during such	250 within a calen NY CONTRIBU If of a related par 0 or July 1 to De semi-annual peri	dar year to an TIONS MA ty, or a relate cember 31), od to the date	y R.I. go DE PRI ed party you mu e which	oneral officer, ca OR TO OCTOI reporting on his st report contrib is 24 months pr	BER 2, 1993 (* In Ther own behalf.) utions made from or to the first day		a semi-annual tion of the last annual period.
	[If you checked	i "NO" above, do	not attach a cop	y of the state	contrac	et, or written sun	mary, and do not	complete question	n#17]
17.	amount of ea	ch such contribu	ation. DO NOT	INCLUDE	CONT	riboriona n	the previously re	or political party as O OCTOBER 2, eported contribution them to this affi	ns by the letter
	Name of General Candidate for Ger or Political Party		<u>Date of</u> <u>Contribution</u>	Amount of Contribution		Name of Contributor		Relationship to Contracting Par	<u>ty</u>
	na Raimondo ne Raimondo		2/22/10 3/31/10	\$200 \$249		Bernard Bu Christophe		Managing Principa	Director 1
18	and ALL state JULY 27, 199 this affidavit as state. NOTE:	agencies? Include 3 OR THOSE WI 5 a party related to If this is a semi-are contracts entered in	e all contracts regarding the contracting	ACHED THE party and do anuary 1 to a of execution	iount. 1 E \$500 not hav June 30 of the l	O THRESHOLD e knowledge of or July 1 to Dec ast contract ente	PRIOR TO OC he information re	riod between the corner to ENTERED IN TOBER 2, 1993. Equired by this que nust report the total ch semi-annual possible.	If you are filing stion, please so al gross amount, eriod to the date
	State Agency		Date o	f Contract	• • • • • • • • • • • • • • • • • • • •	Amount of Con	tract Fein#	<u>P.C</u>	<u>),#</u>
Non	.e								
							•		······································
					· · · · · · · · · · · · · · · · · · ·	e de la companya de l			
			TOTAL GROS	S AMOUNT:	<u>s</u>	0			
						Signatur	e of Person Maki	ng the Affidavit	
	tate of	. : ·					. / //		
С			affirmed before me	, an officer au	thorized	to administer oath		where this affidavit	was made, on thi
	day of 1	my	_, x7 <u>//\VI</u> Y		Signati	MW W/	ther Person Author	rized to Administer C	Daths .
						INN A.C.	halon	uthorized to Admini	
	•	•			Printe	I Name of Notary	oi Oillei Leisoii W	miliorizou to a terriffic	•

Officers of Nautic Management V, L.P. the General Partner of Nautic Partners V, L.P.

Name	Title
Robert Van Degna	Managing Director
Habib Y. Gorgi	Managing Director
Bernard V. Buonanno	Managing Director
Scott F. Hilinski	Managing Director
Michael W. Joe	Managing Director
Christopher J. Crosby	Managing Director
Bradley B. Wightman	Managing Director
Douglas C. Hill	Principal
James M. LaRowe	Principal
Cynthia L. Balasco	Senior Vice President & CFO
Charles R. Bartolini	Controller
Paula Gianlorenzo	IT Director
James Beakey	Vice President
Glen Stevenson	Tax Director
Edward Resnick, Jr.	Portfolio Administration Officer

BOARD OF ELECTIONS CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence, Rhode Island 02904

(401)222-2056 [TDD: 222-2200 DE ISLAND BOARD OF ELECTIONS

UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND
9: 50

Instructions:

Every person or business entity contracting with a state agency on or after July 27, 1993 to sell goods and services (contracting party) is deemed to be a "state vendor". Also deemed to be a "state vendor" is (a) a person having a 10% or greater ownership interest in such contracting party, or (b) a person who is an executive officer of such contracting party, or (c) a person who is the spouse or minor child of the contracting party, or of a person having such 10% or greater ownership interest, or of a person who is an executive officer of such contracting party (related parties).

This affidavit must be filed with the State Board of Elections, 50 Branch Avenue, Providence, Rhode Island 02904 by every state vendor in connection with any contract with a state agency to provide goods and/or services costing \$5000 or more. If the contract is in writing, this affidavit must be filed within 60 days after the contract is executed and MUST BE ACCOMPANIED BY A COPY OF THE CONTRACT, OR A WRITTEN SUMMARY THEREOF. If the contract is not in writing, then the affidavit must be filed within 60 days from the date when the state vendor is first notified that the \$5000 threshold has been reached. However, Chapter 17-27 and the Regulations adopted thereunder,

permit semi-annual filings of affidavits under certain circumstances.

Every person or business entity qualifying as a state vendor (either as the contracting party or as a related party) and providing goods and services at a cost of \$5000 or more shall be responsible for filing this affidavit. A state vendor who is a contracting party must file this affidavit when the \$5000 threshold has been reached, even if no reportable political contributions have been made either by the contracting party or a related party. A state vendor who is a related party must file this affidavit only when a reportable political contribution has been made by such related party, and then, only if such contribution has not been included in an affidavit filed by the contracting party. A reportable political contribution is defined in question #16. The affidavit, when filed, will be a public document.

The Board of Elections is empowered to impose civil penalties for violations of Chapter 17-27.

The attention of state vendors is directed to the Regulations adopted by the Board of Elections in connection with the preparation and filing of this affidavit which are on file at the offices of both the Board of Elections and the Secretary of State.

Statement Under Oath or Affirmation

	The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following make	
I.	Name, address and telephone number of person making this affidavit.	•,
	Habib Y. Gorgi	
	NAME OF PERSON MAKING THIS AFFIDAVIT	• .
	Nautic Partners, LLC 50 Kennedy PLaza Providence, RT 02903	
	ADDRESS	•. •
	(401) 278-6770 TELEPHONE NUMBER	
_	THE ALL ALL ASSOCIATION OF the written contract requiring	
2.	the filing of this affidavit? [Such contract must be filed with this affidavit.] May 1, 2007 DATE	
3.	If the contract requiring the filing of this affidavit is not in writing,	
	when were you first notified that the contract had reached the \$5000 threshold? DATE	
4.	If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provi agency involved, and the term or duration of such contract. If the term is indefinite, so state.	ded, the state
	agonoy myorrou, and and seeming	•
		. X
5.	Is this affidavit made by you as an individual or on behalf of a business entity? Individual On Behalf of Business	ss Entity
J.		
6.	If on behalf of a business entity, what is the name and nature of the business entity?	
	Name of Business Entity Nautic Partners VI, L.P. FEIN # 20-545596	<u>, Ó</u>
	(Check One:) Corporation Partnership X Sole Proprietorship Other Business Entity	<u></u>
7.	If "other business entity" is checked above, what is the nature of such business entity?	
	The Gallett Galletter and the	*. •
8.	Are you a state vendor because you are the contracting party in a contract with a state agency? YES X	. NO
9.	If yes, what is the name of state agency to which you are providing goods and/or services?	
	Employee's Retirement System of the State of Rhode Island	
). If the answer to question 8 is "no", are you a state vendor because you are related to a contracting party? YES _	NO
10	1. If answer to question 10 is "yes", what is the nature of your relationship with the contracting party?	·
	(a) 10% or greater ownership interest in contracting party (c) spouse or minor child of contracting party, or or greater owner, or of executive officer	of 10% ——

•				• .			ved in such contra	
	Name of Contracting Party <u>Na</u>							
	Address 50 Kennedy P1							
	State Agency Employee's R	etirement Sy	stem of	the S	tate of Rho	ode Island		
	If you are the contracting party, h made by such related parties?	AE2 _	<u>A</u>	٠.				
	If the answer to question 13 is "no this affidavit if they have made r	eportable political	contribution	ist	1120			•
15.	If the answer to question 14 is "y	yes", provide the n	ames and ad	dresses	of related parties			
	the nature of such relationship. <u>Name</u>	Address		1 (1) 1 (1)		Relati	onship to Contract	ing Party
	See Attached Schedule	<u>e</u>					. •	e w.
		•			¥			
	•			: :	• •			
					."			
16.	Within the 24 month period provided within the 24 month period precede aggregate amount of more than \$ party? DO NOT INCLUDE A party, or a business entity on behavioring (i.e. January 1 to June 3 contract entered into during such	eding the date of no 250 within a calend NY CONTRIBU alf of a related part	lar year to any FIONS MAI y, or a relate	y R.I. ge DE PRIC d party	neral officer, can OR TO OCTOB reporting on his/	didate for R.I. ge ER 2, 1993 (* In her own behalf.)	neral office, or any cludes an individu NOTE: If this is the date of execut	R.I. political al contracting a semi-annual ion of the last
	[If you checked "NO" above, d	o mot ettech e cons	of the state	contrac	t, or written sum	mary, and do not	complete question	#17]
17.	If the answer to question 16 is "y	es", provide the nar	ne of the gen	eral offi	cer, candidate fo	r general office o	r political party an	d the date and 1993. List all
	amount of each such contributions even if reported on "PR" following the date of the	a previously filed contribution listed	affidavit. H below. [If a	owever	, please indicate al sheets are requ	nired, please attac	th them to this affic	lavit.]
	Name of General Officer, Candidate for General Office, or Political Party	Date of Contribution	Amount of Contribution	<u>n</u>	Name of Contributor		Relationship to Contracting Part	Ā
	Gina Raimondo Gina Raimondo	2/22/10 3/31/10	\$200 \$249		ernard Buon hristopher		Managing Managing	Director Director
				:			. ,	
	3. What is the total gross amount,	in dollars of cont		بدأت شديا ا		ing 24 month per		mtroating nari
. 18	and ALL state agencies? Includ JULY 27, 1993 OR THOSE Withis affidavit as a party related state. NOTE: If this is a semi-in dollars, of contracts entered which is 24 months prior to the state.	te all contracts regarments that HAVE RE to the contracting pannual filing (i.e. Jinto from the date	ACHED THe party and do anuary 1 to . of execution	E \$500 not hav June 30	O THRESHOLD e knowledge of to or July 1 to Deco ast contract enter priod.	PRIOR TO OCT he information re ember 31), you m red into during su	TOBER 2, 1993. Inquired by this que nust report the total ich semi-annual pe	if you are filing stion, please so gross amount ariod to the date.
18	and ALL state agencies? Includ JULY 27, 1993 OR THOSE Withis affidavit as a party related state. NOTE: If this is a semi-	le all contracts regar VHICH HAVE RE to the contracting pannual filing (i.e. Janual filing (i.e. Janual filing (i.e. Janual filing first day of the same	ACHED THe party and do anuary 1 to . of execution	E \$500 not hav June 30	O THRESHOLD e knowledge of the or July 1 to Dece ast contract enter	PRIOR TO OCT he information re ember 31), you m red into during su	FOBER 2, 1993. Inquired by this que	if you are filing stion, please so gross amount ariod to the date.
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18	and ALL state agencies? Includ JULY 27, 1993 OR THOSE Withis affidavit as a party related state. NOTE: If this is a semi-in dollars, of contracts entered which is 24 months prior to the State Agency	le all contracts regar VHICH HAVE RE to the contracting pannual filing (i.e. Janual filing (i.e. Janual filing (i.e. Janual filing first day of the same	ACHED TH party and do anuary 1 to a of execution ame semi-ar	E \$500 not hav June 30	O THRESHOLD e knowledge of to or July 1 to Deco ast contract enter priod.	PRIOR TO OCT he information re ember 31), you m red into during su	TOBER 2, 1993. Inquired by this que nust report the total ich semi-annual pe	if you are filing stion, please so gross amount ariod to the date.
18	and ALL state agencies? Includ JULY 27, 1993 OR THOSE Withis affidavit as a party related state. NOTE: If this is a semi-in dollars, of contracts entered which is 24 months prior to the State Agency	le all contracts regar VHICH HAVE RE to the contracting pannual filing (i.e. Janual filing (i.e. Janual filing (i.e. Janual filing first day of the same	ACHED TH party and do anuary 1 to a of execution ame semi-ar	E \$500 not hav June 30	O THRESHOLD e knowledge of to or July 1 to Deco ast contract enter priod.	PRIOR TO OCT he information re ember 31), you m red into during su	TOBER 2, 1993. Inquired by this que nust report the total ich semi-annual pe	if you are filing stion, please so gross amount ariod to the date.
18	and ALL state agencies? Includ JULY 27, 1993 OR THOSE Withis affidavit as a party related state. NOTE: If this is a semi-in dollars, of contracts entered which is 24 months prior to the State Agency	le all contracts regar VHICH HAVE RE to the contracting pannual filing (i.e. Janual filing (i.e. Janual filing (i.e. Janual filing first day of the same	ACHED TH party and do anuary 1 to a of execution ame semi-ar	E \$500 not hav June 30	O THRESHOLD e knowledge of to or July 1 to Deco ast contract enter priod.	PRIOR TO OCT he information re ember 31), you m red into during su	TOBER 2, 1993. Inquired by this que nust report the total ich semi-annual pe	if you are filing stion, please so gross amount ariod to the date.
18	and ALL state agencies? Includ JULY 27, 1993 OR THOSE Withis affidavit as a party related state. NOTE: If this is a semi-in dollars, of contracts entered which is 24 months prior to the State Agency	te all contracts regarither that the contracting pannual filing (i.e. Jinto from the date are first day of the subset of the sub	ACHED TH party and do anuary 1 to of execution ame semi-ar	IE \$500 not hav June 30 the lanual pe	O THRESHOLD e knowledge of to or July 1 to Deco ast contract enter priod.	PRIOR TO OCT he information re ember 31), you m red into during su	TOBER 2, 1993. Inquired by this que nust report the total ich semi-annual pe	if you are filing stion, please so gross amount ariod to the date.
18	and ALL state agencies? Includ JULY 27, 1993 OR THOSE Withis affidavit as a party related state. NOTE: If this is a semi-in dollars, of contracts entered which is 24 months prior to the State Agency	le all contracts regar VHICH HAVE RE to the contracting pannual filing (i.e. Janual filing (i.e. Janual filing (i.e. Janual filing first day of the same	ACHED TH party and do anuary 1 to of execution ame semi-ar	IE \$500 not hav June 30 the lanual pe	O THRESHOLD e knowledge of the contract contract enter criod. Amount of Contract co	PRIOR TO OCT he information re ember 31), you m red into during su	TOBER 2, 1993. Inquired by this que nust report the total ich semi-annual pe	if you are filing stion, please so gross amount ariod to the date.
18	and ALL state agencies? Includ JULY 27, 1993 OR THOSE Withis affidavit as a party related state. NOTE: If this is a semi-in dollars, of contracts entered which is 24 months prior to the State Agency	te all contracts regarither that the contracting pannual filing (i.e. Jinto from the date are first day of the subset of the sub	ACHED TH party and do anuary 1 to of execution ame semi-ar	IE \$500 not hav June 30 the lanual pe	0 THRESHOLD e knowledge of the contract enterprise enterprise of the contract enterprise of the contra	PRIOR TO OCT he information re ember 31), you m red into during su	TOBER 2, 1993. Equired by this que nust report the total ich semi-annual per P.C.	if you are filing stion, please so gross amount ariod to the date.
S	and ALL state agencies? Include JULY 27, 1993 OR THOSE Withis affidavit as a party related state. NOTE: If this is a semi-in dollars, of contracts entered which is 24 months prior to the State Agency None	te all contracts regarither that the contracting pannual filing (i.e. Jinto from the date the first day of the signature of t	ACHED TH party and do anuary 1 to of execution ame semi-ar of Contract	E \$500 not hav June 30 of the 1 nnual pe	O THRESHOLD e knowledge of the contract enter contract enter criod. Amount of Contract enter criod.	PRIOR TO OCT he information rember 31), you med into during subtract Fein #	rOBER 2, 1993. Equired by this que nust report the total ich semi-annual per P.C.	If you are filin stion, please so gross amoun riod to the date.
S	and ALL state agencies? Includ JULY 27, 1993 OR THOSE We this affidavit as a party related state. NOTE: If this is a semi-in dollars, of contracts entered which is 24 months prior to the State Agency None State of County of An Subscribed and sworn to or the subscribed and swor	r affirmed before me	ACHED TH party and do anuary 1 to of execution ame semi-ar of Contract	E \$500 not hav June 30 of the 1 nnual pe	O THRESHOLD e knowledge of the contract enter contract enter criod. Amount of Contract enter criod.	PRIOR TO OCT he information rember 31), you med into during subtract Fein #	rOBER 2, 1993. Equired by this que nust report the total ich semi-annual per P.C.	If you are filin stion, please so gross amoun riod to the date.
S	and ALL state agencies? Include JULY 27, 1993 OR THOSE Withis affidavit as a party related state. NOTE: If this is a semi-in dollars, of contracts entered which is 24 months prior to the State Agency None	te all contracts regarither that the contracting pannual filing (i.e. Jinto from the date the first day of the signature of t	ACHED TH party and do anuary 1 to of execution ame semi-ar of Contract	IE \$500 not hav June 30 of the 1 natural pe	O THRESHOLD e knowledge of the contract enter and the contract enter ariod. Amount of Contract enter ariod. O Signature	PRIOR TO OCT he information rember 31), you med into during subtract Fein #	rOBER 2, 1993. Equired by this que nust report the total ich semi-annual per P.C.	If you are filinstion, please so gross amount riod to the date.

Officers of Nautic Management VI, L.P. the General Partner of Nautic Partners VI, L.P.

Name	Title
Habib Y. Gorgi	Managing Director
Bernard V. Buonanno	Managing Director
Scott F. Hilinski	Managing Director
Michael W. Joe	Managing Director
Christopher J. Crosby	Managing Director
Bradley B. Wightman	Managing Director
Richard Crosier	Managing Director
Cynthia L. Balasco	Senior Vice President & CFO
Charles R. Bartolini	Controller
Glen Stevenson	Tax Director
Edward Resnick, Jr.	Portfolio Administration Officer
Lori Chabot	Investor Relations Coordinator

BOARD of ELECTIONS

CAMPAIGN FINANCE DIVISION

RHODE ISLAND 50 Branch Avenue, Providence Rhode Island 02904 BOARD OF ELECTIONS TEL (401) 222-2345

2011 OCT 19 PM 12: 06

AFFIDAVIT OF STATE VENDOR

UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Statement Under Oath of Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information

1. Name, address and telphone number of person making this affidavit

MR. Tony Francis Raposo

Name of Person Making this Affidavit

11 Birchwood Lane

Address1

Address2

Coventry, RI 02816

City 401

State Zip 294-2320

Phone

2. Within the 24 month period preceding the date of the contract with the state agency, or with respect to the contracts that are not in writing within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, any member of the general assembly or candidate for general assembly, or any R.I. political party? (includes an individual contracting party, or business entity on behalf of a related party, or a related party reporting on his/her own behalf) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

Yes [x] No []

3. If the answer to question 2 is "yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributins even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

Amount of Name of Contributor Relationship to Name of Officer, Candidate or Political Date of Contracting Party Contribution Contribution Party 50.00 Tony Raposo vendor 08/23/2010 Jared Nunes

4. What is the date of execution of the written contract requiring filing of this affidavit [Such contract must be filed with this affidavit]

10/18/2010

- 5. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold?
- 6. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

RI Airport Corp

On Call Services

11/01/2011

Agency

Goods / Services

Individual []

Contract Expire Date

Business Entity []

8. If on behalf of a business entity, what is the name and nature of the business entity?

William Anthony Excavating **Business Name**

050470180 FEIN#

Corporation []

Partnership []

7. Is this affidavit made by you as an individual or on behalf of a business entity?

Sole Proprietorship []

Other Business Entity []

9. If "Other Business Entity" is checked above what is the nature of such business entity?

			•			
10. Are you	a state vendor because you ar	e the contracting part	y in a contract with a s	state Yes [x]	No []	
11. If yes, w	hat is the name of the state ag	ency to which you ar	e providing goods and	/or <u>Bio</u>	lding on project	
12. If the ans	swer to question 10 is "no", ar	e you a state vendor	because you are relate	d to a contracting	yes [] No [x]	
13. If the ans	swer to question 12 is "yes", v	what is the nature of y	our relationship with	the contracting p	arty?	
14. If you ar	e a related party, provide the	name and address of	the contracting party a	nd the state agen	cy involved in such con	tract.
Name of	Contracting Party	S	tate Agency			
Address1						
Address2						
City	State Zip					
	e the contracting party, have yolitical contributions made by			is affidavit conta	in all Yes [x] No []	
	swer to question 15 is "no", hoblicagation to file this affida				w Yes [] No [x]	
	swer to question 16 is "yes", pre of such relationship.	provide the names and	d addresses of related	parties who migh	t be required to file this	affidavit
Name		Address		Rela	ationship	
18. What is period and A contracting this is a sem	the total gross amount in dolland. ALL state agencies? Include a party and do not have knowle in annual filing (i.e. January 1 of the last contract entered into period.	ars, of contracts enter Il contracts regarless dge of the informatio to June 30 or July 1 t	of amount. If you are n required by this que to December 31), you	I preceding 24 m filing this affida stion, please so s must report conti	onth period between the vit as a party related to tate by marking "Yes." ributions made from the ths prior to the first day	he NOTE: If date of
18. What is period and A contracting this is a sem execution of	ALL state agencies? Include a party and do not have knowle in-annual filing (i.e. January 1 f the last contract entered into	ars, of contracts enter Il contracts regarless dge of the informatio to June 30 or July 1 t	of amount. If you are n required by this que to December 31), you	I preceding 24 m filing this affida stion, please so s must report conto which is 24 mor	onth period between the vit as a party related to tate by marking "Yes." ributions made from the ths prior to the first day	he NOTE: If date of
18. What is period and A contracting this is a sem execution of	ALL state agencies? Include a party and do not have knowle i-annual filing (i.e. January 1 f the last contract entered into period.	ars, of contracts enter Il contracts regarless dge of the informatio to June 30 or July 1 t during such semi-and	of amount. If you are n required by this que to December 31), you nual period to the date Amount of	I preceding 24 m filing this affida stion, please so s must report conto which is 24 mor Yes [] No [x]	onth period between the vit as a party related to tate by marking "Yes." ributions made from the other prior to the first day	he NOTE: If date of
18. What is period and A contracting this is a sem execution of semi-annual	ALL state agencies? Include a party and do not have knowle it-annual filing (i.e. January 1 f the last contract entered into I period. State Agency Total Gross Amount:	ars, of contracts enter Il contracts regarless dge of the informatio to June 30 or July 1 t during such semi-and	of amount. If you are n required by this que to December 31), you nual period to the date Amount of Contract	I preceding 24 m filing this affida stion, please so s must report conto which is 24 mor Yes [] No [x] FEIN #	onth period between the vit as a party related to tate by marking "Yes." ributions made from the other prior to the first day P.O. #	he NOTE: If date of
18. What is period and A contracting this is a sem execution of semi-annual State of: County of:	ALL state agencies? Include a party and do not have knowle it-annual filing (i.e. January 1 f the last contract entered into 1 period. State Agency Total Gross Amount: RI Kent	ars, of contracts enter Il contracts regarless dge of the informatio to June 30 or July 1 t during such semi-and Date of Contract	of amount. If you are n required by this que to December 31), you nual period to the date Amount of Contract	I preceding 24 m filing this affida stion, please so s must report control which is 24 mor Yes [] No [x] FEIN #	onth period between the vit as a party related to tate by marking "Yes." ributions made from the other prior to the first day P.O. #	he NOTE: If date of of the same
18. What is period and A contracting this is a sem execution of semi-annual State of: County of:	ALL state agencies? Include a party and do not have knowle it-annual filing (i.e. January 1 f the last contract entered into 1 period. State Agency Total Gross Amount: RI Kent and sworn to or Affirmed before the state of th	ars, of contracts enter Il contracts regarless dge of the informatio to June 30 or July 1 t during such semi-and Date of Contract	of amount. If you are n required by this que to December 31), you nual period to the date Amount of Contract	I preceding 24 m filing this affida stion, please so s must report control which is 24 mor Yes [] No [x] FEIN #	onth period between the vit as a party related to tate by marking "Yes." ributions made from the other prior to the first day P.O. #	he NOTE: If date of of the same
18. What is period and A contracting this is a sem execution of semi-annual State of: County of: Subscribed	ALL state agencies? Include a party and do not have knowle it-annual filing (i.e. January 1 f the last contract entered into 1 period. State Agency Total Gross Amount: RI Kent and sworn to or Affirmed before the state of th	ars, of contracts enter Il contracts regarless dge of the informatio to June 30 or July 1 t during such semi-and Date of Contract	of amount. If you are n required by this que to December 31), you nual period to the date Amount of Contract Signathorized to administer	I preceding 24 m filing this affida stion, please so s must report control which is 24 mor Yes [] No [x] FEIN # The proceding 24 m file for the proceding in the juris day of	onth period between the vit as a party related to tate by marking "Yes." ributions made from the other prior to the first day P.O. #	he NOTE: If date of of the same avit was 20

Tams Uns L Printed Name of Notary or Other Person Authorized to Administer Oaths 86 WEYBOSSET STREET PROVIDENCE, RHODE ISLAND 02903 401 331-6400 FAX: 401 331-0436 RHODE ISLAND BOARD OF ELECTIONS

11 SEP 22 AN 11: 49

RODIO & URSILLO, LTD.

Attorneys at Law

September 19, 2011

Richard E. Thornton Director of Campaign Finance Rhode Island Board of Elections 50 Branch Ave Providence, RI, 02904

Re: Affidavit of State Vendor

Dear Mr. Thornton:

Our law office, Rodio & Ursillo, Ltd., represents the Rhode Island Resource Recovery Corporation ("RIRRC"). While RIRRC is not covered by the statute requiring vendors for the State of Rhode Island to file an Affidavit of State Vendor, as a quasi-governmental agency, RIRRC has asked us to file the paperwork in the interest of transparency and open government.

Enclosed please find an Affidavit of State Vendor for each attorney in our firm that made contributions of at least \$250 in a calendar year to general officers or candidates for general office of the State of Rhode Island. These affidavits are for calendar year 2009 and 2010. At the conclusion of the current calendar year, 2011, we will supplement these with affidavits covering that time period.

If you have any questions, or require further information, please feel free to contact Joe Rodio, Jr. in my office at (401)331-6400, or JRodioJr@RULaw.com

Very Truly Yours,

Joseph J. Rodio



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS **BOARD OF ELECTIONS**

CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence, Rhode Island 02904

RHODE ISLAND BOARD OF ELECTIONS

[TDD: 222-2239] TEL. (401)222-2056

UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

10 JUN -8 AM 9: 35

Instructions:

1

Every person or business entity contracting with a state agency on or after July 27, 1993 to sell goods and services (contracting party) is deemed to be a "state vendor". Also deemed to be a "state vendor" is (a) a person having a 10% or greater ownership interest in such contracting party, or (b) a person who is an executive officer of such contracting party, or (c) a person who is the spouse or minor child of the contracting party, or of a person having such 10% or greater ownership interest, or of a person who is an executive officer of such contracting party (related parties).

This affidavit must be filed with the State Board of Elections, 50 Branch Avenue, Providence, Rhode Island 02904 by every state vendor in connection with any contract with a state agency to provide goods and/or services costing \$5000 or more. If the contract is in writing, this affidavit must be filed within 60 days after the contract is executed and MUST BE ACCOMPANIED BY A COPY OF THE CONTRACT, OR A WRITTEN SUMMARY THEREOF. If the contract is not in writing, then the affidavit must be filed within 60 days from the date when the state vendor is first notified that the \$5000 threshold has been reached. However, Chapter 17-27 and the Regulations adopted thereunder,

permit semi-annual filings of affidavits under certain circumstances.

Every person or business entity qualifying as a state vendor (either as the contracting party or as a related party) and providing goods and services at a cost of \$5000 or more shall be responsible for filing this affidavit. A state vendor who is a contracting party must file this affidavit when the \$5000 threshold has been reached, even if no reportable political contributions have been made either by the contracting party or a related party. A state vendor who is a related party must file this affidavit only when a reportable political contribution has been made by such related party, and then, only if such contribution has not been included in an affidavit filed by the contracting party. A reportable political contribution is defined in question #16. The affidavit, when filed, will be a public document.

The Board of Elections is empowered to impose civil penalties for violations of Chapter 17-27.

The attention of state vendors is directed to the Regulations adopted by the Board of Elections in connection with the preparation and filing of this affidavit which are on file at the offices of both the Board of Elections and the Secretary of State.

Statement Under Oath or Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information:

THOMAS E. WALSH	1.0
AME OF PERSON MAKING THIS AFFIDAVIT	
125 HOLDEN STREET, PROVIDENCE, RI 02908 DDRESS	
401-521-2700	
ELEPHONE NUMBER	
What is the date of execution of the written contract requiring he filing of this affidavit? [Such contract must be filed with this affidavit.]	05/12/2010
	DATE
f the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold?	
	DATE
f the contract requiring the filing of this affidavit is not in writing, describe the good agency involved, and the term or duration of such contract. If the term is indefinit	
s this affidavit made by you as an individual or on behalf of a business entity? In	ndividual On Behalf of Business Entity X
f on behalf of a business entity, what is the name and nature of the business entit	tv?
Name of Business EntityRDW GROUP, INC.	FEIN #FEIN #
Check One:) Corporation X Partnership Sole Proprietorship	Other Business Entity
If "other business entity" is checked above, what is the nature of such business en	ntity?
Are you a state vendor because you are the contracting party in a contract with a	state agency? YES X NO
If yes, what is the name of state agency to which you are providing goods at RHODE ISLAND RESOURCE RECOVERY CORPORATION	nd/or services?
If the answer to question 8 is "no", are you a state vendor because you are related to	a contracting party? YESNO
	•
If answer to question 10 is "yes", what is the nature of your relationship with the	contracting party?

N. CO. LOWING.		1	hau	ated:'06/07/2	010	
Name of General Officer,	Date of			ount of	Name of	Relationship to
Candidate for General Office, or Political Party	Contribution			tribution	Contributor	Contracting Party
PR=Previously Reported	00,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,					
PR=Previously Reported	3 KT					
The Cicillini Committee	8-Nov-07 P	'R	\$	500.00	Michael M. Doyle	Stockholder
Rudy Guillani Presidential Comm	14-Dec-07 P	PR .	\$	250.00	Michael M. Doyle	Stockholder
Friends of William Murphy	17-Dec-07 P	PR .	\$	250.00	Michael M. Doyle	Stockholder
Friends of Patrick Lynch	28-Mar-08 P	PR	\$	1,000.00	Michael M. Doyle	Stockholder
Reed Committee	16-Jun-08 P	PR	\$	500.00	Michael M. Doyle	Stockholder
DeToy For North Kingston	10-Aug-08 P	PR	\$	200.00	Michael M. Doyle	Stockholder
Friends of Patrick Lynch		PR	\$	1,000.00	Michael M. Doyle	Stockholder
The Ciccilini Committee	14-Sep-09 F	PR	\$	1,000.00	Michael M. Doyle	Stockholder
Friends of Patrick Lynch	16-Mar-10		\$	1,000.00	Michael M. Doyle	Stockholder
Hends of Fathor Eyron						
Friends of Mayor Ciciline	Sep-07 F	PR	\$	1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of Mayor Claims Friends of Elizabeth Roberts	Sep-07 F	PR :	\$	500.00	Patricia A. Horan-Doyle	Stockholder
Friends of Governor Carcieri	Oct-07	PR	\$	1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of Scott Avedesian	Nov-07 F	PR	\$	500.00	Patricia A. Horan-Doyle	Stockholder
Friends of Jack Reed	Nov-07 F	PR	\$	500.00	Patricia A. Horan-Doyle	Stockholder
Friends of Patrick Lynch	Dec-07 F	PR	\$ \$	1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of Patrick Lynch	Mar-08	PR		1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of Mayor Ciciline	May-08 F	PR	: \$	500.00	Patricia A. Horan-Doyle	Stockholder
Friends of patrick Lynch	Nov-09	PR	\$	1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of Mayor Ciciline	Dec-09	PR	\$	1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of mayor Avedesian	May, 2009	PR	\$	1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of frank Caprio	April, 2009	PR	\$	1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of jack Reed		PR	\$	1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of Governor carcieri	Feb, 09	PR	\$ \$	1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of Patrick Lynch	16-Mar-10		\$	1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of Gordon Fox	03/16/2010		\$	1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of Steven Constantino	03/16/2010		\$	1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of Mayor Cicilline	03/16/2010		\$	1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of Lincoln Chaffee	03/16/2010		\$	200.00	Patricia A. Horan-Doyle	Stockholder
1 Herids of Elifebrit Shares						
•				050.00	Thomas E. Walsh	Stockholder
Citizens for Fogarty		PR	\$	250.00	Thomas E. Walsh	Stockholder
Myrth for Governor		PR	\$	500.00	Thomas E. Walsh	Stockholder
Carcieri For Governor	,	PR	\$	500.00	Thomas E. Walsh	Stockholder
Fiends of Terry Hassett		PR	\$		Thomas E. Walsh	Stockholder
Fogarty for Governor Campaign	00/10/2007	PR	\$		Thomas E. Walsh	Stockholder
The Reed Committee	06/09/2008	PR	\$	500.00	momas E. waish	GLOCKHOIGE
	00/00/0005	PR	\$	150.00	Jeffrey Patch	Stockholder
Friends of Terry Hassett	03/09/2005	rr.	Ф	150.00	ociney ration	

BOARD of ELECTIONS CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence Rhode Island 02904 TEL (401) 222-2345

RHODE ISLAND BOARD OF ELECTIONS

11 SEP 22 AM II: 49

AFFIDAVIT OF STATE VENDOR

UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Statement Under Oath of Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information

1. Name, address and telphone number of person making this affidavit MR. Joseph Julio Rodio JR.

Name of Person Making this Affidavit

202 South Main St

Address1

Address2

Sharon, MA 02067

City

State Zip

401 Phone 331-6400

2. Within the 24 month period preceding the date of the contract with the state agency, or with respect to the contracts that are not in writing within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, any member of the general assembly or candidate for general assembly, or any R.I. political party? (includes an individual contracting party, or business entity on behalf of a related party, or a related party reporting on his/her own behalf) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

Yes [x] No []

3. If the answer to question 2 is "yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributins even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

Name of Officer, Candidate or Political	Date of	Amount of	Name of Contributor	Relationship to
Party	Contribution	Contribution		Contracting Party
Gina Raimondo	06/24/2010	•	Joseph Julio Rodio Jr.	Employee
Gina Raimondo	06/24/2010		Ciara Ellen Rodio	Spouse of Employee

4. What is the date of execution of the written contract requiring filing of this affidavit [Such contract must be filed with this affidavit]

5. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold? 12/31/2010

6. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state. 12/31/2012

Rhode Island Resource Recovery

Legal Services- indefinite duration

Contract Expire Date

Agency

Goods / Services

7. Is this affidavit made by you as an individual or on behalf of a business entity?

Individual []

Business Entity []

8. If on behalf of a business entity, what is the name and nature of the business entity?

Rodio & Ursillo, Itd.

05-0398359

Business Name

FEIN#

Corporation []

Partnership []

Sole Proprietorship []

Other Business Entity []

9. If "Other Business Entity" is checked above what is the nature of such business entity? Professional Service Corporation

10. Are you a	state vendor because you are the co	ntracting party	in a contract with a st	tate Yes [x] No	[]	
11. If yes, wh	at is the name of the state agency to	which you are	providing goods and/	or services? Rhode	Island Resource Rec	overy
	wer to question 10 is "no", are you a				Yes [] No []	
13. If the answ	wer to question 12 is "yes", what is	the nature of yo	our relationship with t	he contracting party	?	
14. If you are	a related party, provide the name a	nd address of th	ne contracting party ar	nd the state agency is	nvolved in such cont	ract.
Name of C	Contracting Party	Sta	ate Agency			
Address1						
Address2						
City	State Zip					
reportable po	the contracting party, have you sur ditical contributions made by such r	elated parties?		•		
of their oblic	wer to question 15 is "no", have you agation to file this affidavit if they h	iave made repo	rtable political contri	outions:		aa
17. If the ans and the natur	swer to question 16 is "yes", provide e of such relationship.	the names and	l addresses of related p	parties who might be	e required to file this	affidavit
Name	Addı	ess		Relation	nship	
contracting p	the total gross amount in donars, or LL state agencies? Include all control party and do not have knowledge of i-annual filing (i.e. January 1 to Jun the last contract entered into during period.	the information	n required by this quest o December 31), you a nual period to the date	must report contribution which is 24 months Yes [x] No []	tions made from the prior to the first day	date of
	State Agency	Date of Contract	Amount of Contract	FEIN#	P.O. #	
	Rhode Island Resource RI State Investment Commission	08/01/2007	715,494.75 12,293.75	05-0366883 05-6000522		
	Total Gross Amount:		727,788.50			
- 0			_			
State of: County of:	<u>RI</u> <u>Providence</u>			ature of Person mak		
	and sworn to or Affirmed before me	e, an official au	thorized to administer	oaths in the jurisdic	ction where this affid	avit was
made, on the	is		20+	_ day of Sop	tember	20 [[
			Signature of Notary	or Other Person Au	thorized to Adminis	ter Oaths
			Printed Name of No	A MCOCE otary or Other Perso	n Authorized to Adn	ninister Oaths

BOARD of ELECTIONS

CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence Rhode Island 02904 TEL (401) 222-2345

RHODEISLAND BOARD OF ELECTIONS

11 SEP 22 AM II: 49

Relationship to

AFFIDAVIT OF STATE VENDOR

UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Statement Under Oath of Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information

MR. Mic	chael W Murph Person Making t g St	y	number of person making this affidavit s Affidavit
Address2			
Rehobot	h, MA 02769		
City	Stat	e	Zip
401	331-6400		
Phone			

Name of Officer, Candidate or Political Date of

Professional Service Corporation

- 2. Within the 24 month period preceding the date of the contract with the state agency, or with respect to the contracts that are not in writing within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, any member of the general assembly or candidate for general assembly, or any R.I. political party? (includes an individual contracting party, or business entity on behalf of a related party, or a related party reporting on his/her own behalf) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period. Yes [x] No []
- 3. If the answer to question 2 is "yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributins even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

Amount of

Name of Contributor

Name of Officer, Candidate or Political Party	Date of Contribution	Amount of Contribution	Name of Collum	Dutoi	Contracting Party			
Frank Caprio	03/31/2009	250.00	Michael W Mur	phy	Partner			
4. What is the date of execution of the written contract requiring filing of this affidavit [Such contract must be filed with this affidavit]								
5. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold?								
6. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state. Rhode Island Resource Recovery Agency Legal Services- indefinite duration Goods / Services Contract Expire Date								
7. Is this affidavit made by you as an indi	vidual or on bel	nalf of a business	entity?	Individual []	Business Entity []			
8. If on behalf of a business entity, what is the name and nature of the business entity? Rodio & Ursillo, ltd. Business Name 05-0398359 FEIN#								
Corporation [] Partnership [] Sole Proprietorship [] Other Business Entity []								
9. If "Other Business Entity" is checked above what is the nature of such business entity?								

BOARD of ELECTIONS CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence Rhode Island 02904 TEL (401) 222-2345 RHODE ISLAND BOARD OF ELECTIONS

11 SEP 22 AN II: 49

AFFIDAVIT OF STATE VENDOR

UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Statement Under Oath of Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information

1. Name, address and telphone number of person making this affidavit

MR. Christopher Lambert

Name of Person Making this Affidavit

20 Morra Way

Address1

Address2

East Providence, RI 02916

City

State Zip

401 331-6400

Phone

- 2. Within the 24 month period preceding the date of the contract with the state agency, or with respect to the contracts that are not in writing within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, any member of the general assembly or candidate for general assembly, or any R.I. political party? (includes an individual contracting party, or business entity on behalf of a related party, or a related party reporting on his/her own behalf) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

 Yes [x] No []
- 3. If the answer to question 2 is "yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributions even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

Name of Officer, Candidate or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
Peter Kilmartin	06/05/2010		Christopher Lambert	Partner
Frank Caprio	03/31/2009		Christopher Lambert	Partner

- 4. What is the date of execution of the written contract requiring filing of this affidavit [Such contract must be filed with this affidavit]
- 5. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold?

12/31/2010

6. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

Rhode Island Resource Recovery

Legal Services- indefinite duration

12/31/2012

Agency

Goods / Services

Contract Expire Date

7. Is this affidavit made by you as an individual or on behalf of a business entity?

Individual [] Business Entity []

8. If on behalf of a business entity, what is the name and nature of the business entity?

Rodio & Ursillo, ltd.

05-0398359

Business Name

FEIN#

Corporation []

Partnership []

Sole Proprietorship []

Other Business Entity []

9. If "Other Business Entity" is checked above what is the nature of such business entity? Professional Service Corporation

10. Are you a state vendor bed	ause you are the co	ntracting par	ty in a contract with a	state Yes [x] No	o[]		
11. If yes, what is the name of	the state agency to	which you a	re providing goods and	d/or services? Rhode	e Island Resource Recovery		
12. If the answer to question 1	0 is "no", are you a	state vendor	because you are relate	ed to a contracting	Yes [] No []		
13. If the answer to question 1	2 is "yes", what is t	he nature of	your relationship with	the contracting party	?		
14. If you are a related party, p	provide the name ar	nd address of	the contracting party	and the state agency i	involved in such contract.		
Name of Contracting Party		S	tate Agency				
Address1							
Address2							
City	State Zip						
15. If you are the contracting preportable political contribution				is affidavit contain a	ll Yes [x] No []		
16. If the answer to question 1 of their oblicagation to file this					and Yes[] No[]		
17. If the answer to question 1 and the nature of such relation		the names an	d addresses of related	parties who might be	e required to file this affidavit		
Name	Addre	ess		Relation	nship		
period and ALL state agencies contracting party and do not hat this is a semi-annual filing (i.e.	18. What is the total gross amount in dollars, of contracts entered into during the said preceding 24 month period between the contracting period and ALL state agencies? Include all contracts regarless of amount. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state by marking "Yes." NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period. Yes [x] No []						
State Agency		Date of Contract	Amount of Contract	FEIN#	P.O. #		
Rhode Island R	esource	08/01/2007		05-0366883			
RI State Investr	nent Commission	08/18/2008	12,293.75	05-6000522			
M-4-1 C 4				1			
Total Gross A	mount:		727,788.50	01	1		
State of: <u>RI</u>	mount:			ature of Person makin	ng the Affidavit		
State of: <u>RI</u> County of: <u>Providence</u>		an official au	Signa	ature of Person makin	- ,		
State of: <u>RI</u>		an official au	Signa Signa	ature of Person makin	ion where this affidavit was		
State of: RI County of: Providence Subscribed and sworn to or Af		an official au	Signa Signa	ature of Person makin	ion where this affidavit was		
State of: RI County of: Providence Subscribed and sworn to or Af		an official au	Signa Signa	ature of Person makin	- ,		
State of: RI County of: Providence Subscribed and sworn to or Af		an official au	Signature of Notary	ature of Person makin oaths in the jurisdict day of Sept or Other Person Aut	ion where this affidavit was 20 11 horized to Administer Oaths		

BOARD of ELECTIONS CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence Rhode Island 02904 TEL (401) 222-2345 RHODE ISLAND BOARD OF ELECTIONS

11 SEP 22 AM II: 49

AFFIDAVIT OF STATE VENDOR

UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Statement Under Oath of Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information

1. Name, address and telphone number of person making this affidavit

MR. Joseph J Pezza

Name of Person Making this Affidavit

467 Exeter Rd

Address1

Address2

North Kingston, RI 02852

City

State Zip

401

331-6400

Phone

2. Within the 24 month period preceding the date of the contract with the state agency, or with respect to the contracts that are not in writing within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, any member of the general assembly or candidate for general assembly, or any R.I. political party? (includes an individual contracting party, or business entity on behalf of a related party, or a related party reporting on his/her own behalf) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

Yes [x] No []

3. If the answer to question 2 is "yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributions even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

Name of Officer, Candidate or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
Gina Raimondo	06/24/2010	,	Joseph J Pezza	Partner
Frank Caprio	03/31/2009		Joseph J Pezza	Partner

- 4. What is the date of execution of the written contract requiring filing of this affidavit [Such contract must be filed with this affidavit]
- 5. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold?

12/31/2010

6. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

Rhode Island Resource Recovery

Legal Services- indefinite duration

12/31/2012

Agency

Goods / Services

Contract Expire Date

7. Is this affidavit made by you as an individual or on behalf of a business entity?

Individual []

Business Entity []

8. If on behalf of a business entity, what is the name and nature of the business entity?

Rodio & Ursillo, ltd.

05-0398359

Business Name

FEIN#

Corporation []

Partnership []

Sole Proprietorship []

Other Business Entity []

9. If "Other Business Entity" is checked above what is the nature of such business entity? Professional Service Corporation

eting party in a contract with a state Yes [x] No []
ch you are providing goods and/or services? Rhode Island Resource Recovery
evendor because you are related to a contracting Yes [] No []
ature of your relationship with the contracting party?
dress of the contracting party and the state agency involved in such contract.
State Agency
I all related parties and does this affidavit contain all Yes [x] No [] parties?
fied all such parties of the requirements of the law and Yes [] No [] nade reportable political contributions?
ames and addresses of related parties who might be required to file this affidavit
Relationship
acts entered into during the said preceding 24 month period between the contracting egarless of amount. If you are filing this affidavit as a party related to the formation required by this question, please so state by marking "Yes." NOTE: If I r July 1 to December 31), you must report contributions made from the date of semi-annual period to the date which is 24 months prior to the first day of the same Yes [x] No []
egarless of amount. If you are filing this affidavit as a party related to the formation required by this question, please so state by marking "Yes." NOTE: If r July 1 to December 31), you must report contributions made from the date of semi-annual period to the date which is 24 months prior to the first day of the same
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egarless of amount. If you are filing this affidavit as a party related to the formation required by this question, please so state by marking "Yes." NOTE: If r July 1 to December 31), you must report contributions made from the date of semi-annual period to the date which is 24 months prior to the first day of the same Yes [x] No []
egarless of amount. If you are filing this affidavit as a party related to the formation required by this question, please so state by marking "Yes." NOTE: If r July 1 to December 31), you must report contributions made from the date of semi-annual period to the date which is 24 months prior to the first day of the same Yes [x] No [] of Amount of FEIN # P.O. # tract Contract 1/2007 715,494.75 05-0366883
egarless of amount. If you are filing this affidavit as a party related to the formation required by this question, please so state by marking "Yes." NOTE: If r July 1 to December 31), you must report contributions made from the date of semi-annual period to the date which is 24 months prior to the first day of the same Yes [x] No [] of Amount of FEIN # P.O. # cract Contract 1/2007 715,494.75 05-0366883 8/2008 12,293.75 05-6000522 727,788.50
egarless of amount. If you are filing this affidavit as a party related to the formation required by this question, please so state by marking "Yes." NOTE: If r July 1 to December 31), you must report contributions made from the date of semi-annual period to the date which is 24 months prior to the first day of the same Yes [x] No [] of Amount of FEIN # P.O. # tract Contract 1/2007 715,494.75 05-0366883 8/2008 12,293.75 05-6000522 727,788.50 Signature of Person making the Affidavit
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BOARD of ELECTIONS CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence Rhode Island 02904 TEL (401) 222-2345

RHODE ISLAND BOARD OF ELECTIONS

11 SEP 22 AM II: 49

AFFIDAVIT OF STATE VENDOR

UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Statement Under Oath of Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information

1. Name, address and telphone number of person making this affidavit

MR. David R Ursillo SR.

Name of Person Making this Affidavit

140 Varnum Dr

Address1

Address2

Warwick, RI 02818

City

State Zip

401

331-6400

Phone

2. Within the 24 month period preceding the date of the contract with the state agency, or with respect to the contracts that are not in writing within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, any member of the general assembly or candidate for general assembly, or any R.I. political party? (includes an individual contracting party, or business entity on behalf of a related party, or a related party reporting on his/her own behalf) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

Yes [x] No []

3. If the answer to question 2 is "yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributins even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

Name of Officer, Candidate or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
Frank Caprio	03/31/2009	1,000.00	David R Ursillo	Partner
•	06/24/2010	1,000.00	David R Ursillo	Partner
Gina Raimondo	06/30/2010	1,000.00	David R Ursillo	Partner
Frank Caprio	05/08/2009	•		Partner
David Caprio	03/31/2010	150.00	David R Ursillo	Partner
David Caprio	01/14/2009			Partner
William Murphy	 - ··	732.24		Spouse of Partner
Gina Raimondo	06/24/2010	761.24	111	Spouse of Partner
Frank Caprio	03/25/2009	/01.24	Jane W Cisino	•

- 4. What is the date of execution of the written contract requiring filing of this affidavit [Such contract must be filed with this affidavit]
- 5. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold?

12/31/2010

6. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state. 12/31/2012

Rhode Island Resource Recovery

Legal Services- indefinite duration

Agency

Goods / Services

Contract Expire Date

₹ Programme Section (1997)				Individual []	Business Entity []
a manuscrimois made by s	you as an individual or on be	ehalf of a busin	ess enuty:		
8. If on behalf of a business Rodio & Ursillo, Ltd. Business Name	s entity, what is the name an	05-0398359 FEIN#		Other Business	Entity []
Corporation []	Partnership []		orietorship[]		
9. If "Other Business Entite Professional Services C			contract with a state	Yes [x] No []	Decoupy.
	cut - state agency to Whi	ch you are pro-	Viding 500 at		and Resource Recovery
	to the line of a voll a stat	e vendor becar	iso you are		Yes [] No []
12. If the answer to quest	ion 10 is "no, are you a state ion 12 is "yes", what is the r	nature of your r	elationship with the o	contracting party?	
13. If the answer to quest	arty, provide the name and a	ddress of the c	ontracting party and t	he state agency inv	olved in such contract.
		State	Agency		
Name of Contracting	Party	5			
Address1					
Address2					
City	State Zip	1 -11 molated t	parties and does this a	affidavit contain all	Yes [x] No []
reportable political com	State Zip acting party, have you surve tributions made by such rela		porties of the require	ments of the law ar	nd Yes[] No[]
- and	estion 15 is "no", have you n	otilied all such	ble political contribu	tions?	required to file this affidavit
17. If the answer to que and the nature of such	estion 16 is "yes", provide to	ie names and a	adresses of related pu		required to file this affidavit
	Addres	es.		Relation	ship
Name					
neriod and ALL State	agenoios.	- information	required by uns ques	tion, prome	prior to the first day of the same
State 2	Agency	Date of Contract	Contract	FEIN #	P.O. #
Rhod	e Island Resource ate Investment Commission	08/01/2007	715,494.75 12,293.75	05-0366883 05-6000522	
	Gross Amount:		727,788.50		
Tota	I G1022 Vinorue.		•		

State of:	<u>RI</u>
County of:	Providence

Signature of Person making the Affidavit Subscribed and sworn to or Affirmed before me, an official authorized to administer oaths in the jurisdiction where this affidavit was made, on this Signature of Notary or Other Person Authorized to Administer Oaths Printed Name of Notary or Other Person Author(zed to Administer Oaths

BOARD of ELECTIONS CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence Rhode Island 02904 TEL (401) 222-2345 RHODE ISLAND BOARD OF ELECTIONS

11 SEP 22 AN II: 49

Delationship to

AFFIDAVIT OF STATE VENDOR

UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Statement Under Oath of Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information

1. Name, address and telphone number of person making this affidavit

MR. Joseph J Rodio SR.

Name of Person Making this Affidavit

11 Belmont Dr.

Address1

Address2

Lincoln, RI 02865

City

State Zip

401

331-6400

Phone

2. Within the 24 month period preceding the date of the contract with the state agency, or with respect to the contracts that are not in writing within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, any member of the general assembly or candidate for general assembly, or any R.I. political party? (includes an individual contracting party, or business entity on behalf of a related party, or a related party reporting on his/her own behalf) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

Yes [x] No []

3. If the answer to question 2 is "yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributions even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

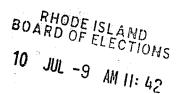
Name of Officer, Candidate or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
A Ralph Mollis	12/20/2009	300.00	Joseph J Rodio Sr	Partner
Gordon Fox	11/14/2010	1,000.00	Joseph J Rodio Sr	Partner
Peter Kilmartin	11/02/2010	800.00	Joseph J Rodio Sr	Partner
Deborah Fellela	10/09/2009	75.00	Joseph J Rodio Sr	Partner
Deborah Fellela	09/30/2009	75.00	Joseph J Rodio Sr	Partner
Frank Caprio	06/30/2010	1,000.00	Joseph J Rodio Sr	Partner
Gina Raimondo	06/24/2010	1,000.00	Joseph J Rodio Sr	Partner
Peter Kilmartin	06/20/2010	200.00	Lorna M Rodio	Spouse of Partner
Raymond Hull	06/17/2010	200.00	Joseph J Rodio Sr	Partner
Peter Kilmartin	06/05/2010	200.00	Lorna M Rodio	Partner
Stephen Archambault	05/25/2010	1,000.00	Joseph J Rodio Sr	Partner
Dominick Ruggerio	05/22/2010	200.00	Joseph J Rodio Sr	Partner
David Caprio	05/08/2009	150.00	Joseph J Rodio Sr	Partner
David Caprio	03/31/2010	150.00	Joseph J Rodio Sr	Partner
Frank Caprio	03/31/2009	1,000.00	Joseph J Rodio Sr	Partner
Patrick Lynch	03/20/2009	100.00	Joseph J Rodio Sr	Partner
William J Murphy	01/14/2009	200.00	Joseph J Rodio Sr	Partner
Gina Raimondo	06/24/2010	732.24	Lorna M Rodio	Spouse of Partner
Frank Caprio	03/25/2009	761.24	Lorna M Rodio	Spouse of Partner
Trank Capito				

4. What is the date of execut [Such contract must be fi		requiring filing of this affidavit			
5. If the contract requiring the when were you first notified	ne filing of this affidavit is a field that the contract had rea	not in writing, ached the \$5000 threshold?		12/31/	2010
6. If the contract requiring the	he filing of this affidavit is	not in writing, describe the goods an	d/or services to be	e provided, the state ager	су
Rhode Island Resource Reco Agency		the term is indefinite, so state. adefinite duration		12/31/ Contract Expire	
7. Is this affidavit made by y	you as an individual or on b	ehalf of a business entity?	Individual []	Business Entity []	
8. If on behalf of a business Rodio & Ursillo, Ltd. Business Name	entity, what is the name an	d nature of the business entity? 05-0398359 FEIN#			
Corporation []	Partnership []	Sole Proprietorship []	Other Busines	s Entity []	
9. If "Other Business Entity Professional Services Co		the nature of such business entity?			
		ting party in a contract with a state	Yes [x] No [•	
11. If yes, what is the name	of the state agency to whic	h you are providing goods and/or se	rvices? Rhode Isl		
		vendor because you are related to a		Yes [] No []	
13. If the answer to question	n 12 is "yes", what is the na	ture of your relationship with the co	ntracting party?		
14. If you are a related party	y, provide the name and add	dress of the contracting party and the	e state agency invo	olved in such contract.	
Name of Contracting Par	rty	State Agency			
Address1					
Address2					
City	State Zip				
15. If you are the contracting reportable political contributions.	ng party, have you surveyed utions made by such related	all related parties and does this affi- parties?	davit contain all	Yes [x] No []	
16. If the answer to question of their oblicagation to file	n 15 is "no", have you notif this affidavit if they have m	fied all such parties of the requireme nade reportable political contribution	nts of the law and	Yes [] No []	
17. If the answer to questio and the nature of such relat		ames and addresses of related partie	s who might be re	quired to file this affidav	it
Name	Address		Relationsh	ip	
period and ALL state agence contracting party and do not this is a semi-annual filing	cies? Include all contracts rot have knowledge of the in- file. January 1 to June 30 or	cts entered into during the said precegarless of amount. If you are filing formation required by this question, r July 1 to December 31), you must semi-annual period to the date which Yes	this affidavit as a please so state by report contribution	party related to the marking "Yes." NOTE: is made from the date of	If

	State Agency	Date of Contract	Amount of Contract	FEIN#	P.O. #
	Rhode Island Resource	08/01/2007	715,494.75	05-0366883	
	RI State Investment Commission	08/18/2008	12,293.75	05-6000522	
	Total Gross Amount:		727,788.50		
State of:	RI				
County of:	Providence		Sfgna	ature of Person mak	ing the Affidavit
Subscribed a made, on this	and sworn to or Affirmed before me, s	an official aut	horized to administer	oaths in the jurisdic	otion where this affidavit was
			Signature of Notary	or Other Person Au	thorized to Administer Oaths
			Printed Name of No	A MCVC tary or Other Person	Authorized to Administer Oaths

BOARD of ELECTIONS CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence Rhode Island 02904 TEL (401) 222-2345



AFFIDAVIT OF STATE VENDOR

UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Statement Under Oath of Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information

1. Name, address and telphone number of person making this affidavit

MR. Peter J. Troy

Name of Person Making this Affidavit

77 Robincrest Court

Address1

Address2

Seekonk, MA 02771

City

State Zip

508

399-8602

Phone

2. Within the 24 month period preceding the date of the contract with the state agency, or with respect to the contracts that are not in writing within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, any member of the general assembly or candidate for general assembly, or any R.I. political party? (includes an individual contracting party, or business entity on behalf of a related party, or a related party reporting on his/her own behalf) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

Yes [x] No []

3. If the answer to question 2 is "yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributins even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

Name of Officer, Candidate or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
William Lynch	06/22/2010	600.00	Peter Troy	None
Steven Archimbault	06/30/2010	300.00	Peter Troy	None
Frank Caprio	06/24/2010	500.00	Peter Troy	None
Christopher Little	03/13/2010	500.00	Peter Troy	None
Elizabeth Roberts 03172010	03/13/2010	500.00	Peter Troy	None

4. What is the date of execution of the written contract requiring filing of this affidavit [Such contract must be filed with this affidavit]

06/24/2010

- 5. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold?
- 6. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

Agency

Goods / Services

Contract Expire Date

	* 12 * 1 - 1 - 1 - 1 - 1	L - 16 - 6 - 1				Ducinosa Entity []
7. Is this affidavit made by	you as an individual or on be	half of a	ousiness entity?		Individual []	Business Entity []
8. If on behalf of a business Troy Pires & Allen LLC Business Name	entity, what is the name and	nature of 0504530 FEIN#		ty?		
Corporation []	Partnership []	Sole I	Proprietorship []		Other Busines	ss Entity []
9. If "Other Business Entity	" is checked above what is th	e nature	of such business e	entity?		
10. Are you a state vendor b	pecause you are the contracting	ng party i	n a contract with a	a state	Yes [x] No []
11. If yes, what is the name	of the state agency to which	you are p	roviding goods ar	nd/or	RIRRC	
12. If the answer to question	n 10 is "no", are you a state v	endor bed	cause you are rela	ted to a co	ntracting	Yes [] No []
13. If the answer to question	n 12 is "yes", what is the natu	ire of you	r relationship witl	h the cont	racting party?	
				11		
14. If you are a related party	y, provide the name and addr	ess of the	contracting party	and the s	tate agency inv	olved in such contract.
Name of Contracting Par	rty	Stat	e Agency			
Address1						
Address2	0					
City	State Zip					
	g party, have you surveyed a tions made by such related p		parties and does t	this affida	vit contain all	Yes [] No []
16. If the answer to question and of their oblicagation to	n 15 is "no", have you notifie file this affidavit if they have	d all sucl made re	n parties of the req portable political	quirements contributi	s of the law ons?	Yes [] No []
17. If the answer to question and the nature of such relati	n 16 is "yes", provide the namionship.	nes and a	ddresses of related	d parties v	vho might be re	quired to file this affidavit
Name	Address				Relationsh	ip
period and ALL state agence contracting party and do no this is a semi-annual filing	cies? Include all contracts reg t have knowledge of the info (i.e. January 1 to June 30 or J	arless of rmation r July 1 to 1	amount. If you ar equired by this qu December 31), you	re filing th lestion, plo u must rep te which i	is affidavit as a ease so state by oort contribution	marking "Yes." NOTE: If
State Agenc	y Date o Contra		Amount of Contract	FEIN#		P.O. #

Total Gross Amount:

State of: RI
County of: Providecne
Subscribed and sworn to or Affirmed before me, an official authorized to administer oaths in the jurisdiction where this affidavit was made, on this

Signature of Notary or Other Person Authorized to Administer

Printed Name of Notary or Other Person Authorized to Administer Oaths

Ocentre 19 1-29-13

BOARD OF ELECTIONS CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence, Rhode Island 02904

TEL. (401) 222-2056 [TDD: 222-2239]

RHODE ISLAND BOARD OF ELECTIONS

JAN 26 AM 11: 06

AFFIDAVIT OF STATE VENDOR UNDER CHAPTER 17-27 OF THE GENERAL LASW OF RHODE ISLAND

Instructions:

rme of Business Entity

Corporation _

Every person or business entity contracting with a state agency on or after July 27, 1993 to sell goods and services (contracting party) is deemed to be a "state vendor". Also deemed to be a "state vendor" is (a) a person having a 10% or greater ownership interest in such contracting party, or (b) a person who is an executive officer of such contracting party, or (c) a person who is the spouse or minor child of the contracting party, or of a person having such 10% or greater ownership interest, or of a person who is an executive officer of such contracting party (related parties).

This affidavit must be filed with the State Board of Elections, 50 Branch Avenue, Providence, Rhode Island 02904 by every state vendor in connection with any contract with a state agency to provide goods and/or services costing \$5,000 or more. If the contract is in writing, this affidavit must be filed within 60 days after the contract is executed and MUST BE ACCOMPANIED BY A COPY OF THE CONTRACT, OR A WRITTEN SUMMARY THEREOF. If the contract is not in writing, then the affidavit must be filed within 60 days from the date when the state vendor is first notified that the \$5,000 threshold has been reached. However, Chapter 17-27 and the Regulations adopted thereunder, permit semi-annual filings of affidavits under certain circumstances.

Every person or business entity qualifying as a state vendor (either as the contracting party or as a related party) and providing goods and services at a cost of \$5,000 or more shall be responsible for filing this affidavit. A state vendor who is a contracting party must file this affidavit when the \$5,000 threshold has been reached, even if no reportable political contributions have been made either by the contracting party or a related party. A state vendor who is a related party must file this affidavit only when a reportable political contribution has been made by such related party, and then, only if such contribution has not been included in an affidavit filed by the contracting party. A reportable political contribution is defined in #16. The affidavit, when filed will be a public document.

Partnership

	The Board of Elections is empowered to impose civil penalties for violations of Chapter. The attention of state vendors is directed to the <u>Regulations</u> adopted by the board of El	ections in co	onnection with the preparation and
iling	of this affidavit which are on file at the offices of both the Board of Elections and the Secre	tary of State	
	Statement Under Oath or Affirmation		
	The undersigned, upon oath or affirmation, does hereby make the following statements a	and provide	the following information:
	Name, address and telephone number of person making this affidavit.		
	Howard G. Sutton, President, Washington Street Garage Corporation		
	NAME OF PERSON MAKING THIS AFFIDAVIT		
	75 Fountain Street, Providence, RI 02902		
	ADDRESS		
	(401) 277-7869		
	TELEPHONE NUMBER		
<u>.</u> .	What is the date of execution of the written contract requiring The filing of this affidavit? [Such contract must be filed with this affidavit.]	N	lo written contracts DATE
} .	If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5,000 threshold?		1994 DATE
1.	If the contract requiring the filing of this affidavit is not in writing, describe the goods a involved, and the terms or duration of such contract. If the term is indefinite, so state.	and/or servic	es to be provided, the state agency
	Parking spaces – indefinite term		
5.	Is this affidavit made by you as an individual or on behalf of a business entity? Individual	lual	On Behalf of Business Entity X
-	If on behalf of a business entity, what is the name and nature of the business entity?		
	ame of Business Entity Washington Street Garage Corporation	FEIN #	05-0455783

Sole Proprietorship: _____

Other Business Entity:

Are you a state vendor because you are the contracting party in a contract with a state agency? YES X NO NO
The your state venture you are assumed by
If yes, what is the name of state agency to which you are providing goods and/or services?
RIHMFC, RI Treasury, Providence Water
If the answer to question 8 is "no", are you a state vendor because you are related to a contracting party? YES NO
If answer to question 10 is "yes", what is the nature of your relationship with the contracting party?
(a) 10% or greater ownership interest in contracting party (c) spouse or minor child of contracting party, or of 10% or greater owner, or of executive officer
If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.
Name of Contracting Party Not Applicable
Address
State Agency
If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable political contributions made by such related parties? YES X NO
If the answer to question 13 is "no", have you notified all such related parties of the requirements of the law and of their obligation to file this affidavit if they have made reportable political contributions? YES NO
If the answer to question 14 is "yes", provide the names and addresses of related parties who might be required to file this affidavi
and the nature of such relationship. Name Address Relationship to Contracting Party
Within the 24 month period preceding the date of the contract with the state agency; or with respect to contracts that are not in writing
within the 24 month period preceding the date of notification that the contract has reached the \$5,000 threshold, have you contribute an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, or any R.I. political party? DO NOT INCLUDE ANY CONTRIBUTIONS MADE PRIOR TO OCTOBER 2, 1993 (Includes an individual contracting party, or a business entity on behalf of a related party, or a related party reporting on his/her own behalf.) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.
[If you checked "NO" above, do not attach a copy of the state contract or written summary, and do not complete question #17]
If the answer to question 16 is "yes", provide the name of the general officer, candidate for general office or political party and the date and amount of each such contribution. DO NOT INCLUDE CONTRIBUTIONS MADE PRIOT TO OCTOBER 2, 1993. Li all contributions even if reported on a previously filed affidavit. However, please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below. [If additional sheets are required, please attach them to this affidavit.]
Name of General Officer, Candidate for General Office, Or Political Party Date of Contribution Amount of Contribution Name of Name of Contributor Contributor Contributor Contributor Contributor

8.	party and ALL state ag PRIOR TO JULY 27, 1 you are filing this affid question, please so state the total gross amount,	encies? Include all contracts 993 OR THOSE WHICH HA avit as a party related to the c b. NOTE: If this is a semi-an	regardless of amount. INVEREACHED THE \$5 contracting party and do not nual filing (i.e. January led into from the date of	DO NOT INCL 000 THRESHO not have knowle to June 30 or Ju execution of the	month period between the contracting UDE CONTRACTS ENTERED INTO LD PRIOR TO OCTOBER 2, 1993. If dge of the information required by this related to December 31), you must report to last contract entered into during such related period.
	State Agency	Date of Contract	Amount of Contract	FEIN#	<u>P.O. #</u>
	RIHMFC RI Treasury Providence Water No written contracts				
		TOTAL GROSS AMOUNT:	\$ in excess of \$5,00	00	
					etton, President eet Garage Corporation
State of County				Signature of P	erson Making the Affidavit
nade, o	Subscribed and sworn t n this メ<u>クル</u> も day of J		Dhy	leis Ce. J	the jurisdiction where this affidavit was
				A. Hamilton otary or Other Persor	n Authorized to Administer Oaths

My Commission Expires:

18.

BOARD OF ELECTIONS CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence, Rhode Island 02904

TEL. (401) 222-2056 [TDD: 222-2239]

NOTICE AND CERTIFICATION OF STATE VENDOR FOR RELIEF FROM MULTIPLE FILINGS

The undersigned certifies to the State Board of Elections under Section 17-27-3 of the General Laws of Rhode Island that it has reasonable cause to believe that for the calendar year 2010, it will be required to file two or more affidavits, and that this notice and certification is being filed for the purpose of permitting the undersigned to report on a semi-annual basis to the State Board of Elections for the aforementioned calendar year.

Washington Street Garage Corporation
State Vendor

By: Howard G. Sutton, President

Date 🖊

NOTE:

State vendors are permitted to file semi-annual reports with the Board of Elections if they reasonably believe that they otherwise would be required to file multiple reports within any single calendar year. In order to avail themselves of this opportunity, state vendors are required to file this Notice and Certification with the State board of Elections for each calendar year no later than the date by which the first report to he Board of Elections for such calendar year would otherwise be due, i.e. 60 days from the date of execution of a contract with a state agency or in the case of unwritten contracts, within 60 days after the state vendor is first notified that the contract has reached the \$5000 reporting threshold.