

ADLER POLLOCK & SHEEHAN P.C.

One Citizens Plaza, 8th floor
Providence, RI 02903-1345
Telephone 401-274-7200
Fax 401-751-0604 / 351-4607

July 28, 2009

175 Federal Street
Boston, MA 02110-2210
Telephone 617-482-0600
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www.apslaw.com

VIA HAND DELIVERY

Board of Elections
Campaign Finance Division
50 Branch Avenue
Providence, Rhode Island 02904

2009 JUL 28 PM 2:41
RHODE ISLAND
BOARD OF ELECTIONS

**Re: Affidavit of State Vendor
Under Chapter 17-27 of the General Laws of Rhode Island**

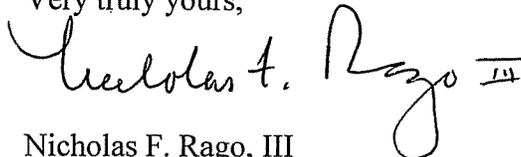
Ladies and Gentlemen:

Enclosed is the Affidavit of State Vendor on behalf Adler Pollock & Sheehan P.C. for the period January 1, 2009 through June 30, 2009.

Please acknowledge receipt by signing the enclosed copy of this letter and returning it to me in the envelope provided.

Thank you.

Very truly yours,



Nicholas F. Rago, III
Executive Director

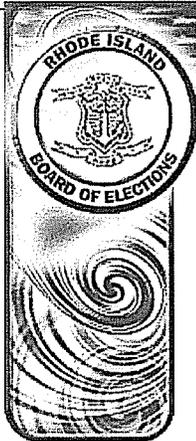
NFR/rmd
Enclosures

482932_2

Receipt acknowledged:

Name:

Date:



State of Rhode Island and Providence Plantations
Campaign Finance Electronic Reporting & Tracking System (ERTS)

Vendor Affidavit

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| v 1.6.23

AFFIDAVIT OF STATE VENDOR

1. Name, address and telephone number of person making this affidavit

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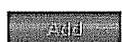
Prefix	MR.
First Name	Nicholas
Middle Name	F.
Last Name	Rago
Suffix	III
Street Address	Adler Pollock & Sheehan P.C.
Street Address2	One Citizens Plaza, 8th Floor
City/Town, State and Zip Code	Providence RI 02903-1345
Phone Number	401 274-7200

2. Within the 24 month period preceding the date of the contract with the state agency, or with respect to the contracts that are not in writing within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, any member of the general assembly or candidate for general assembly, or any R.I. political party? (includes an individual contracting party, or business entity on behalf of a related party, or a related party reporting on his/her own behalf) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.
(If you checked "No", above, do not attach a copy of the state contract, or written summary, and do not complete remainder of form.)

Yes
 No

3. If the answer to question 2 is "Yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributions even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

see attached



Name of Officer, Candidate or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party

4. What is the date of execution of the written contract requiring filing of this affidavit
[Such contract must be filed with this affidavit] n/a - semi-annual reporting

5. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold? n/a *

6. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

Agency, address, and the term of contract or the term of insurance, or both.

Agency	Goods / Services	Contract Expire Date
n/a	n/a	n/a *

7. Is this affidavit made by you as an individual or on behalf of a business entity?
- Individual
 Business Entity

8. If on behalf of a business entity, what is the name and nature of the business entity?
- Business Name** **FEIN#**
 Adler Pollock & Sheehan P.C. 05-0343749
- Corporation Partnership Sole Proprietorship Other Business Entity

9. If "Other Business Entity" is checked above what is the nature of such business entity?

10. Are you a state vendor because you are the contracting party in a contract with a state agency?
- Yes
 No

11. If yes, what is the name of the state agency to which you are providing goods and/or services?
 see attached

12. If the answer to question 10 is "no", are you a state vendor because you are related to a contracting party?
- Yes
 No

13. If the answer to question 12 is "yes", what is the nature of your relationship with the contracting party?

14. If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.
- Name of Contracting Party _____
 Address1 _____
 Address2 _____
 City, State and Zip _____
 State Agency _____

15. If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable contributions made by such related parties?
- Yes
 No

16. If the answer to question 15 is "no", have you notified all such parties of the requirements and the law and of their obligation to file this affidavit if they have made reportable political contributions?
- Yes
 No

17. If the answer to question 16 is "yes", provide the names and addresses of related parties who might be required to file this affidavit and the nature of such relationship.

Parties Name	Address	Relationship
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18. What is the total gross amount, in dollars, of contracts entered into during the said preceding 24 month period between the contracting party and ALL state agencies? Include all contracts regardless of amount. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state by marking "Yes." NOTE: If this is a semi annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report the total gross amount, in dollars, of contracts entered into from the date of execution of the last contract entered into during such semi-annual period to the day which is 24 months prior to the first day of the same semi-annual period.
- Yes
 No

see attached

State Agency	Date of Contract	Amount of Contract	FEIN #	P.O. #
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State of:
County of:

Lou LaBrecque
Notary Public
My Commission Expires: 6/28/13

Nicholas F. Rago, III
Nicholas F. Rago, III
Executive Director
Date: 7/28/09

General Officer Candidate for General Office or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
Friends of Costantino	05/13/09	\$125.00	Victoria M. Almeida	Officer
Friends of Edwin Pacheco	04/24/09	\$50.00	Victoria M. Almeida	Officer
The Caprio Committee	03/30/09	\$500.00	Victoria M. Almeida	Officer
Friends of Amy Rice	03/12/09	\$100.00	Victoria M. Almeida	Officer
Friends of Daniel Connors	03/12/09	\$150.00	Victoria M. Almeida	Officer
Friends of Gordon Fox	02/25/09	\$150.00	Victoria M. Almeida	Officer
Paiva-Weed For Senate Committee	02/04/09	\$200.00	Victoria M. Almeida	Officer
Friends of William J. Murphy	01/29/09	\$200.00	Victoria M. Almeida	Officer
Joseph Montalbano	03/31/07 PR	\$200.00	Victoria M. Almeida	Officer
Teresa Paiva Weed	03/08/07 PR	\$150.00	Victoria M. Almeida	Officer
Frank T. Caprio	02/28/07 PR	\$1000.00	Victoria M. Almeida	Officer
Donald L. Carcieri	02/05/07 PR	\$250.00	Victoria M. Almeida	Officer
William Murphy	02/01/07 PR	\$200.00	Victoria M. Almeida	Officer
Gordon Fox	02/28/07 PR	\$150.00	Victoria M. Almeida	Officer
Daniel Issa	05/08/07 PR	\$100.00	Victoria M. Almeida	Officer
Steven Costantino	05/08/07 PR	\$125.00	Victoria M. Almeida	Officer
Peter Lewiss	05/17/07 PR	\$100.00	Victoria M. Almeida	Officer
Brian Kennedy	05/17/07 PR	\$125.00	Victoria M. Almeida	Officer
Roger Badeau	05/17/07 PR	\$100.00	Victoria M. Almeida	Officer
Friends of Patrick Lynch	12/04/07 PR	\$250.00	Victoria M. Almeida	Officer
Donald L. Carcieri	06/23/08 PR	\$250.00	Joseph Avanzato	Officer
Patrick Lynch	06/26/09	\$250.00	Richard R. Beretta	Officer
David Bates	06/22/09	\$100.00	Richard R. Beretta	Officer
Brian Kennedy	06/13/09	\$125.00	Richard R. Beretta	Officer
Joseph McNamara	06/13/09	\$50.00	Richard R. Beretta	Officer
Dennis Algiere	06/10/09	\$50.00	Richard R. Beretta	Officer
Eileen Naughton	06/10/09	\$50.00	Richard R. Beretta	Officer
Louis DiPalma	06/10/09	\$50.00	Richard R. Beretta	Officer
Stephen Ucci	05/28/09	\$75.00	Richard R. Beretta	Officer

John McCauley	05/28/09	\$50.00	Richard R. Beretta	Officer
Michael McCaffrey	05/26/09	\$100.00	Richard R. Beretta	Officer
Donald Lally	05/26/09	\$100.00	Richard R. Beretta	Officer
Maryellen Goodwin	05/20/09	\$100.00	Richard R. Beretta	Officer
Steven Costantino	05/13/09	\$125.00	Richard R. Beretta	Officer
William Walaska	05/06/09	\$100.00	Richard R. Beretta	Officer
Dominick Ruggerio	05/04/09	\$100.00	Richard R. Beretta	Officer
David Caprio	04/30/09	\$100.00	Richard R. Beretta	Officer
Rene Menard	04/30/09	\$100.00	Richard R. Beretta	Officer
Peter Petrarca	04/30/09	\$75.00	Richard R. Beretta	Officer
Teresa Paiva-Weed	04/24/09	\$250.00	Richard R. Beretta	Officer
John Tassoni	04/23/09	\$100.00	Richard R. Beretta	Officer
Hanna Gallo	04/23/09	\$100.00	Richard R. Beretta	Officer
Ed Pacheco	04/23/09	\$100.00	Richard R. Beretta	Officer
Patrick O'Neil	04/08/09	\$75.00	Richard R. Beretta	Officer
Greg Schadone	04/08/09	\$50.00	Richard R. Beretta	Officer
Frank Caprio	03/25/09	\$500.00	Richard R. Beretta	Officer
Daniel Connors	03/12/09	\$150.00	Richard R. Beretta	Officer
Timothy Williamson	03/10/09	\$100.00	Richard R. Beretta	Officer
Daniel DaPonte	03/05/09	\$100.00	Richard R. Beretta	Officer
Peter Kilmartin	03/04/09	\$150.00	Richard R. Beretta	Officer
Patrick Lynch	02/24/09	\$250.00	Richard R. Beretta	Officer
Gordon Fox	02/24/09	\$150.00	Richard R. Beretta	Officer
Teresa Paiva-Weed	02/04/09	\$200.00	Richard R. Beretta	Officer
William Murphy	01/29/09	\$200.00	Richard R. Beretta	Officer
William Murphy	01/14/09	\$250.00	Richard R. Beretta	Officer
Timothy Williamson	03/10/09	\$100.00	Amy Beretta	Spouse of Officer
Louis DiPalma for Senate	07/18/08 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Donald L. Carcieri	07/18/08 PR	\$340.00	Richard R. Beretta, Jr.	Officer
Senate President Montalbano	09/03/08 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Nick Gorham	10/06/08 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Ray Church	10/22/08 PR	\$50.00	Richard R. Beretta, Jr.	Officer

Patrick O'Neil	10/22/08 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Patrick Lynch	11/25/08 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Frank Caprio	12/23/08 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Elizabeth H. Roberts	01/22/08 PR	\$250.00	Richard R. Beretta, Jr.	Officer
William Murphy	01/29/08 PR	\$200.00	Richard R. Beretta, Jr.	Officer
Gordon Fox	02/28/08 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Frank T. Caprio	03/04/08 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Joseph Montalbano	03/04/08 PR	\$200.00	Richard R. Beretta, Jr.	Officer
Patrick Lynch	03/12/08 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Steven Alves	03/12/08 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Theresa Paiva-Weed	04/06/08 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Peter Petrarca	04/06/08 PR	\$50.00	Richard R. Beretta, Jr.	Officer
House Democratic Leadership	04/12/08 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Joseph Amaral	04/22/08 PR	\$75.00	Richard R. Beretta, Jr.	Officer
Timothy Williamson	04/30/08 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Dominick Ruggerio	05/12/08 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Brian Kennedy	05/12/08 PR	\$125.00	Richard R. Beretta, Jr.	Officer
William Walaska	05/13/08 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Joseph McNamara	05/13/08 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Steven Costantino	05/14/08 PR	\$125.00	Richard R. Beretta, Jr.	Officer
Daniel Issa	05/14/08 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Joseph Polisena	05/20/08 PR	\$100.00	Richard R. Beretta, Jr.	Officer
John McCauley	05/20/08 PR	\$50.00	Richard R. Beretta, Jr.	Officer
David Bates	05/20/08 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Michael McCaffrey	05/27/08 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Donald L. Carcieri	06/12/08 PR	\$160.00	Richard R. Beretta, Jr.	Officer
Patrick Lynch	06/18/08 PR	\$500.00	Richard R. Beretta, Jr.	Officer
Robert Lowe	06/23/08 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Patrick Lynch	06/26/08 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Raymond Church	05/07/07 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Joseph Montalbano	03/31/07 PR	\$200.00	Richard R. Beretta, Jr.	Officer
Frank T. Caprio	03/21/07 PR	\$500.00	Richard R. Beretta, Jr.	Officer

Stephen D. Alves	03/14/07 PR	\$175.00	Richard R. Beretta, Jr.	Officer
Teresa Paiva Weed	03/08/07 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Donald L. Carcieri	02/05/07 PR	\$500.00	Richard R. Beretta, Jr.	Officer
Susan Menard	06/11/07 PR	\$250.00	Richard R. Beretta, Jr.	Officer
John McCauley	05/22/07 PR	\$50.00	Richard R. Beretta, Jr.	Officer
R.I. Senate Democrats	05/22/07 PR	\$125.00	Richard R. Beretta, Jr.	Officer
Michael McCaffrey	05/22/07 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Roger Badeau	05/17/07 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Maryellen Goodwin	05/17/07 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Joseph McNamara	05/17/07 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Brian Kennedy	05/15/07 PR	\$125.00	Richard R. Beretta, Jr.	Officer
Peter Lewiss	05/15/07 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Daniel Issa	05/08/07 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Steven Costantino	05/02/07 PR	\$125.00	Richard R. Beretta, Jr.	Officer
William Walaska	05/02/07 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Dominick Ruggerio	04/30/07 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Patrick Lynch	04/27/07 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Peter Giniatt	04/25/07 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Juan Pichardo	04/25/07 PR	\$75.00	Richard R. Beretta, Jr.	Officer
Patrick O'Neil	04/25/07 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Peter Petrarca	04/10/07 PR	\$50.00	Richard R. Beretta, Jr.	Officer
RI House Democratic Leadership Committee	04/03/07 PR	\$100.00	Richard R. Beretta, Jr.	Officer
John Tassoni	03/16/07 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Peter Kilmartin	03/16/07 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Gordon Fox	03/08/07 PR	\$150.00	Richard R. Beretta, Jr.	Officer
William Murphy	02/01/07 PR	\$200.00	Richard R. Beretta, Jr.	Officer
Donald L. Carcieri	01/31/07 PR	\$500.00	Richard R. Beretta, Jr.	Officer
William Murphy	01/15/07 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Elizabeth Roberts	12/31/07 PR	\$250.00	Richard R. Beretta, Jr.	Officer
David Cicilline	12/31/07 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Patrick Lynch	12/05/07 PR	\$250.00	Richard R. Beretta, Jr.	Officer

Donald L. Carcieri	06/24/08 PR	\$1000.00	Amy Beretta	Spouse of Officer
Donald L. Carcieri	03/18/08 PR	\$500.00	Amy Beretta	Spouse of Officer
Donald L. Carcieri	02/05/07 PR	\$500.00	Amy Beretta	Spouse of Officer
Tim Williamson	03/15/07 PR	\$100.00	Amy Beretta	Spouse of Officer
Defense Counsel PAC	04/11/07 PR	\$100.00	Amy Beretta	Spouse of Officer
Defense Counsel PAC	05/18/07 PR	\$100.00	Amy Beretta	Spouse of Officer
Frank T. Caprio	03/27/09	\$250.00	Robert P. Brooks	Officer
William J. Murphy	01/31/08 PR	\$200.00	Robert P. Brooks	Officer
Gordon Fox	02/28/08 PR	\$150.00	Robert P. Brooks	Officer
Joseph A. Montalbano	03/05/08 PR	\$200.00	Robert P. Brook	Officer
Frank T. Caprio	03/14/08 PR	\$250.00	Robert P. Brooks	Officer
Frank T. Caprio	03/21/07 PR	\$250.00	Robert P. Brooks	Officer
Gordon Fox	03/02/07 PR	\$150.00	Robert P. Brooks	Officer
Dominick Ruggerio	04/30/07 PR	\$100.00	Robert P. Brooks	Officer
Frank T. Caprio	03/20/09	\$500.00	Paul A. Campellone	Officer
Frank T. Caprio	03/13/08 PR	\$100.00	Paul A. Campellone	Officer
Steven Costantino	05/14/08 PR	\$125.00	Paul A. Campellone	Officer
Donald L. Carcieri	06/23/08 PR	\$250.00	Paul A. Campellone	Officer
East Greenwich Republican Party	07/17/06 PR	\$50.00	Paul A. Campellone	Officer
Frank T. Caprio	03/21/07 PR	\$150.00	Paul A. Campellone	Officer
Frank T. Caprio	03/13/08 PR	\$250.00	Michael J. Chazan	Officer
Donald L. Carcieri	07/05/06 PR	\$250.00	John F. Corrigan	Officer
Daniel P. Connors	03/12/09	\$150.00	Joseph DeAngelis	Officer
Pete F. Kilmartin	03/04/09	\$150.00	Joseph DeAngelis	Officer
Patrick Lynch	02/09	\$250.00	Joseph DeAngelis	Officer
Patrick C. Lynch	06/19/08 PR	\$500.00	Joseph DeAngelis	Officer
Donald L. Carcieri	06/24/08 PR	\$250.00	Joseph DeAngelis	Officer
Friends of Ed Pachio	04/28/08	\$100.00	Mark O. Denehy	Officer
The Caprio Committee	03/25/09	\$500.00	Mark O. Denehy	Officer
Friends of Patrick Lynch	02/21/09	\$250.00	Mark O. Denehy	Officer
Friends of William Murphy	01/27/09	\$200.00	Mark O. Denehy	Officer
Patrick Lynch	10/27/08 PR	\$250.00	Mark O. Denehy	Officer

Frank T. Caprio	12/17/08 PR	\$250.00	Mark O. Denehy	Officer
William J. Murphy	01/31/08 PR	\$200.00	Mark O. Denehy	Officer
Gordon D. Fox	02/28/08 PR	\$150.00	Mark O. Denehy	Officer
Frank T. Caprio	03/14/08 PR	\$500.00	Mark O. Denehy	Officer
A. Ralph Mollis	08/03/06 PR	\$125.00	Mark O. Denehy	Officer
Joshua Miller	12/16/06 PR	\$100.00	Mark O. Denehy	Officer
William J. Murphy	01/31/07 PR	\$200.00	Mark O. Denehy	Officer
Frank T. Caprio	03/07/07 PR	\$500.00	Mark O. Denehy	Officer
Paiva Weed for Senate	03/07/07 PR	\$150.00	Mark O. Denehy	Officer
Brian Kennedy	05/14/07 PR	\$125.00	Mark O. Denehy	Officer
Roger Badeau	05/14/07 PR	\$100.00	Mark O. Denehy	Officer
Friends of Patrick C. Lynch	12/06/07 PR	\$250.00	Mark. O. Denehy	Officer
Charles J. Fogarty	03/07/06 PR	\$100.00	Cynthia Denehy	Spouse of Officer
Ralph Mollis	04/14/09	\$150.00	Joseph R. DiStefano	Officer
A. Ralph Mollis	02/26/08 PR	\$150.00	Joseph R. DiStefano	Officer
Frank T. Caprio	03/14/08 PR	\$250.00	Joseph R. DiStefano	Officer
Daniel J. Issa	05/13/0 PR8	\$100.00	Joseph R. DiStefano	Officer
Patrick C. Lynch	06/11/08 PR	\$250.00	Joseph R. DiStefano	Officer
Frank T. Caprio	03/22/07 PR	\$250.00	Joseph R. DiStefano	Officer
The Caprio Committee	03/24/09	\$1,000.00	Joseph L. Dowling	Spouse of Officer
Frank T. Caprio	03/21/07 PR	\$988.85	Joseph L. Dowling, Jr.	Spouse of Officer
Friends of Brian Kennedy	06/15/09	\$125.00	Sarah T. Dowling	Officer
David Caprio	04/18/09	\$300.00	Sarah T. Dowling	Officer
The Caprio Committee	03/24/09	\$1,000.00	Sarah T. Dowling	Officer
Friends of Gordon Fox	02/24/09	\$125.00	Sarah T. Dowling	Officer
Theresa Paiva Weed	02/01/09	\$200.00	Sarah T. Dowling	Officer
Friends of William Murphy	01/27/09	\$200.00	Sarah T. Dowling	Officer
Frank T. Caprio Committee	03/13/08 PR	\$1000.00	Joseph L. Dowling Jr.	Spouse of Officer
William Walaska	08/15/08 PR	\$100.00	Sarah T. Dowling	Officer
Elizabeth Roberts	10/28/08 PR	\$100.00	Sarah T. Dowling	Officer
William J. Murphy	01/26/08 PR	\$200.00	Sarah T. Dowling	Officer
Frank T. Caprio Committee	02/08 PR	\$1,000.00	Sarah T. Dowling	Officer

Gordon D. Fox	02/26/08 PR	\$150.00	Sarah T. Dowling	Officer
Joseph A. Montalbano	03/13/08 PR	\$200.00	Sarah T. Dowling	Officer
M. Theresa Paiva-Weed	04/09/08 PR	\$150.00	Sarah T. Dowling	Officer
Brian P. Kennedy	05/14/08 PR	\$125.00	Sarah T. Dowling	Officer
Friends of Patrick C. Lynch	6/08 PR	\$250.00	Sarah T. Dowling	Officer
David A. Caprio	6/08 PR	\$1,000.00	Sarah T. Dowling	Officer
Donald L. Carcieri	6/08 PR	\$1,000.00	Sarah T. Dowling	Officer
Joseph A. Montalbano for Senate	6/08 PR	\$200.00	Sarah T. Dowling	Officer
William A. Walaska	6/08 PR	\$100.00	Sarah T. Dowling	Officer
Gordon D. Fox	03/02/07 PR	\$150.00	Sarah T. Dowling	Officer
William Walaska	05/02/07 PR	\$100.00	Sarah T. Dowling	Officer
Daniel Issa	05/08/07 PR	\$100.00	Sarah T. Dowling	Officer
Steven Costantino	05/08/07 PR	\$125.00	Sarah T. Dowling	Officer
Roger Badeau	05/17/07 PR	\$100.00	Sarah T. Dowling	Officer
Peter Lewiss	05/17/07 PR	\$100.00	Sarah T. Dowling	Officer
Brian Kennedy	05/17/07 PR	\$125.00	Sarah T. Dowling	Officer
Caprio Committee	02/09/07 PR	\$1000.00	Sarah T. Dowling	Officer
Donald L. Carcieri	01/31/07 PR	\$1000.00	Sarah T. Dowling	Officer
Joseph A. Montalbano	02/15/07 PR	\$200.00	Sarah T. Dowling	Officer
M. Teresa Paiva-Weed	03/07/07 PR	\$150.00	Sarah T. Dowling	Officer
Friends of Patrick C. Lynch	12/04/07 PR	\$250.00	Sarah T. Dowling	Officer
The Caprio Committee	03/27/09	\$100.00	Stephen Geanacopoulos	Officer
Frank T. Caprio	03/21/07 PR	\$150.00	Stephen Geanacopoulos	Officer
Scott Rabideau	10/21/08 PR	\$50.00	Stanley J. Kanter	Officer
Frank T. Caprio Committee	03/10/08 PR	\$250.00	Stanley J. Kanter	Officer
Friends of Brian P. Kennedy	05/12/08 PR	\$125.00	Stanley J. Kanter	Officer
Donald L. Carcieri	07/10/06 PR	\$250.00	Stanley J. Kanter	Officer
The Caprio Committee	03/01/07 PR	\$250.00	Stanley J. Kanter	Officer
Brian P. Kennedy	05/14/07 PR	\$125.00	Stanley J. Kanter	Officer
Steven M. Costantino	05/08/07 PR	\$125.00	Stanley J. Kanter	Officer
Peter L. Lewiss	05/14/07 PR	\$100.00	Stanley J. Kanter	Officer
Frank Caprio Committee	10/19/07 PR	\$250.00	Stanley J. Kanter	Officer

Patrick Lynch	06/19/09	\$250.00	Richard A. Licht	Officer
Michael Rice	06/19/09	\$50.00	Richard A. Licht	Officer
Elizabeth Roberts	06/19/09	\$250.00	Richard A. Licht	Officer
John Desimone	06/19/09	\$50.00	Richard A. Licht	Officer
Jamie Doyle	06/19/09	\$50.00	Richard A. Licht	Officer
Patrick Lynch	06/19/09	\$250.00	Richard A. Licht	Officer
Donald Lally	06/16/09	\$100.00	Richard A. Licht	Officer
Dennis Algieri	06/14/09	\$50.00	Richard A. Licht	Officer
Samuel Azzinaro	06/14/09	\$50.00	Richard A. Licht	Officer
Paul Fogarty	06/14/09	\$100.00	Richard A. Licht	Officer
Arthur handy	06/14/09	\$100.00	Richard A. Licht	Officer
Brian Kennedy	06/14/09	\$125.00	Richard A. Licht	Officer
J. Michael Lenihan	06/14/09	\$75.00	Richard A. Licht	Officer
Karen Macbeth	06/14/09	\$50.00	Richard A. Licht	Officer
Joseph McNamara	06/14/09	\$100.00	Richard A. Licht	Officer
Mary Ann Shallcross	06/14/09	\$50.00	Richard A. Licht	Officer
House Democratic Majority Committee	06/11/09	\$100.00	Richard A. Licht	Officer
Nicholas Matiello	06/11/09	\$75.00	Richard A. Licht	Officer
Frank Caprio Committee	06/04/09	\$150.00	Richard A. Licht	Officer
Elizabeth Dennigan	06/04/09	\$100.00	Richard A. Licht	Officer
Louis DiPalma	06/04/09	\$100.00	Richard A. Licht	Officer
Christopher Maselli	06/04/09	\$100.00	Richard A. Licht	Officer
Eileen Naughton	06/04/09	\$50.00	Richard A. Licht	Officer
Peter Palumbo	06/04/09	\$100.00	Richard A. Licht	Officer
William Sanbento	06/04/09	\$100.00	Richard A. Licht	Officer
David Segal	06/04/09	\$100.00	Richard A. Licht	Officer
Anastasia Williams	06/04/09	\$100.00	Richard A. Licht	Officer
Raymond Gallison	06/03/09	\$75.00	Richard A. Licht	Officer
John McCauley	06/03/09	\$50.00	Richard A. Licht	Officer
Stephen Ucci	06/03/09	\$100.00	Richard A. Licht	Officer
Agostinho Silva	06/02/09	\$199.00	Richard A. Licht	Officer

Michael McCaffrey	05/28/09	\$100.00	Richard A. Licht	Officer
Roberto DaSilva	05/18/09	\$75.00	Richard A. Licht	Officer
Mary Ellen Goodwin	05/18/09	\$100.00	Richard A. Licht	Officer
Michael Marcello	05/18/09	\$50.00	Richard A. Licht	Officer
Roger Picard	05/18/09	\$75.00	Richard A. Licht	Officer
Donna Walsh	05/18/09	\$50.00	Richard A. Licht	Officer
Constantino Committee	05/08/09	\$125.00	Richard A. Licht	Officer
Frank Ferri	05/04/09	\$50.00	Richard A. Licht	Officer
Erin Lynch	05/04/09	\$100.00	Richard A. Licht	Officer
Domonick Ruggiero	05/04/09	\$100.00	Richard A. Licht	Officer
Deborah Ruggiero	05/04/09	\$75.00	Richard A. Licht	Officer
William Walaska	05/04/09	\$100.00	Richard A. Licht	Officer
David Caprio	04/24/09	\$100.00	Richard A. Licht	Officer
John Carnevale	04/24/09	\$50.00	Richard A. Licht	Officer
Frank Ciccione	04/24/09	\$100.00	Richard A. Licht	Officer
Frank DeVall	04/24/09	\$50.00	Richard A. Licht	Officer
Renee Menard	04/24/09	\$100.00	Richard A. Licht	Officer
Joshua Miller	04/24/09	\$100.00	Richard A. Licht	Officer
Edwin Pacheco	04/24/09	\$100.00	Richard A. Licht	Officer
Peter Petrarca	04/24/09	\$75.00	Richard A. Licht	Officer
Scott Pollard	04/20/09	\$100.00	Richard A. Licht	Officer
Teresa Paiva Weed	04/20/09	\$250.00	Richard A. Licht	Officer
Christopher Fierro	04/15/09	\$50.00	Richard A. Licht	Officer
Hanna Gallo	04/15/09	\$100.00	Richard A. Licht	Officer
A. Ralph Mollis	04/15/09	\$150.00	Richard A. Licht	Officer
Juan Pichardo	04/15/09	\$100.00	Richard A. Licht	Officer
John Tassoni	04/15/09	\$100.00	Richard A. Licht	Officer
Teresa Paiva-Weed	04/20/09	\$250.00	Richard A. Licht	Officer
Ralph Mollis	04/15/09	\$150.00	Richard A. Licht	Officer
House Democratic Majority Committee	04/15/09	\$100.00	Richard A. Licht	Officer
Beatrice Lanzi	04/06/09	\$50.00	Richard A. Licht	Officer

Patrick O'Neil	04/06/09	\$75.00	Richard A. Licht	Officer
Gregory Schadone	04/06/09	\$50.00	Richard A. Licht	Officer
Kenneth Carter	04/02/09	\$100.00	Richard A. Licht	Officer
Perry Committee	04/02/09	\$100.00	Richard A. Licht	Officer
Joanne Giannini	03/31/09	\$75.00	Richard A. Licht	Officer
Friends of Frank Caprio	03/26/09	\$250.00	Richard A. Licht	Officer
Elaine Coderre	03/26/09	\$100.00	Richard A. Licht	Officer
John M. Fernandez	03/26/09	\$50.00	Richard A. Licht	Officer
Charlene Lima	03/19/09	\$100.00	Richard A. Licht	Officer
Helio Melo	03/19/09	\$75.00	Richard A. Licht	Officer
Patricia A. Serpa	03/19/09	\$75.00	Richard A. Licht	Officer
Kenneth A. Vaudreuil	03/12/09	\$75.00	Richard A. Licht	Officer
Daniel Daponte	03/19/09	\$100.00	Richard A. Licht	Officer
Connors Committee	03/10/09	\$150.00	Richard A. Licht	Officer
Amy Rice	03/10/09	\$100.00	Richard A. Licht	Officer
Timothy Williamson	03/10/09	\$100.00	Richard A. Licht	Officer
Kilmartin Committee	03/05/09	\$150.00	Richard A. Licht	Officer
John F. McBurney, II	02/24/09	\$250.00	Richard A. Licht	Officer
Patrick Lynch	02/21/09	\$250.00	Richard A. Licht	Officer
Gordon Fox	02/21/09	\$150.00	Richard A. Licht	Officer
Elizabeth Roberts	02/21/09	\$250.00	Richard A. Licht	Officer
Teresa Paiva Weed	02/04/09	\$200.00	Richard A. Licht	Officer
William Murphy	01/28/09	\$200.00	Richard A. Licht	Officer
Gordon Fox	02/21/09	\$150.00	Richard A. Licht	Officer
William Murphy	07/16/08 PR	\$100.00	Richard A. Licht	Officer
Stephen Alves	07/31/08 PR	\$250.00	Richard A. Licht	Officer
Erin Lynch	08/07/08 PR	\$250.00	Richard A. Licht	Officer
Theresa Paiva Weed	08/07/08 PR	\$150.00	Richard A. Licht	Officer
Mary Ellen Goodwin	08/14/08 PR	\$100.00	Richard A. Licht	Officer
Juan Pichardo	08/14/08 PR	\$100.00	Richard A. Licht	Officer
Amy Rice	08/14/08 PR	\$100.00	Richard A. Licht	Officer
Joseph A. Montalbano	09/02/08 PR	\$250.00	Richard A. Licht	Officer

Lawrence Signore	09/12/08 PR	\$100.00	Richard A. Licht	Officer
Joshua Miller	05/21/08 PR	\$100.00	Richard A. Licht	Officer
J. Russell Jackson	05/24/08 PR	\$100.00	Richard A. Licht	Officer
Michael McCaffrey	05/28/08 PR	\$100.00	Richard A. Licht	Officer
Mary Ellen Goodwin	05/28/08 PR	\$100.00	Richard A. Licht	Officer
Thomas Winfield	05/28/08 PR	\$100.00	Richard A. Licht	Officer
Frank Ferri	05/28/08 PR	\$100.00	Richard A. Licht	Officer
Senate Democrats	05/28/08 PR	\$100.00	Richard A. Licht	Officer
William J. Murphy	06/02/08 PR	\$250.00	Richard A. Licht	Officer
Stephen R. Ucci	06/02/08 PR	\$100.00	Richard A. Licht	Officer
Harold Metts	06/02/08 PR	\$100.00	Richard A. Licht	Officer
Peter Palumbo	06/02/08 PR	\$100.00	Richard A. Licht	Officer
Juan Pichardo	06/02/08 PR	\$100.00	Richard A. Licht	Officer
William San Bento	06/11/08 PR	\$100.00	Richard A. Licht	Officer
Edwin Pacheco	06/11/08 PR	\$100.00	Richard A. Licht	Officer
Deborah Ruggiero	06/11/08 PR	\$100.00	Richard A. Licht	Officer
Frank T. Caprio	06/12/08 PR	\$250.00	Richard A. Licht	Officer
David A. Caprio	06/12/08 PR	\$100.00	Richard A. Licht	Officer
Walter Felag	06/17/08 PR	\$75.00	Richard A. Licht	Officer
John J. DeSimone	06/17/08 PR	\$50.00	Richard A. Licht	Officer
Steven F. Smith	06/17/08 PR	\$50.00	Richard A. Licht	Officer
Patrick C. Lynch	06/18/08 PR	\$250.00	Richard A. Licht	Officer
The Caprio Committee	03/27/09	\$150.00	E. Hans Lundsten	Officer
Donald L. Carcieri	06/24/08 PR	\$430.00	E. Hans Lundsten	Officer
Frank T. Caprio	03/13/08 PR	\$150.00	E. Hans Lundsten	Officer
Frank T. Caprio	02/23/07 PR	\$150.00	E. Hans Lundsten	Officer
Peter L. Lewiss	05/11/07 PR	\$100.00	E. Hans Lundsten	Officer
Friends of Patrick C. Lynch	12/05/07 PR	\$150.00	Geoffrey W. Millsom	Officer
Josh Miller	04/22/09	\$150.00	Elizabeth M. Noonan	Officer
John F. McBurney, III	02/25/09	\$250.00	Elizabeth M. Noonan	Officer
M. Teresa Paiv Weed	02/04/09	\$200.00	Elizabeth M. Noonan	Officer
William J. Murphy	01/29/09	\$200.00	Elizabeth M. Noonan	Officer

Frank T. Caprio	10/16/08 PR	\$500.00	Elizabeth M. Noonan	Officer
Patrick Lynch	11/24/08 PR	\$250.00	Elizabeth M. Noonan	Officer
Patrick Lynch	12/16/08 PR	\$250.00	Elizabeth M. Noonan	Officer
William Murphy	01/31/08 PR	\$200.00	Elizabeth M. Noonan	Officer
Gordon Fox	02/27/08 PR	\$150.00	Elizabeth M. Noonan	Officer
Joseph Montalbano	03/04/08 PR	\$200.00	Elizabeth M. Noonan	Officer
Frank Caprio Committee	03/10/08 PR	\$250.00	Elizabeth M. Noonan	Officer
Patrick Lynch	06/18/08 PR	\$250.00	Elizabeth M. Noonan	Officer
Joseph A. Montalbano	03/31/07 PR	\$200.00	Elizabeth M. Noonan	Officer
Frank T. Caprio	03/22/07 PR	\$250.00	Elizabeth M. Noonan	Officer
John F. McBurney III	02/08/07 PR	\$200.00	Elizabeth M. Noonan	Officer
Joshua Miller	01/20/07 PR	\$150.00	Elizabeth M. Noonan	Officer
William J. Murphy	01/30/07 PR	\$200.00	Elizabeth M. Noonan	Officer
Patrick C. Lynch	12/07 PR	\$250.00	Elizabeth M. Noonan	Officer
Patrick C. Lynch	12/07 PR	\$500.00	Elizabeth M. Noonan	Officer
William J. Murphy	01/31/08 PR	\$200.00	Patricia K. Rocha	Officer
Joseph A. Montalbano	03/13/08 PR	\$200.00	Patricia K. Rocha	Officer
Frank T. Caprio	03/14/08 PR	\$250.00	Patricia K. Rocha	Officer
Donald L. Carcieri	6/08 PR	\$500.00	Patricia K. Rocha	Officer
Patrick C. Lynch	6/08 PR	\$500.00	Patricia K. Rocha	Officer
Defense Counsel PAC	05/07/07 PR	\$100.00	Patricia K. Rocha	Officer
Joseph A. Montalbano	03/31/07 PR	\$200.00	Patricia K. Rocha	Officer
Frank T. Caprio	03/21/07 PR	\$250.00	Patricia K. Rocha	Officer
Friends of Patrick C. Lynch	12/04/07 PR	\$250.00	Patricia K. Rocha	Officer
Donald L. Carcieri	06/23/08 PR	\$100.00	Kristen W. Sherman	Officer
Patrick Lynch	06/09	\$100.00	Lori C. Silveira	Officer
Senator Tassoni	04/22/09	\$100.00	Lori C. Silveira	Officer
Joseph M. Fernandez	03/09	\$50.00	Lori C. Silveira	Officer
Gordon Fox	02/28/09	\$150.00	Lori C. Silveira	Officer
Patrick Lynch	02/23/09	\$250.00	Lori C. Silveira	Officer
Teresa Paiva-Weed	02/05/09	\$100.00	Lori C. Silveira	Officer
Theresa Paiva Weed	08/13/08 PR	\$100.00	Lori C. Silveira	Officer

John T. Tassoni	10/06/08 PR	\$50.00	Lori C. Silveira	Officer
Patrick C. Lynch	11/25/08 PR	\$250.00	Lori C. Silveira	Officer
House Leadership	12/10/08 PR	\$100.00	Lori C. Silveira	Officer
Patrick C. Lynch	06/08 PR	\$250.00	Lori C. Silveira	Officer
Daniel P. Connors	04/08 PR	\$150.00	Lori C. Silveira	Officer
Patrick Lynch	06/25/09	\$750.00	Robert I. Stolzman	Officer
Brian Kennedy	06/15/09	\$125.00	Robert I. Stolzman	Officer
Steve Costantino	05/13/09	\$175.00	Robert I. Stolzman	Officer
Dominick Ragerio	05/04/09	\$100.00	Robert I. Stolzman	Officer
Erin Lynch	05/04/09	\$100.00	Robert I. Stolzman	Officer
Ed Pacheo	04/27/09	\$100.00	Robert I. Stolzman	Officer
Frank Caprio	03/30/09	\$750.00	Robert I. Stolzman	Officer
Dan Connors	03/12/09	\$150.00	Robert I. Stolzman	Officer
Amy Rice	03/12/09	\$100.00	Robert I. Stolzman	Officer
Gordon Fox	02/24/09	\$150.00	Robert I. Stolzman	Officer
Patrick Lynch	02/24/09	\$250.00	Robert I. Stolzman	Officer
Frank Caprio	02/18/09	\$125.00	Robert I. Stolzman	Officer
William Murphy	01/29/09	\$200.00	Robert I. Stolzman	Officer
Senate President Montalbano	09/03/08 PR	\$250.00	Robert I. Stolzman	Officer
Patrick Lynch	11/24/08 PR	\$250.00	Robert I. Stolzman	Officer
William Murphy	01/31/08 PR	\$200.00	Robert I. Stolzman	Officer
Gordon Fox	02/26/08 PR	\$150.00	Robert I. Stolzman	Officer
Joseph Montalbano	03/05/08 PR	\$200.00	Robert I. Stolzman	Officer
Frank T. Caprio	03/13/08 PR	\$1000.00	Robert I. Stolzman	Officer
Brian Kennedy	05/12/08 PR	\$125.00	Robert I. Stolzman	Officer
Dominick Ruggiero	05/12/08 PR	\$100.00	Robert I. Stolzman	Officer
Daniel Issa	05/13/08 PR	\$100.00	Robert I. Stolzman	Officer
Steven Costantino	05/13/08 PR	\$125.00	Robert I. Stolzman	Officer
William Walaska	05/13/08 PR	\$100.00	Robert I. Stolzman	Officer
Patrick Lynch	06/18/08 PR	\$500.00	Robert I. Stolzman	Officer
Donald L. Carcieri	06/24/08 PR	\$1,000.00	Robert I. Stolzman	Officer
Brian P. Kennedy	05/17/07 PR	\$125.00	Robert I. Stolzman	Officer

Peter L. Lewiss	05/17/07 PR	\$100.00	Robert I. Stolzman	Officer
Daniel J. Issa	05/08/07 PR	\$100.00	Robert I. Stolzman	Officer
Steven M. Costantino	05/08/07 PR	\$250.00	Robert I. Stolzman	Officer
William A. Walaska	05/02/07 PR	\$150.00	Robert I. Stolzman	Officer
Patrick C. Lynch	04/27/07 PR	\$500.00	Robert I. Stolzman	Officer
Gordon D. Fox	03/08/07 PR	\$150.00	Robert I. Stolzman	Officer
William Walaska	02/01/07 PR	\$200.00	Robert I. Stolzman	Officer
Joseph A. Montalbano	03/31/07 PR	\$200.00	Robert I. Stolzman	Officer
Frank T. Caprio	03/22/07 PR	\$250.00	Robert I. Stolzman	Officer
M. Teresa Paiva-Weed	03/08/07 PR	\$150.00	Robert I. Stolzman	Officer
Donald L. Carcieri	02/05/07 PR	\$1000.00	Robert I. Stolzman	Officer
Patrick C. Lynch	12/04/07 PR	\$250.00	Robert I. Stolzman	Officer
Friends of Erin Lynch	05/06/09	\$100.00	John A. Tarantino	Officer
The Caprio Committee	03/30/09	\$250.00	John A. Tarantino	Officer
Paiva-Weed for Senate	02/04/09	\$200.00	John A. Tarantino	Officer
Friends of William J. Murphy	01/29/09	\$200.00	John A. Tarantino	Officer
William J. Murphy	01/28/08 PR	\$200.00	John A. Tarantino	Officer
Frank T. Caprio Committee	03/04/08 PR	\$250.00	John A. Tarantino	Officer
Joseph A. Montalbano	03/13/08 PR	\$200.00	John A. Tarantino	Officer
Donald L. Carcieri	06/23/08 PR	\$500.00	John A. Tarantino	Officer
Frank T. Caprio	03/21/07 PR	\$250.00	John A. Tarantino	Officer
William J. Murphy	02/01/07 PR	\$200.00	John A. Tarantino	Officer
Frank T. Caprio	02/26/07 PR	\$100.00	R. Bart Totten	Officer
Frank T. Caprio	03/14/08 PR	\$250.00	David A. Wollin	Officer
Donald L. Carcieri	06/08 PR	\$500.00	David A. Wollin	Officer
Previously Reported: \$56,643.85				
This Report: \$26,524.00				

Attachment

11. If yes, what is the name of the state agency to which you are providing goods and/or services

- RI Economic Development Corporation
- RI Airport Corporation
- RI Resource Recovery Corporation
- RI Convention Center Authority
- Rhode Island Senate
- Secretary of State
- RI Board of Governors For Higher Education
- State of Rhode Island
- RI Department of Corrections
- RI Lottery Commission
- Joint Committee on Legislative Services
- Narragansett Bay Commission
- Office of the General Treasurer
- RI Turnpike & Bridge Authority
- RI Public Transit Authority
- RI Health and Education Building Corporation
- RI State Investment Commission

18. What is the total gross amount, in dollars, of contracts entered into during the said preceding 24 month period between the contracting party and ALL state agencies? Include all contracts regardless of amount. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, **please so state by marking "Yes."** NOTE: If this is a semi annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report the total gross amount, in dollars, of contracts entered into from the date of execution of the last contract entered into during such semi-annual period to the day which is 24 months prior to the first day of the same semi-annual period

<u>Agency</u>	<u>Fees Received</u> <u>01/01/07 – 06/30/09</u>
RI Economic Development Corporation	\$ 936,546.09
RI Airport Corporation.....	673,645.15
RI Resource Recovery Corporation	292,984.25
RI Convention Center Authority	63,780.00
Rhode Island Senate	-0-
Secretary of State	142,138.75
Board of Governors	226,450.60
State of Rhode Island	162,521.25
RI Department of Corrections	147,390.70
RI Lottery Commission	-0-
Joint Committee of Legislative Services	-0-
Narragansett Bay Commission.....	-0-
Office of the General Treasurer	7,250.00
RI Turnpike & Bridge Authority.....	90,483.50
RI Public Transit Authority.....	74,986.50
RI Health and Education Building Corporation	-0-
RI State Investment Commission.....	58,119.10
	<u>\$ 2,876,295.89</u>

AGREEMENT

BETWEEN

ADLER POLLOCK & SHEEHAN P.C.

ONE CITIZENS PLAZA, 8TH FLOOR

PROVIDENCE, RHODE ISLAND 02903-1345

AND

RHODE ISLAND RESOURCE RECOVERY CORPORATION

65 SHUN PIKE

JOHNSTON, RHODE ISLAND 02919

EXHIBIT LIST

PROCUREMENT DOCUMENTS:

1. Request for Qualifications/Request for Proposals (RFQ/RFP) No. 878 for Legal Services, dated October 8, 2008
2. Addendum No. 1 to RFQ/RFP No. 878, dated October 24, 2008
3. The Law Firm's Proposal, dated November 5, 2008, submitted in response to RFQ/RFP No. 878
4. Subsequent correspondence from the Corporation to the Law Firm, dated February 17, 2009, and from the Law Firm to the Corporation dated February 17, 2009, concerning the Law Firm's willingness to extend their Proposal to April 4, 2009.
5. Subsequent correspondence from the Corporation to the Law Firm, dated March 27, 2009, and from the Law Firm to the Corporation dated March 30, 2009, concerning the Law Firm's willingness to extend their Proposal to May 19, 2009.

AGREEMENT

BETWEEN

**ADLER POLLOCK & SHEEHAN P.C.
ONE CITIZENS PLAZA, 8TH FLOOR
PROVIDENCE, RHODE ISLAND 02903-1345**

AND

**RHODE ISLAND RESOURCE RECOVERY CORPORATION
65 SHUN PIKE
JOHNSTON, RHODE ISLAND 02919**

AGREEMENT made and entered into as of the 25th day of June, 2009, by and jointly between RHODE ISLAND RESOURCE RECOVERY CORPORATION, a quasi-public corporation organized pursuant to the laws of the State of Rhode Island (hereinafter referred to as "the Corporation"), and ADLER POLLOCK & SHEEHAN P.C. (hereinafter referred to as "the Law Firm") with a place of business at One Citizens Plaza, 8th Floor, Providence, RI 02903-1345.

In consideration of the mutual covenants, promises, and payments reflected herein, the Law Firm and the Corporation agree as follows:

1. **Term.** The term of this Agreement shall commence on July 1, 2009 and shall continue until December 31, 2009, unless sooner terminated as provided herein. Further, the Corporation shall have the option to renew the Contract upon the expiration date of December 31, 2009, and with the Law Firm's mutual consent, for up to two additional one-year periods.
2. **Services.** The Law Firm agrees to furnish all goods and/or services required and agrees to perform all services in strict conformity with: (1) the Request for Qualifications/Request for Proposals (RFQ/RFP) No. 878 for Legal Services, dated October 8, 2008; (2) Addendum No. 1 to RFQ/RFP No. 878, dated October 24, 2008; (3) the Law Firm's Proposal, dated November 5, which was submitted to the Corporation in response to this RFQ/RFP; (4) subsequent correspondence from the Corporation to the Law Firm, dated February 17, 2009, and from the Law Firm to the Corporation, dated February 17, 2009, concerning the Law Firm's willingness to extend their Proposal to April 4, 2009; and (5) subsequent correspondence from the Corporation to the Law Firm, dated March 27, 2009, and from the Law Firm to the Corporation, dated March 30, 2009, concerning the Law Firm's willingness to extend their Proposal to May 19, 2009. These five aforementioned documents are hereinafter referred to as the "Procurement Documents" and are incorporated into and

made a part of this Agreement as if set forth in full herein. In any case where specific terms and conditions included in this Agreement differ from those terms and conditions set forth in the Procurement Documents, the terms of this Agreement shall govern.

3. **Billing and Payment.** The Law Firm shall bill the Corporation for services rendered at the rate(s) specified in the Law Firm's Proposal. Invoices minimally will contain the Law Firm's name, address and phone number; date; and, amount due on a Task-by-Task basis. Invoices shall be paid by the Corporation within thirty (30) days of receipt of an acceptable invoice for goods and/or services that have been received and accepted.
4. **Personnel.** The Law Firm represents that it has, or will secure at its own expense, all personnel required in performing the services under this Agreement. Personnel specifically shall include those named in the Law Firm's Proposal, and each task shall be performed by the personnel indicated in that Proposal. All staffing changes must be approved by the Corporation. Reasonable approval of staffing changes shall not be withheld by the Corporation.

Other than the subcontracted work indicated in the Law Firm's Proposal, none of the work or services covered by this Agreement shall be subcontracted without the prior written approval of the Corporation.

5. **Indemnification.** The Law Firm will indemnify and hold the Corporation harmless from any and all loss, damages, suits, penalties, costs, liabilities and expenses (including, but not limited to, reasonable investigation and legal expenses) arising out of any claim including claims for loss of or damage to property, including the Corporation's property, and injuries to or death of persons, including the Corporation's employees, caused by or resulting from Law Firm's negligence or willful misconduct or breach of this Agreement. In any instance in which the Corporation claims indemnity under this paragraph, the Law Firm shall have the duty to defend the Corporation in any litigation arising out of the occurrence from which the Corporation claims that the Law Firm's indemnity obligation exists.

The Corporation hereby agrees to indemnify and hold the Law Firm harmless from any and all loss, damages, suits, penalties, costs, liabilities and expenses (including, but not limited to, reasonable investigation and legal expenses) arising out of any claim for loss of or damage to property, including the Law Firm's property, and injuries to or death of persons, including the Law Firm's employees, caused by or resulting from the Corporation's negligence or willful misconduct or breach of this Agreement.

In case of joint or concurring negligence of the parties giving rise to a loss or claim against either one or both, each shall have full rights of contribution against the other. Each party shall promptly notify the other of the assertion of any claim against which such other party is indemnified hereunder, shall give the other party the opportunity to defend such claim, and shall not settle such claim without the approval of the indemnifying party. These indemnification provisions are for the protection of the Corporation and Law Firm only and shall not establish, of themselves, any liability to third parties. Nothing contained in this provision shall be construed or deemed to prevent either party from defending any such suit, action or claim by using all legitimate defenses which it may have against any such suit, action or claim, including alleging the negligence, misfeasance, malfeasance or nonfeasance of the other party.

6. **No Assignment.** The Law Firm may not assign, transfer, Law Firm or otherwise vest in any other company, entity or person, any of its rights or obligations under this Agreement without first obtaining the prior written consent of the Corporation.
7. **Successors and Assigns.** This Agreement shall be binding upon, and shall inure to the benefit of, the parties hereto and their respective successors and permitted assigns.
8. **Litigation.** If the Corporation becomes involved in litigation with third parties concerning or relating in any way to the Law Firm's services, whether such litigation occurs during or after the term of the Project, the Law Firm agrees, at reasonable fees to be agreed upon by the parties hereto, to make its officers and employees available to the Corporation to consult, assist and cooperate in such litigation to the extent such consultation, assistance and cooperation may be required by the Corporation.
9. **Governing Law.** This Agreement shall be governed by and construed in accordance with the laws of the State of Rhode Island.
10. **Compliance With State Law.** The Law Firm warrants that neither it nor any of its principals, officers, agents or representatives authorized to make commitments on its behalf have ever been convicted of violating Section 23-19-13.3 of the Rhode Island General Laws. Further, the Law Firm agrees to perform all services and provide all goods called for by this Agreement in compliance with all Rhode Island laws.
11. **Ownership of Documents and Data.** All documents and data pertaining to the work required hereunder and prepared by the Law Firm and its consultants in the performance of this Agreement shall be the absolute property of the Corporation.

May 11, 2009

Louis J. Saccoccio, Esquire
General Counsel
University of Rhode Island
Green Hall
35 Campus Avenue
Kingston, Rhode Island 02881

Re: Letter of Engagement

Dear Mr. Saccoccio:

We are pleased that you have asked Adler Pollock & Sheehan P.C. to represent the Rhode Island Board of Governors for Higher Education (the "Board") and the University of Rhode Island (the "University") as counsel on bond related matters. In this regard we will provide advice and counseling related to public and other financing issues including the impact on the tax-exempt status of outstanding bond obligations of various initiatives or programs proposed or contemplated by the University or the Board. This letter and the enclosed information packet are sent in accordance with the Rhode Island Rules of Professional Conduct and in compliance with the General Laws of Rhode Island §37-2-70. This letter of engagement is for the period beginning July 1, 2009 and ending June 30, 2010.

As we have discussed, I will have primary responsibility in the firm's representation but we may call on other lawyers as necessary. In addition, on certain routine aspects not requiring a lawyer, we may call upon one or more of our legal assistants.

Our fees will be based upon the amount of time spent on behalf of the Board or the University. Each lawyer and legal assistant has an hourly billing rate. As agreed, our top rate per hour will be \$325 which will include Paul A. Campellone and E. Hans Lundsten. Rates for associates will be \$225. I hereby certify that these rates do not exceed the rates we charge our preferred public or private clients for bond related work.

We certify that we have registered to utilize the E-Verify program (www.dhs.gov/E-Verify) to ensure compliance with federal and state law. We understand and agree that we are required to continue to utilize the services of the E-Verify program for as long as we continue to do business with the State of Rhode Island. We further understand that our failure to continue to utilize the services of the E-Verify program will adversely affect our ability to continue to do business with the State of Rhode Island and our ability to do business with the State of Rhode Island in the future.

ADLER POLLOCK & SHEEHAN P.C.

Louis J. Saccoccio, Esquire
May 11, 2009
Page 2

We appreciate the opportunity to be of service to you in this matter and thank you for the confidence you have placed in us by asking that we undertake this representation.

Very truly yours,



SARAH T. DOWLING

STD/rmd
Enclosure

494967_1

REQUEST TO RETAIN LEGAL COUNSEL (RLC)

RLC No. (Dept. Of Admin. Only)

In Accordance with the provisions of State Purchasing Law (37-2) and Regulations, the Chief Purchasing Officer requires that this RLC form be submitted by state agencies for approval prior to the engagement of outside legal counsel. This form must be attached to any initial request for extensions beyond an initial 12-month period. All requests for increased must be reviewed and approved by the Office of the Director, Department of Administration.

- 1. Submit RI-Sail Purchase Order Detail form, Purchase Order Summary form, RLC form, and letter of engagement (certifying that attorney is charging the lowest fee charged to any public or private clients and any proposed terms, conditions, scope or specifications for contracted services) to the Office of the Director, Department of Administration.
2. Attach any additional material necessary to explain and/or justify approval of request.
3. When processing requisitions or payments without purchase orders, submit RLC attached to such documents to the Office of the Director, Department of Administration.
4. DO NOT PROCEED WITHOUT PRIOR WRITTEN AUTHORIZATION.

DEPT. HIGHER EDUCATION-UNIVERSITY OF RHODE ISLAND

ACCOUNT NO. 100-1002-3011-5266

Louis J. Saccoccio
DIRECTOR OR CHIEF EXECUTIVE OFFICER'S SIGNATURE

DATE

Name of Proposed Counsel Paul A.Campellone,Esq-E.Hans Lundsten,Esq-Sarah T.Dowling,Esq-S.Geanacopoulos,Esq-Claudia Matzko,Esq-Adler Pollock & Sheehan, PC

Julia Techenen Esq.

Individual Partnership Other x

Type of Legal Service: Bond Counsel and General Tax Advice. To provide advice and counseling related to public and other financing issues including the impact on tax exempt status of outstanding bond obligations by various initiatives or programs proposed or contemplated by the University or its governing board. See attached LOE for further description of services.

Scope of Service: To act as bond counsel and provide general tax advice to the institution and its governing board as required.

Qualifications of proposed counsel to provide service described (experience and professional licensing):

Counsel retained has required professional license and is highly regarded bond and tax counsel
Reason services are needed: Specialized legal assistance to supplement in-house counsel

Demonstrate why services are not currently available in the state to carry out the scope of work described above.

Neither the institution nor its governing board employ bond and tax counsel

Proposed Contract Period: From: 1-Jul-09 To: 30-Jun-10

Proposed rate(s): (Partners, Associates, Paralegals, Etc. hourly or other rates. Attach certification of proposed individual or firm that such rate is not higher than that charged any other client) See attached LOE

Total estimated Cost in Current Fiscal Year \$18,000 Total Estimated Cost TBD

DEPARTMENT OF ADMINISTRATION COORDINATOR, OFFICE OF THE DIRECTOR

DATE

APPROVED DENIED

CHIEF PURCHASING OFFICER

DATE

ADLER POLLOCK & SHEEHAN P.C., a Rhode Island
Professional Corporation ("AP&S")
CLIENT INFORMATION

This information about our client-attorney relationship shall constitute part of your Engagement Letter with us. If there is any difference between the information in this document and the Engagement Letter, the provisions of the Engagement Letter shall apply to our relationship.

Scope of Engagement.

We will represent you in the specific matters described in the Engagement Letter (the "Matter"), and will not perform other legal work without consultation and authorization from you.

We expect that you will cooperate with us and will fully and accurately disclose to us all facts and documents that may be relevant to the Matter or that we may otherwise request. For instance, you must be reasonably available to attend meetings, discovery proceedings, conferences and other proceedings.

You authorize and direct us to take all actions which we deem advisable on your behalf in the Matter except those specific decisions, if any, set forth in the Engagement Letter which must be made by you. We will notify you of significant developments and consult with you in advance of any significant decisions.

We will use our best professional efforts in representing you in the Matter; however, we have given no assurances to you regarding the outcome. Any statement of opinion or beliefs concerning the Matter or the results that might be anticipated made by any employee of AP&S is based on the information available at the time and should not be construed as a promise or guaranty.

Fees and Disbursements.

Our fees for services shall be determined based upon the prevailing hourly rates of attorneys, paralegals and staff support involved in the Matter. The present hourly rates of the attorney in charge of the Matter and the associates and legal assistants expected to be involved in the Matter are set forth in the Engagement Letter. Those rates are reviewed and modified from time to time and we will apply the new rates as they are so modified. The prevailing hourly rates are available to you upon request.

You understand that we may have to retain certain persons or entities to perform services related to the Matter, such as local counsel, corporate filing and service agencies, real estate appraisers, expert witnesses, investigators, consultants and title examiners, among others. You authorize us to direct such persons and entities to render statements for services rendered and

expenses either directly to you or to us. In such latter event, you shall promptly reimburse us for the full amount of such statements.

We do not directly bill for domestic telephone charges, copying done at the firm or non-priority mail postage. However, we may incur various other expenses in providing services to you in the Matter such as filing fees, courier services, recording or certification charges, witness fees, international telephone charges, overtime clerical assistance, travel expenses, priority mail postage, copying done outside of our office and computerized research charges. You shall promptly reimburse us for all such expenses or, if you are billed directly for those expenses, make prompt, direct payment to the originators of those services.

We will bill you monthly and you will pay AP&S legal fees and expenses within thirty (30) days of the date of invoice. Any requested retainer or fee advance must be paid prior to the commencement of representation and will be applied against invoices for fees and disbursements in such manner as AP&S determines. No interest shall accrue on any retainer or fee advance.

Invoices shall include a summary description of the services performed by AP&S and a listing of all disbursements, charges and expenses for which AP&S seeks reimbursement. More detailed billing formats are available upon request.

The fees and costs relating to the Matter generally are not predictable. Accordingly, AP&S has made no commitment to you concerning the maximum fees and costs that will be necessary to resolve or complete the Matter. Any projection or budget of fees and costs represents only an estimate of such fees and costs. It is also expressly understood that payment of AP&S's fees and costs is in no way contingent on the ultimate outcome of the Matter.

General Matters.

Adler Pollock & Sheehan P.C. is a professional corporation organized under the corporate laws of the State of Rhode Island, and for that reason its shareholders are afforded limited liability with respect to corporate obligations under applicable corporate law.

Adler Pollock & Sheehan P.C. may from time to time refer to its shareholders as "partners" as a matter of informal professional courtesy and custom. The term "partner" has been historically used as an informal and honorary designation of attorneys who have attained senior professional status or in recognition of other professional achievements. The use of the term "partner" does not imply or suggest that the firm is a legal partnership or that a "partner" has any personal liability with respect to the obligations of Adler Pollock & Sheehan P.C.

We will conduct our internal conflict identification procedure prior to the commencement of our representation of you in the Matter in reliance upon the accuracy and completeness of information furnished by you to us. If, in the course of representing you in the Matter, we determine, in our sole discretion, that a conflict of interest exists, AP&S will notify you of such conflict and may withdraw from representing you in the Matter to the extent that such a

withdrawal would be permitted or required by applicable provisions of the applicable Rules of Professional Conduct, by local law or by the rules of courts having jurisdiction over the Matter.

Subject to the applicable Rules of Professional Conduct, local law, or rules of courts having jurisdiction, upon withdrawal from, or termination or conclusion of, the representation of you in the Matter, we shall have the right to accept engagements to represent other clients in matters which are adverse to you.

We shall have the right to withdraw from representation of you in the Matter if you fail to perform as set forth herein or for any reason that is permitted or required by the applicable Rules of Professional Conduct, by local law, or by the rules of courts having jurisdiction over the Matter.

You shall have the right, in your sole discretion, to terminate our representation of you in the Matter and shall notify us in writing of any such termination.

Unless previously terminated, our representation of you will terminate when we send you a final invoice for services rendered in the Matter. Following termination, we will not undertake to keep you informed of developments or circumstances which might occur subsequent to the withdrawal from, or termination or conclusion of, the representation.

Upon conclusion, termination or withdrawal of our representation of you in the matter, you shall promptly pay all fees, charges and expenses incurred or accrued through the date of conclusion, termination or withdrawal; and you shall also promptly pay to AP&S all charges and expenses, charged at the then prevailing rate, related to the transfer of files of AP&S and/or the providing of information therein to you and/or your new legal counsel. At such times as you request, we will consult with your new counsel after termination at our then hourly rates. AP&S files, including lawyer work product pertaining to the matter, will be retained by AP&S. These firm files include, for example, firm administrative records, time and expense reports, personnel and staffing materials and credit and accounting records, any internal lawyers' work product such as drafts, notes, internal memorandum and legal and factual research including investigative reports prepared by or for the internal use of lawyers. All documents retained by AP&S (including any papers you have not requested) will be transferred to the person responsible for administering our Records Retention Program. Consistent with the applicable Rules of Professional Conduct, AP&S reserves the right to destroy or otherwise dispose of any such documents or other materials retained by us within a reasonable time after the conclusion of the engagement.

In the event of any dispute between us, we each agree to submit to non-binding mediation prior to the institution of legal proceedings.

Privacy Policy Notice.

Attorneys, like other professionals who are "significantly engaged" in providing advice on various personal financial matters, may now be required by recent federal laws and regulations to inform certain present and former clients of the attorneys' policies regarding the

privacy of client information. It is not clear whether such laws and regulations apply to AP&S, or that you fall within the category of persons who should receive such a notice. Without accepting or conceding the applicability of those federal requirements, AP&S is nonetheless providing this statement of its privacy policy to you out of an abundance of caution.

Attorneys are bound by professional standards of confidentiality that are even more stringent than those required by the federal requirements. Therefore, AP&S has always protected its clients' rights to privacy under the professional standards applicable to attorneys, and will continue to do so in the future whether or not the federal requirements apply.

In the course of providing our clients with advice on financial matters such as taxation, estate planning, real estate transactions, debt collection and other services, AP&S may receive significant personal financial information from our clients. All present and former clients of AP&S should know that any information that is received from them is held in confidence, and is not released to people outside the firm, except as agreed to by the client, or as required under an applicable law.

AP&S retains records relating to professional services that are provided to clients so as to enable AP&S to assist its clients with their professional needs and, in some cases, to comply with professional guidelines. In order to guard clients' nonpublic personal information, AP&S maintains physical, electronic, and procedural safeguards that comply with the professional standards applicable to attorneys.

ADLER POLLOCK & SHEEHAN P.C.

One Citizens Plaza, 8th floor
Providence, RI 02903-1345
Telephone 401-274-7200
Fax 401-751-0604 / 351-4607

May 11, 2009

175 Federal Street
Boston, MA 02110-2210
Telephone 617-482-0600
Fax 617-482-0604

www.apslaw.com

Louis J. Saccoccio, Esquire
General Counsel
University of Rhode Island
Green Hall
35 Campus Avenue
Kingston, Rhode Island 02881

Dear Lou:

We are pleased that you have asked Adler Pollock & Sheehan P.C. to represent the Rhode Island Board of Governors for Higher Education (the "Board") and the University of Rhode Island (the "University") to act as trial counsel in both state and federal litigation and to provide other legal services for the Board and the University. This letter is for the period beginning July 1, 2009 and ending June 30, 2010. This letter and the enclosed information packet are sent in accordance with the Rhode Island Rules of Professional Conduct and Rhode Island General Laws §37-2-70 and will confirm our arrangement for services to be rendered.

As we have discussed, Pat Rocha will have the primary responsibility in the firm's representation but we may call on other lawyers as necessary. In addition, on certain routine aspects not requiring a lawyer, we may call upon one or more of our legal assistants.

Our fees will be based upon the amount of time spent on behalf of the Board or the University. Each lawyer and legal assistant has an hourly billing rate. Our government rates for this type of work are \$225 per hour (blended rate) for attorneys and \$115 per hour for legal assistants. I hereby certify that these rates do not exceed the rates we charge our preferred public or private clients for general civil litigation. We appreciate the opportunity to be of service to you in this and thank you for your confidence you have placed in us by asking that we undertake this representation.

We certify that we have registered to utilize the E-Verify program (www.dhs.gov/E-Verify) to ensure compliance with federal and state law. We understand and agree that we are required to continue to utilize the services of the E-Verify program for as long as we continue to do business with the State of Rhode Island. We further understand that our failure to continue to utilize the services of the E-Verify program will adversely affect our ability to continue to do business with the State of Rhode Island and our ability to do business with the State of Rhode Island in the future.

Very truly yours,



SARAH T. DOWLING

STD/rmd
Enclosure
420172_2

REQUEST TO RETAIN LEGAL COUNSEL (RLC)

RLC No. (Dept. Of Admin. Only)

In Accordance with the provisions of State Purchasing Law (37-2) and Regulations, the Chief Purchasing Officer requires that this RLC form be submitted by state agencies for approval prior to the engagement of outside legal counsel. This form must be attached to any initial request for extensions beyond an initial 12-month period. All requests for increased must be reviewed and approved by the Office of the Director, Department of Administration.

- 1. Submit RI-Sail Purchase Order Detail form, Purchase Order Summary form, RLC form, and letter of engagement (certifying that attorney is charging the lowest fee charged to any public or private clients and any proposed terms, conditions, scope or specifications for contracted services) to the Office of the Director, Department of Administration.
2. Attach any additional material necessary to explain and/or justify approval of request.
3. When processing requisitions or payments without purchase orders, submit RLC attached to such documents to the Office of the Director, Department of Administration.
4. DO NOT PROCEED WITHOUT PRIOR WRITTEN AUTHORIZATION.

DEPT. HIGHER EDUCATION-UNIVERSITY OF RHODE ISLAND

ACCOUNT NO. 100-1002-3011-5266

Louis J. Saccoccio
DIRECTOR OR CHIEF EXECUTIVE OFFICER'S SIGNATURE

DATE

Name of Proposed Counsel Patricia Rocha,Esq;Robert P. Brooks,Esq;Michael Chitteck,Esq;PeterLawsonKennedy,Esq;Sarah T.Dowling,Esq

Adler Pollock & Sheehan, PC

Individual Partnership Other x

Type of Legal Service: Litigation Counsel and other legal services.

Scope of Service: To act as trial counsel in state and federal litigation and to provide related and other legal services to the institution and its governing board as required to supplement in-house counsel.

Qualifications of proposed counsel to provide service described (experience and professional licensing):

Counsel has required professional licenses and experience.

Reason services are needed:

Specialized legal assistance to supplement in-house counsel

Demonstrate why services are not currently available in the state to carry out the scope of work described above.

Proposed Contract Period: From: 1-Jul-09 To: 30-Jun-10

Proposed rate(s): (Partners, Associates, Paralegals, Etc. hourly or other rates. Attach certification of proposed individual or firm that such rate is not higher than that charged any other client) See attache d LOE

Total estimated Cost in Current Fiscal Year \$22,000 Total Estimated Cost TBD

DEPARTMENT OF ADMINISTRATION COORDINATOR, OFFICE OF THE DIRECTOR

DATE

APPROVED DENIED

CHIEF PURCHASING OFFICER

DATE

ADLER POLLOCK & SHEEHAN P.C., a Rhode Island
Professional Corporation ("AP&S")
CLIENT INFORMATION

This information about our client-attorney relationship shall constitute part of your Engagement Letter with us. If there is any difference between the information in this document and the Engagement Letter, the provisions of the Engagement Letter shall apply to our relationship.

Scope of Engagement.

We will represent you in the specific matters described in the Engagement Letter (the "Matter"), and will not perform other legal work without consultation and authorization from you.

We expect that you will cooperate with us and will fully and accurately disclose to us all facts and documents that may be relevant to the Matter or that we may otherwise request. For instance, you must be reasonably available to attend meetings, discovery proceedings, conferences and other proceedings.

You authorize and direct us to take all actions which we deem advisable on your behalf in the Matter except those specific decisions, if any, set forth in the Engagement Letter which must be made by you. We will notify you of significant developments and consult with you in advance of any significant decisions.

We will use our best professional efforts in representing you in the Matter; however, we have given no assurances to you regarding the outcome. Any statement of opinion or beliefs concerning the Matter or the results that might be anticipated made by any employee of AP&S is based on the information available at the time and should not be construed as a promise or guaranty.

Fees and Disbursements.

Our fees for services shall be determined based upon the prevailing hourly rates of attorneys, paralegals and staff support involved in the Matter. The present hourly rates of the attorney in charge of the Matter and the associates and legal assistants expected to be involved in the Matter are set forth in the Engagement Letter. Those rates are reviewed and modified from time to time and we will apply the new rates as they are so modified. The prevailing hourly rates are available to you upon request.

You understand that we may have to retain certain persons or entities to perform services related to the Matter, such as local counsel, corporate filing and service agencies, real estate appraisers, expert witnesses, investigators, consultants and title examiners, among others. You authorize us to direct such persons and entities to render statements for services rendered and

expenses either directly to you or to us. In such latter event, you shall promptly reimburse us for the full amount of such statements.

We do not directly bill for domestic telephone charges, copying done at the firm or non-priority mail postage. However, we may incur various other expenses in providing services to you in the Matter such as filing fees, courier services, recording or certification charges, witness fees, international telephone charges, overtime clerical assistance, travel expenses, priority mail postage, copying done outside of our office and computerized research charges. You shall promptly reimburse us for all such expenses or, if you are billed directly for those expenses, make prompt, direct payment to the originators of those services.

We will bill you monthly and you will pay AP&S legal fees and expenses within thirty (30) days of the date of invoice. Any requested retainer or fee advance must be paid prior to the commencement of representation and will be applied against invoices for fees and disbursements in such manner as AP&S determines. No interest shall accrue on any retainer or fee advance.

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General Matters.

Adler Pollock & Sheehan P.C. is a professional corporation organized under the corporate laws of the State of Rhode Island, and for that reason its shareholders are afforded limited liability with respect to corporate obligations under applicable corporate law.

Adler Pollock & Sheehan P.C. may from time to time refer to its shareholders as "partners" as a matter of informal professional courtesy and custom. The term "partner" has been historically used as an informal and honorary designation of attorneys who have attained senior professional status or in recognition of other professional achievements. The use of the term "partner" does not imply or suggest that the firm is a legal partnership or that a "partner" has any personal liability with respect to the obligations of Adler Pollock & Sheehan P.C.

We will conduct our internal conflict identification procedure prior to the commencement of our representation of you in the Matter in reliance upon the accuracy and completeness of information furnished by you to us. If, in the course of representing you in the Matter, we determine, in our sole discretion, that a conflict of interest exists, AP&S will notify you of such conflict and may withdraw from representing you in the Matter to the extent that such a

withdrawal would be permitted or required by applicable provisions of the applicable Rules of Professional Conduct, by local law or by the rules of courts having jurisdiction over the Matter.

Subject to the applicable Rules of Professional Conduct, local law, or rules of courts having jurisdiction, upon withdrawal from, or termination or conclusion of, the representation of you in the Matter, we shall have the right to accept engagements to represent other clients in matters which are adverse to you.

We shall have the right to withdraw from representation of you in the Matter if you fail to perform as set forth herein or for any reason that is permitted or required by the applicable Rules of Professional Conduct, by local law, or by the rules of courts having jurisdiction over the Matter.

You shall have the right, in your sole discretion, to terminate our representation of you in the Matter and shall notify us in writing of any such termination.

Unless previously terminated, our representation of you will terminate when we send you a final invoice for services rendered in the Matter. Following termination, we will not undertake to keep you informed of developments or circumstances which might occur subsequent to the withdrawal from, or termination or conclusion of, the representation.

Upon conclusion, termination or withdrawal of our representation of you in the matter, you shall promptly pay all fees, charges and expenses incurred or accrued through the date of conclusion, termination or withdrawal; and you shall also promptly pay to AP&S all charges and expenses, charged at the then prevailing rate, related to the transfer of files of AP&S and/or the providing of information therein to you and/or your new legal counsel. At such times as you request, we will consult with your new counsel after termination at our then hourly rates. AP&S files, including lawyer work product pertaining to the matter, will be retained by AP&S. These firm files include, for example, firm administrative records, time and expense reports, personnel and staffing materials and credit and accounting records, any internal lawyers' work product such as drafts, notes, internal memorandum and legal and factual research including investigative reports prepared by or for the internal use of lawyers. All documents retained by AP&S (including any papers you have not requested) will be transferred to the person responsible for administering our Records Retention Program. Consistent with the applicable Rules of Professional Conduct, AP&S reserves the right to destroy or otherwise dispose of any such documents or other materials retained by us within a reasonable time after the conclusion of the engagement.

In the event of any dispute between us, we each agree to submit to non-binding mediation prior to the institution of legal proceedings.

Privacy Policy Notice.

Attorneys, like other professionals who are "significantly engaged" in providing advice on various personal financial matters, may now be required by recent federal laws and regulations to inform certain present and former clients of the attorneys' policies regarding the

privacy of client information. It is not clear whether such laws and regulations apply to AP&S, or that you fall within the category of persons who should receive such a notice. Without accepting or conceding the applicability of those federal requirements, AP&S is nonetheless providing this statement of its privacy policy to you out of an abundance of caution.

Attorneys are bound by professional standards of confidentiality that are even more stringent than those required by the federal requirements. Therefore, AP&S has always protected its clients' rights to privacy under the professional standards applicable to attorneys, and will continue to do so in the future whether or not the federal requirements apply.

In the course of providing our clients with advice on financial matters such as taxation, estate planning, real estate transactions, debt collection and other services, AP&S may receive significant personal financial information from our clients. All present and former clients of AP&S should know that any information that is received from them is held in confidence, and is not released to people outside the firm, except as agreed to by the client, or as required under an applicable law.

AP&S retains records relating to professional services that are provided to clients so as to enable AP&S to assist its clients with their professional needs and, in some cases, to comply with professional guidelines. In order to guard clients' nonpublic personal information, AP&S maintains physical, electronic, and procedural safeguards that comply with the professional standards applicable to attorneys.

ADLER POLLOCK & SHEEHAN P.C.

One Citizens Plaza, 8th floor
Providence, RI 02903-1345
Telephone 401-274-7200
Fax 401-751-0604 / 351-4607

RHODE ISLAND
BOARD OF ELECTIONS

2010 JAN 26 P 3:02

January 26, 2010

175 Federal Street
Boston, MA 02110-2210
Telephone 617-482-0600
Fax 617-482-0604

www.apslaw.com

VIA HAND DELIVERY

Board of Elections
Campaign Finance Division
50 Branch Avenue
Providence, Rhode Island 02904

**Re: Affidavit of State Vendor
Under Chapter 17-27 of the General Laws of Rhode Island**

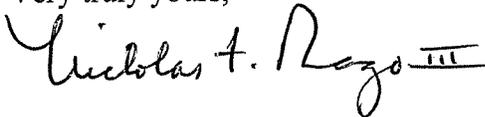
Ladies and Gentlemen:

Enclosed is the Affidavit of State Vendor on behalf Adler Pollock & Sheehan P.C. for the period July 1, 2009 through December 31, 2009.

Please acknowledge receipt by signing the enclosed copy of this letter and returning it to me in the envelope provided.

Thank you.

Very truly yours,



Nicholas F. Rago, III
Executive Director

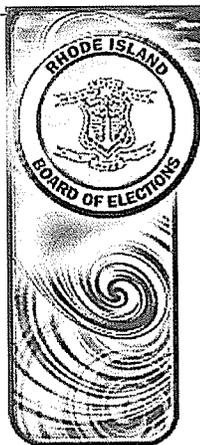
NFR/rmd
Enclosures

482932_3

Receipt acknowledged:

Name:

Date: _____



State of Rhode Island and Providence Plantations
Campaign Finance Electronic Reporting & Tracking System (ERTS)

Vendor Affidavit

[Help with this page](#)

| v 1.6.29

AFFIDAVIT OF STATE VENDOR

1. Name, address and telephone number of person making this affidavit

Rhode Island Menu

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Prefix MR.

First Name Nicholas

Middle Name F.

Last Name Rago

Suffix III

Street Address One Citizens Plaza, 8th Floor

Street Address2

City/Town, State and Zip Code Providence RI 02903

Phone Number 401 274-7200

10 JAN 26 PM 3:20
 RHODE ISLAND
 BOARD OF ELECTIONS

2. Within the 24 month period preceding the date of the contract with the state agency, or with respect to the contracts that are not in writing within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, any member of the general assembly or candidate for general assembly, or any R.I. political party? (includes an individual contracting party, or business entity on behalf of a related party, or a related party reporting on his/her own behalf) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

Yes
 No

(If you checked "No", above, do not attach a copy of the state contract, or written summary, and do not complete remainder of form.)

3. If the answer to question 2 is "Yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributions even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

SEE ATTACHED

Name of Officer, Candidate or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party

4. What is the date of execution of the written contract requiring filing of this affidavit

[Such contract must be filed with this affidavit] **N/A - SEMI-ANNUAL REPORTING**

5. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold? **N/A**

6. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

Agency	Goods / Services	Contract Expire Date
N/A	N/A	N/A *

7. Is this affidavit made by you as an individual or on behalf of a business entity?
 Individual
 Business Entity

8. If on behalf of a business entity, what is the name and nature of the business entity?
Business Name **FEIN#**
 Adler Pollock & Sheehan P.C. 05-0343749
 Corporation Partnership Sole Proprietorship Other Business Entity

9. If "Other Business Entity" is checked above what is the nature of such business entity?

10. Are you a state vendor because you are the contracting party in a contract with a state agency?
 Yes
 No

11. If yes, what is the name of the state agency to which you are providing goods and/or services?
 SEE ATTACHED

12. If the answer to question 10 is "no", are you a state vendor because you are related to a contracting party?
 Yes
 No

13. If the answer to question 12 is "yes", what is the nature of your relationship with the contracting party?

14. If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.
 Name of Contracting Party
 Address1
 Address2
 City, State and Zip
 State Agency

15. If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable contributions made by such related parties?
 Yes
 No

16. If the answer to question 15 is "no", have you notified all such parties of the requirements and the law and of their obligation to file this affidavit if they have made reportable political contributions?
 Yes
 No

17. If the answer to question 16 is "yes", provide the names and addresses of related parties who might be required to file this affidavit and the nature of such relationship.

Parties Name	Address	Relationship
<input type="button" value="Add"/>		

18. What is the total gross amount, in dollars, of contracts entered into during the said preceding 24 month period between the contracting party and ALL state agencies? Include all contracts regardless of amount. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state by marking "Yes." NOTE: If this is a semi annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report the total gross amount, in dollars, of contracts entered into from the date of execution of the last contract entered into during such semi-annual period to the day which is 24 months prior to the first day of the same semi-annual period.
 Yes
 No

SEE ATTACHED

State Agency	Date of Contract	Amount of Contract	FEIN #	P.O. #
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State of:

County of:

File

Cancel

Lou LaBomere

Notary Public

My Commission Expires: 6/28/13

Nicholas F. Rago III

Nicholas F. Rago, III

Executive Director

Date: 1/26/10

General Officer Candidate for General Office or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
The Caprio Committee	12/21/09	\$500.00	Victoria M. Almeida	Officer
Friends of A. Ralph Mollis	12/01/09	\$150.00	Victoria M. Almeida	Officer
Friends of Costantino	12/01/09	\$250.00	Victoria M. Almeida	Officer
Friends of Patrick Lynch	09/23/09	\$250.00	Victoria M. Almeida	Officer
Friends of Costantino	05/13/09 PR	\$125.00	Victoria M. Almeida	Officer
Friends of Edwin Pacheco	04/24/09 PR	\$50.00	Victoria M. Almeida	Officer
The Caprio Committee	03/30/09 PR	\$500.00	Victoria M. Almeida	Officer
Friends of Amy Rice	03/12/09 PR	\$100.00	Victoria M. Almeida	Officer
Friends of Daniel Connors	03/12/09 PR	\$150.00	Victoria M. Almeida	Officer
Friends of Gordon Fox	02/25/09 PR	\$150.00	Victoria M. Almeida	Officer
Paiva-Weed For Senate Committee	02/04/09 PR	\$200.00	Victoria M. Almeida	Officer
Friends of William J. Murphy	01/29/09 PR	\$200.00	Victoria M. Almeida	Officer
Donald L. Carcieri	06/23/08 PR	\$250.00	Joseph Avanzato	Officer
Frank Caprio	12/15/09	\$500.00	Richard R. Beretta	Officer
Patrick Lynch	12/09/09	\$500.00	Richard R. Beretta	Officer
Steven Costantino	11/30/09	\$250.00	Richard R. Beretta	Officer
Ralph Mollis	11/30/09	\$150.00	Richard R. Beretta	Officer
Peter Kilmartin	11/12/09	\$100.00	Richard R. Beretta	Officer
Elizabeth Roberts	11/06/09	\$250.00	Richard R. Beretta	Officer
Frank Caprio	11/05/09	\$1,000.00	Amy Beretta	Souse of Officer
Patrick Lynch	06/26/09 PR	\$250.00	Richard R. Beretta	Officer
David Bates	06/22/09 PR	\$100.00	Richard R. Beretta	Officer
Brian Kennedy	06/13/09 PR	\$125.00	Richard R. Beretta	Officer
Joseph McNamara	06/13/09 PR	\$50.00	Richard R. Beretta	Officer
Dennis Algiere	06/10/09 PR	\$50.00	Richard R. Beretta	Officer
Eileen Naughton	06/10/09 PR	\$50.00	Richard R. Beretta	Officer
Louis DiPalma	06/10/09 PR	\$50.00	Richard R. Beretta	Officer
Stephen Ucci	05/28/09 PR	\$75.00	Richard R. Beretta	Officer
John McCauley	05/28/09 PR	\$50.00	Richard R. Beretta	Officer

Michael McCaffrey	05/26/09 PR	\$100.00	Richard R. Beretta	Officer
Donald Lally	05/26/09 PR	\$100.00	Richard R. Beretta	Officer
Maryellen Goodwin	05/20/09 PR	\$100.00	Richard R. Beretta	Officer
Steven Costantino	05/13/09 PR	\$125.00	Richard R. Beretta	Officer
William Walaska	05/06/09 PR	\$100.00	Richard R. Beretta	Officer
Dominick Ruggerio	05/04/09 PR	\$100.00	Richard R. Beretta	Officer
David Caprio	04/30/09 PR	\$100.00	Richard R. Beretta	Officer
Rene Menard	04/30/09 PR	\$100.00	Richard R. Beretta	Officer
Peter Petrarca	04/30/09 PR	\$75.00	Richard R. Beretta	Officer
Teresa Paiva-Weed	04/24/09 PR	\$250.00	Richard R. Beretta	Officer
John Tassoni	04/23/09 PR	\$100.00	Richard R. Beretta	Officer
Hanna Gallo	04/23/09 PR	\$100.00	Richard R. Beretta	Officer
Ed Pacheco	04/23/09 PR	\$100.00	Richard R. Beretta	Officer
Patrick O'Neil	04/08/09 PR	\$75.00	Richard R. Beretta	Officer
Greg Schadone	04/08/09 PR	\$50.00	Richard R. Beretta	Officer
Frank Caprio	03/25/09 PR	\$500.00	Richard R. Beretta	Officer
Daniel Connors	03/12/09 PR	\$150.00	Richard R. Beretta	Officer
Timothy Williamson	03/10/09 PR	\$100.00	Richard R. Beretta	Officer
Daniel DaPonte	03/05/09 PR	\$100.00	Richard R. Beretta	Officer
Peter Kilmartin	03/04/09 PR	\$150.00	Richard R. Beretta	Officer
Patrick Lynch	02/24/09 PR	\$250.00	Richard R. Beretta	Officer
Gordon Fox	02/24/09 PR	\$150.00	Richard R. Beretta	Officer
Teresa Paiva-Weed	02/04/09 PR	\$200.00	Richard R. Beretta	Officer
William Murphy	01/29/09 PR	\$200.00	Richard R. Beretta	Officer
William Murphy	01/14/09 PR	\$250.00	Richard R. Beretta	Officer
Timothy Williamson	03/10/09 PR	\$100.00	Amy Beretta	Spouse of Officer
Louis DiPalma for Senate	07/18/08 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Donald L. Carcieri	07/18/08 PR	\$340.00	Richard R. Beretta, Jr.	Officer
Senate President Montalbano	09/03/08 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Nick Gorham	10/06/08 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Ray Church	10/22/08 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Patrick O'Neil	10/22/08 PR	\$50.00	Richard R. Beretta, Jr.	Officer

Patrick Lynch	11/25/08 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Frank Caprio	12/23/08 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Elizabeth H. Roberts	01/22/08 PR	\$250.00	Richard R. Beretta, Jr.	Officer
William Murphy	01/29/08 PR	\$200.00	Richard R. Beretta, Jr.	Officer
Gordon Fox	02/28/08 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Frank T. Caprio	03/04/08 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Joseph Montalbano	03/04/08 PR	\$200.00	Richard R. Beretta, Jr.	Officer
Patrick Lynch	03/12/08 PR	\$250.00	Richard R. Beretta, Jr.	Officer
Steven Alves	03/12/08 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Theresa Paiva-Weed	04/06/08 PR	\$150.00	Richard R. Beretta, Jr.	Officer
Peter Petrarca	04/06/08 PR	\$50.00	Richard R. Beretta, Jr.	Officer
House Democratic Leadership	04/12/08 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Joseph Amaral	04/22/08 PR	\$75.00	Richard R. Beretta, Jr.	Officer
Timothy Williamson	04/30/08 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Dominick Ruggerio	05/12/08 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Brian Kennedy	05/12/08 PR	\$125.00	Richard R. Beretta, Jr.	Officer
William Walaska	05/13/08 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Joseph McNamara	05/13/08 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Steven Costantino	05/14/08 PR	\$125.00	Richard R. Beretta, Jr.	Officer
Daniel Issa	05/14/08 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Joseph Polisena	05/20/08 PR	\$100.00	Richard R. Beretta, Jr.	Officer
John McCauley	05/20/08 PR	\$50.00	Richard R. Beretta, Jr.	Officer
David Bates	05/20/08 PR	\$50.00	Richard R. Beretta, Jr.	Officer
Michael McCaffrey	05/27/08 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Donald L. Carcieri	06/12/08 PR	\$160.00	Richard R. Beretta, Jr.	Officer
Patrick Lynch	06/18/08 PR	\$500.00	Richard R. Beretta, Jr.	Officer
Robert Lowe	06/23/08 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Patrick Lynch	06/26/08 PR	\$100.00	Richard R. Beretta, Jr.	Officer
Donald L. Carcieri	06/24/08 PR	\$1,000.00	Amy Beretta	Spouse of Officer
Donald L. Carcieri	03/18/08 PR	\$500.00	Amy Beretta	Spouse of Officer
Frank Caprio	12/17/09	\$250.00	Robert P. Brooks	Officer
Patrick Lynch	11/20/09	\$150.00	Robert P. Brooks	Officer

Frank T. Caprio	03/27/09 PR	\$250.00	Robert P. Brooks	Officer
William J. Murphy	01/31/08 PR	\$200.00	Robert P. Brooks	Officer
Gordon Fox	02/28/08 PR	\$150.00	Robert P. Brooks	Officer
Joseph A. Montalbano	03/05/08 PR	\$200.00	Robert P. Brook	Officer
Frank T. Caprio	03/14/08 PR	\$250.00	Robert P. Brooks	Officer
Frank Caprio	12/09	\$250.00	Paul A. Campellone	Officer
Joseph Fernandez	12/09	\$250.00	Marybeth Campellone	Spouse of Officer
Frank T. Caprio	03/20/09 PR	\$500.00	Paul A. Campellone	Officer
Frank T. Caprio	03/13/08 PR	\$100.00	Paul A. Campellone	Officer
Steven Costantino	05/14/08 PR	\$125.00	Paul A. Campellone	Officer
Donald L. Carcieri	06/23/08 PR	\$250.00	Paul A. Campellone	Officer
East Greenwich Republican Party	07/17/06 PR	\$50.00	Paul A. Campellone	Officer
Frank T. Caprio	03/13/08 PR	\$250.00	Michael J. Chazan	Officer
Patrick Lynch	12/11/09	\$500.00	Joseph DeAngelis	Officer
Elizabeth Roberts	10/17/09	\$100.00	Joseph DeAngelis	Officer
Daniel P. Connors	03/12/09 PR	\$150.00	Joseph DeAngelis	Officer
Pete F. Kilmartin	03/04/09 PR	\$150.00	Joseph DeAngelis	Officer
Patrick Lynch	02/09 PR	\$250.00	Joseph DeAngelis	Officer
Patrick C. Lynch	06/19/08 PR	\$500.00	Joseph DeAngelis	Officer
Donald L. Carcieri	06/24/08 PR	\$250.00	Joseph DeAngelis	Officer
Frank Caprio	12/21/09	\$500.00	Mark O. Denehy	Officer
Patrick Lynch	12/03/09	\$250.00	Mark O. Denehy	Officer
Ralph Mollis	12/01/09	\$150.00	Mark O. Denehy	Officer
Friends of Ed Pachio	04/28/08 PR	\$100.00	Mark O. Denehy	Officer
The Caprio Committee	03/25/09 PR	\$500.00	Mark O. Denehy	Officer
Friends of Patrick Lynch	02/21/09 PR	\$250.00	Mark O. Denehy	Officer
Friends of William Murphy	01/27/09 PR	\$200.00	Mark O. Denehy	Officer
Patrick Lynch	10/27/08 PR	\$250.00	Mark O. Denehy	Officer
Frank T. Caprio	12/17/08 PR	\$250.00	Mark O. Denehy	Officer
William J. Murphy	01/31/08 PR	\$200.00	Mark O. Denehy	Officer
Gordon D. Fox	02/28/08 PR	\$150.00	Mark O. Denehy	Officer
Frank T. Caprio	03/14/08 PR	\$500.00	Mark O. Denehy	Officer

Ralph Mollis	11/30/09	\$150.00	Joseph R. DiStefano	Officer
Ralph Mollis	04/14/09 PR	\$150.00	Joseph R. DiStefano	Officer
A. Ralph Mollis	02/26/08 PR	\$150.00	Joseph R. DiStefano	Officer
Frank T. Caprio	03/14/08 PR	\$250.00	Joseph R. DiStefano	Officer
Daniel J. Issa	05/13/08 PR	\$100.00	Joseph R. DiStefano	Officer
Patrick C. Lynch	06/11/08 PR	\$250.00	Joseph R. DiStefano	Officer
Friends of Ralph Mollis	11/25/09	\$150.00	Sarah T. Dowling	Officer
Joseph Fernandez for Attorney General	11/09/09	\$500.00	Sarah T. Dowling	Officer
Friends of Brian Kennedy	06/15/09 PR	\$125.00	Sarah T. Dowling	Officer
David Caprio	04/18/09 PR	\$300.00	Sarah T. Dowling	Officer
The Caprio Committee	03/24/09 PR	\$1,000.00	Sarah T. Dowling	Officer
Friends of Gordon Fox	02/24/09 PR	\$125.00	Sarah T. Dowling	Officer
Theresa Paiva Weed	02/01/09 PR	\$200.00	Sarah T. Dowling	Officer
Friends of William Murphy	01/27/09 PR	\$200.00	Sarah T. Dowling	Officer
William Walaska	08/15/08 PR	\$100.00	Sarah T. Dowling	Officer
Elizabeth Roberts	10/28/08 PR	\$100.00	Sarah T. Dowling	Officer
William J. Murphy	01/26/08 PR	\$200.00	Sarah T. Dowling	Officer
Frank T. Caprio Committee	02/08 PR	\$1,000.00	Sarah T. Dowling	Officer
Gordon D. Fox	02/26/08 PR	\$150.00	Sarah T. Dowling	Officer
Joseph A. Montalbano	03/13/08 PR	\$200.00	Sarah T. Dowling	Officer
M. Theresa Paiva-Weed	04/09/08 PR	\$150.00	Sarah T. Dowling	Officer
Brian P. Kennedy	05/14/08 PR	\$125.00	Sarah T. Dowling	Officer
Friends of Patrick C. Lynch	6/08 PR	\$250.00	Sarah T. Dowling	Officer
David A. Caprio	6/08 PR	\$1,000.00	Sarah T. Dowling	Officer
Donald L. Carcieri	6/08 PR	\$1,000.00	Sarah T. Dowling	Officer
Joseph A. Montalbano for Senate	6/08 PR	\$200.00	Sarah T. Dowling	Officer
William A. Walaska	6/08 PR	\$100.00	Sarah T. Dowling	Officer
Friends of Patrick C. Lynch	12/04/07 PR	\$250.00	Sarah T. Dowling	Officer
The Caprio Committee	03/24/09 PR	\$1,000.00	Joseph L. Dowling, Jr.	Spouse of Officer
Frank T. Caprio Committee	03/13/08 PR	\$1,000.00	Joseph L. Dowling Jr.	Spouse of Officer
Frank T. Caprio	12/09	\$200.00	Stephen Geanacopoulos	Officer

The Caprio Committee	03/27/09 PR	\$100.00	Stephen Geanacopoulos	Officer
The Caprio Committee	12/21/09	\$250.00	Stanley J. Kanter	Officer
Scott Rabideau	10/21/08 PR	\$50.00	Stanley J. Kanter	Officer
Frank T. Caprio Committee	03/10/08 PR	\$250.00	Stanley J. Kanter	Officer
Friends of Brian P. Kennedy	05/12/08 PR	\$125.00	Stanley J. Kanter	Officer
Donald L. Carcieri	07/10/06 PR	\$250.00	Stanley J. Kanter	Officer
Frank Caprio Committee	10/19/07 PR	\$250.00	Stanley J. Kanter	Officer
Patrick Lynch Committee	12/08/09	\$500.00	Richard A. Licht	Officer
Aponte Committee	12/08/09	\$200.00	Richard A. Licht	Officer
Costantino Committee	12/01/09	\$250.00	Richard A. Licht	Officer
Mollis Committee	12/01/09	\$150.00	Richard A. Licht	Officer
Patrick Lynch	06/19/09 PR	\$250.00	Richard A. Licht	Officer
Michael Rice	06/19/09 PR	\$50.00	Richard A. Licht	Officer
Elizabeth Roberts	06/19/09 PR	\$250.00	Richard A. Licht	Officer
John Desimone	06/19/09 PR	\$50.00	Richard A. Licht	Officer
Jamie Doyle	06/19/09 PR	\$50.00	Richard A. Licht	Officer
Patrick Lynch	06/19/09 PR	\$250.00	Richard A. Licht	Officer
Donald Lally	06/16/09 PR	\$100.00	Richard A. Licht	Officer
Dennis Algieri	06/14/09 PR	\$50.00	Richard A. Licht	Officer
Samuel Azzinaro	06/14/09 PR	\$50.00	Richard A. Licht	Officer
Paul Fogarty	06/14/09 PR	\$100.00	Richard A. Licht	Officer
Arthur handy	06/14/09 PR	\$100.00	Richard A. Licht	Officer
Brian Kennedy	06/14/09 PR	\$125.00	Richard A. Licht	Officer
J. Michael Lenihan	06/14/09 PR	\$75.00	Richard A. Licht	Officer
Karen Macbeth	06/14/09 PR	\$50.00	Richard A. Licht	Officer
Joseph McNamara	06/14/09 PR	\$100.00	Richard A. Licht	Officer
Mary Ann Shallcross	06/14/09 PR	\$50.00	Richard A. Licht	Officer
House Democratic Majority Committee	06/11/09 PR	\$100.00	Richard A. Licht	Officer
Nicholas Matiello	06/11/09 PR	\$75.00	Richard A. Licht	Officer
Frank Caprio Committee	06/04/09 PR	\$150.00	Richard A. Licht	Officer
Elizabeth Dennigan	06/04/09 PR	\$100.00	Richard A. Licht	Officer

Louis DiPalma	06/04/09 PR	\$100.00	Richard A. Licht	Officer
Christopher Maselli	06/04/09 PR	\$100.00	Richard A. Licht	Officer
Eileen Naughton	06/04/09 PR	\$50.00	Richard A. Licht	Officer
Peter Palumbo	06/04/09 PR	\$100.00	Richard A. Licht	Officer
William Sanbento	06/04/09 PR	\$100.00	Richard A. Licht	Officer
David Segal	06/04/09 PR	\$100.00	Richard A. Licht	Officer
Anastasia Williams	06/04/09 PR	\$100.00	Richard A. Licht	Officer
Raymond Gallison	06/03/09 PR	\$75.00	Richard A. Licht	Officer
John McCauley	06/03/09 PR	\$50.00	Richard A. Licht	Officer
Stephen Ucci	06/03/09 PR	\$100.00	Richard A. Licht	Officer
Agostinho Silva	06/02/09 PR	\$199.00	Richard A. Licht	Officer
Michael McCaffrey	05/28/09 PR	\$100.00	Richard A. Licht	Officer
Roberto DaSilva	05/18/09 PR	\$75.00	Richard A. Licht	Officer
Mary Ellen Goodwin	05/18/09 PR	\$100.00	Richard A. Licht	Officer
Michael Marcello	05/18/09 PR	\$50.00	Richard A. Licht	Officer
Roger Picard	05/18/09 PR	\$75.00	Richard A. Licht	Officer
Donna Walsh	05/18/09 PR	\$50.00	Richard A. Licht	Officer
Constantino Committee	05/08/09 PR	\$125.00	Richard A. Licht	Officer
Frank Ferri	05/04/09 PR	\$50.00	Richard A. Licht	Officer
Erin Lynch	05/04/09 PR	\$100.00	Richard A. Licht	Officer
Domonick Ruggiero	05/04/09 PR	\$100.00	Richard A. Licht	Officer
Deborah Ruggiero	05/04/09 PR	\$75.00	Richard A. Licht	Officer
William Walaska	05/04/09 PR	\$100.00	Richard A. Licht	Officer
David Caprio	04/24/09 PR	\$100.00	Richard A. Licht	Officer
John Carnevale	04/24/09 PR	\$50.00	Richard A. Licht	Officer
Frank Ciccione	04/24/09 PR	\$100.00	Richard A. Licht	Officer
Frank DeVall	04/24/09 PR	\$50.00	Richard A. Licht	Officer
Renee Menard	04/24/09 PR	\$100.00	Richard A. Licht	Officer
Joshua Miller	04/24/09 PR	\$100.00	Richard A. Licht	Officer
Edwin Pacheco	04/24/09 PR	\$100.00	Richard A. Licht	Officer
Peter Petrarca	04/24/09 PR	\$75.00	Richard A. Licht	Officer
Scott Pollard	04/20/09 PR	\$100.00	Richard A. Licht	Officer

Teresa Paiva Weed	04/20/09 PR	\$250.00	Richard A. Licht	Officer
Christopher Fierro	04/15/09 PR	\$50.00	Richard A. Licht	Officer
Hanna Gallo	04/15/09 PR	\$100.00	Richard A. Licht	Officer
A. Ralph Mollis	04/15/09 PR	\$150.00	Richard A. Licht	Officer
Juan Pichardo	04/15/09 PR	\$100.00	Richard A. Licht	Officer
John Tassoni	04/15/09 PR	\$100.00	Richard A. Licht	Officer
Teresa Paiva-Weed	04/20/09 PR	\$250.00	Richard A. Licht	Officer
Ralph Mollis	04/15/09 PR	\$150.00	Richard A. Licht	Officer
House Democratic Majority Committee	04/15/09 PR	\$100.00	Richard A. Licht	Officer
Beatrice Lanzi	04/06/09 PR	\$50.00	Richard A. Licht	Officer
Patrick O'Neil	04/06/09 PR	\$75.00	Richard A. Licht	Officer
Gregory Schadone	04/06/09 PR	\$50.00	Richard A. Licht	Officer
Kenneth Carter	04/02/09 PR	\$100.00	Richard A. Licht	Officer
Perry Committee	04/02/09 PR	\$100.00	Richard A. Licht	Officer
Joanne Giannini	03/31/09 PR	\$75.00	Richard A. Licht	Officer
Friends of Frank Caprio	03/26/09 PR	\$250.00	Richard A. Licht	Officer
Elaine Coderre	03/26/09 PR	\$100.00	Richard A. Licht	Officer
John M. Fernandez	03/26/09 PR	\$50.00	Richard A. Licht	Officer
Charlene Lima	03/19/09 PR	\$100.00	Richard A. Licht	Officer
Helio Melo	03/19/09 PR	\$75.00	Richard A. Licht	Officer
Patricia A. Serpa	03/19/09 PR	\$75.00	Richard A. Licht	Officer
Kenneth A. Vaudreuil	03/12/09 PR	\$75.00	Richard A. Licht	Officer
Daniel Daponte	03/19/09 PR	\$100.00	Richard A. Licht	Officer
Connors Committee	03/10/09 PR	\$150.00	Richard A. Licht	Officer
Amy Rice	03/10/09 PR	\$100.00	Richard A. Licht	Officer
Timothy Williamson	03/10/09 PR	\$100.00	Richard A. Licht	Officer
Kilmartin Committee	03/05/09 PR	\$150.00	Richard A. Licht	Officer
John F. McBurney, II	02/24/09 PR	\$250.00	Richard A. Licht	Officer
Patrick Lynch	02/21/09 PR	\$250.00	Richard A. Licht	Officer
Gordon Fox	02/21/09 PR	\$150.00	Richard A. Licht	Officer
Elizabeth Roberts	02/21/09 PR	\$250.00	Richard A. Licht	Officer

Teresa Paiva Weed	02/04/09 PR	\$200.00	Richard A. Licht	Officer
William Murphy	01/28/09 PR	\$200.00	Richard A. Licht	Officer
Gordon Fox	02/21/09 PR	\$150.00	Richard A. Licht	Officer
William Murphy	07/16/08 PR	\$100.00	Richard A. Licht	Officer
Stephen Alves	07/31/08 PR	\$250.00	Richard A. Licht	Officer
Erin Lynch	08/07/08 PR	\$250.00	Richard A. Licht	Officer
Theresa Paiva Weed	08/07/08 PR	\$150.00	Richard A. Licht	Officer
Mary Ellen Goodwin	08/14/08 PR	\$100.00	Richard A. Licht	Officer
Juan Pichardo	08/14/08 PR	\$100.00	Richard A. Licht	Officer
Amy Rice	08/14/08 PR	\$100.00	Richard A. Licht	Officer
Joseph A. Montalbano	09/02/08 PR	\$250.00	Richard A. Licht	Officer
Lawrence Signore	09/12/08 PR	\$100.00	Richard A. Licht	Officer
Joshua Miller	05/21/08 PR	\$100.00	Richard A. Licht	Officer
J. Russell Jackson	05/24/08 PR	\$100.00	Richard A. Licht	Officer
Michael McCaffrey	05/28/08 PR	\$100.00	Richard A. Licht	Officer
Mary Ellen Goodwin	05/28/08 PR	\$100.00	Richard A. Licht	Officer
Thomas Winfield	05/28/08 PR	\$100.00	Richard A. Licht	Officer
Frank Ferri	05/28/08 PR	\$100.00	Richard A. Licht	Officer
Senate Democrats	05/28/08 PR	\$100.00	Richard A. Licht	Officer
William J. Murphy	06/02/08 PR	\$250.00	Richard A. Licht	Officer
Stephen R. Ucci	06/02/08 PR	\$100.00	Richard A. Licht	Officer
Harold Metts	06/02/08 PR	\$100.00	Richard A. Licht	Officer
Peter Palumbo	06/02/08 PR	\$100.00	Richard A. Licht	Officer
Juan Pichardo	06/02/08 PR	\$100.00	Richard A. Licht	Officer
William San Bento	06/11/08 PR	\$100.00	Richard A. Licht	Officer
Edwin Pacheco	06/11/08 PR	\$100.00	Richard A. Licht	Officer
Deborah Ruggiero	06/11/08 PR	\$100.00	Richard A. Licht	Officer
Frank T. Caprio	06/12/08 PR	\$250.00	Richard A. Licht	Officer
David A. Caprio	06/12/08 PR	\$100.00	Richard A. Licht	Officer
Walter Felag	06/17/08 PR	\$75.00	Richard A. Licht	Officer
John J. DeSimone	06/17/08 PR	\$50.00	Richard A. Licht	Officer
Steven F. Smith	06/17/08 PR	\$50.00	Richard A. Licht	Officer

Patrick C. Lynch	06/18/08 PR	\$250.00	Richard A. Licht	Officer
The Caprio Committee	03/27/09 PR	\$150.00	E. Hans Lundsten	Officer
Donald L. Carcieri	06/24/08 PR	\$430.00	E. Hans Lundsten	Officer
Frank T. Caprio	03/13/08 PR	\$150.00	E. Hans Lundsten	Officer
Frank Caprio	12/22/09	\$250.00	Geoffrey W. Millsom	Officer
Friends of Patrick C. Lynch	12/05/07 PR	\$150.00	Geoffrey W. Millsom	Officer
Patrick Lynch	12/09/09	\$250.00	Elizabeth M. Noonan	Officer
Patrick Lynch	09/30/09	\$250.00	Elizabeth M. Noonan	Officer
Josh Miller	04/22/09 PR	\$150.00	Elizabeth M. Noonan	Officer
John F. McBurney, III	02/25/09 PR	\$250.00	Elizabeth M. Noonan	Officer
M. Teresa Paiv Weed	02/04/09 PR	\$200.00	Elizabeth M. Noonan	Officer
William J. Murphy	01/29/09 PR	\$200.00	Elizabeth M. Noonan	Officer
Frank T. Caprio	10/16/08 PR	\$500.00	Elizabeth M. Noonan	Officer
Patrick Lynch	11/24/08 PR	\$250.00	Elizabeth M. Noonan	Officer
Patrick Lynch	12/16/08 PR	\$250.00	Elizabeth M. Noonan	Officer
William Murphy	01/31/08 PR	\$200.00	Elizabeth M. Noonan	Officer
Gordon Fox	02/27/08 PR	\$150.00	Elizabeth M. Noonan	Officer
Joseph Montalbano	03/04/08 PR	\$200.00	Elizabeth M. Noonan	Officer
Frank Caprio Committee	03/10/08 PR	\$250.00	Elizabeth M. Noonan	Officer
Patrick Lynch	06/18/08 PR	\$250.00	Elizabeth M. Noonan	Officer
Patrick C. Lynch	12/07 PR	\$250.00	Elizabeth M. Noonan	Officer
Patrick C. Lynch	12/07 PR	\$500.00	Elizabeth M. Noonan	Officer
Frank Caprio	12/21/09	\$500.00	Patricia K. Rocha	Officer
William J. Murphy	01/31/08 PR	\$150.00	Patricia K. Rocha	Officer
Joseph A. Montalbano	03/13/08 PR	\$250.00	Patricia K. Rocha	Officer
Frank T. Caprio	03/14/08 PR	\$200.00	Patricia K. Rocha	Officer
Donald L. Carcieri	6/08 PR	\$200.00	Patricia K. Rocha	Officer
Patrick C. Lynch	6/08 PR	\$500.00	Patricia K. Rocha	Officer
Friends of Patrick C. Lynch	12/04/07 PR	\$250.00	Patricia K. Rocha	Officer
Donald L. Carcieri	06/23/08 PR	\$250.00	Kristen W. Sherman	Officer
Patrick Lynch	06/09 PR	\$200.00	Lori C. Silveira	Officer
Senator Tassoni	04/22/09 PR	\$150.00	Lori C. Silveira	Officer

Joseph M.Fernandez	03/09 PR	\$50.00	Lori C. Silveira	Officer
Gordon Fox	02/28/09 PR	\$150.00	Lori C. Silveira	Officer
Patrick Lynch	02/23/09 PR	\$250.00	Lori C. Silveira	Officer
Teresa Paiva-Weed	02/05/09 PR	\$100.00	Lori C. Silveira	Officer
Theresa Paiva Weed	08/13/08 PR	\$100.00	Lori C. Silveira	Officer
John T. Tassoni	10/06/08 PR	\$50.00	Lori C. Silveira	Officer
Patrick C. Lynch	11/25/08 PR	\$250.00	Lori C. Silveira	Officer
House Leadership	12/10/08 PR	\$100.00	Lori C. Silveira	Officer
Patrick C. Lynch	06/08 PR	\$250.00	Lori C. Silveira	Officer
Daniel P. Connors	04/08 PR	\$150.00	Lori C. Silveira	Officer
Frank Caprio	12/15/09	\$250.00	Robert I. Stolzman	Officer
Steven Costantino	12/02/09	\$250.00	Robert I. Stolzman	Officer
A. Ralph Mollis	12/01/09	\$150.00	Robert I. Stolzman	Officer
Patrick Lynch	06/25/09 PR	\$750.00	Robert I. Stolzman	Officer
Brian Kennedy	06/15/09 PR	\$125.00	Robert I. Stolzman	Officer
Steve Costantino	05/13/09 PR	\$175.00	Robert I. Stolzman	Officer
Dominick Ragerio	05/04/09 PR	\$100.00	Robert I. Stolzman	Officer
Erin Lynch	05/04/09 PR	\$100.00	Robert I. Stolzman	Officer
Ed Pacheo	04/27/09 PR	\$100.00	Robert I. Stolzman	Officer
Frank Caprio	03/30/09 PR	\$750.00	Robert I. Stolzman	Officer
Dan Connors	03/12/09 PR	\$150.00	Robert I. Stolzman	Officer
Amy Rice	03/12/09 PR	\$100.00	Robert I. Stolzman	Officer
Gordon Fox	02/24/09 PR	\$150.00	Robert I. Stolzman	Officer
Patrick Lynch	02/24/09 PR	\$250.00	Robert I. Stolzman	Officer
Frank Caprio	02/18/09 PR	\$125.00	Robert I. Stolzman	Officer
William Murphy	01/29/09 PR	\$200.00	Robert I. Stolzman	Officer
Senate President Montalbano	09/03/08 PR	\$250.00	Robert I. Stolzman	Officer
Patrick Lynch	11/24/08 PR	\$250.00	Robert I. Stolzman	Officer
William Murphy	01/31/08 PR	\$200.00	Robert I. Stolzman	Officer
Gordon Fox	02/26/08 PR	\$150.00	Robert I. Stolzman	Officer
Joseph Montalbano	03/05/08 PR	\$200.00	Robert I. Stolzman	Officer
Frank T. Caprio	03/13/08 PR	\$1,000.00	Robert I. Stolzman	Officer

Brian Kennedy	05/12/08 PR	\$125.00	Robert I. Stolzman	Officer
Dominick Ruggerio	05/12/08 PR	\$100.00	Robert I. Stolzman	Officer
Daniel Issa	05/13/08 PR	\$100.00	Robert I. Stolzman	Officer
Steven Costantino	05/13/08 PR	\$125.00	Robert I. Stolzman	Officer
William Walaska	05/13/08 PR	\$100.00	Robert I. Stolzman	Officer
Patrick Lynch	06/18/08 PR	\$500.00	Robert I. Stolzman	Officer
Donald L. Carcieri	06/24/08 PR	\$1,000.00	Robert I. Stolzman	Officer
Patrick C. Lynch	12/04/07 PR	\$250.00	Robert I. Stolzman	Officer
Frank Caprio	12/21/09	\$500.00	John A. Tarantino	Officer
Friends of Erin Lynch	05/06/09 PR	\$100.00	John A. Tarantino	Officer
The Caprio Committee	03/30/09 PR	\$250.00	John A. Tarantino	Officer
Paiva-Weed for Senate	02/04/09 PR	\$200.00	John A. Tarantino	Officer
Friends of William J. Murphy	01/29/09 PR	\$200.00	John A. Tarantino	Officer
William J. Murphy	01/28/08 PR	\$200.00	John A. Tarantino	Officer
Frank T. Caprio Committee	03/04/08 PR	\$250.00	John A. Tarantino	Officer
Joseph A. Montalbano	03/13/08 PR	\$200.00	John A. Tarantino	Officer
Donald L. Carcieri	06/23/08 PR	\$500.00	John A. Tarantino	Officer
Frank Caprio	12/23/09	\$250.00	Jeffrey Techentin	Officer
Frank T. Caprio	12/31/09	\$500.00	David A. Wollin	Officer
Frank T. Caprio	03/14/08 PR	\$250.00	David A. Wollin	Officer
Donald L. Carcieri	06/08 PR	\$500.00	David A. Wollin	Officer
Previously Reported: \$60,479.00				
This Report: \$11,800.00				

319007_8

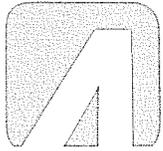
Attachment

11. If yes, what is the name of the state agency to which you are providing goods and/or services

- Department of Administration
- Joint Committee on Legislative Services
- Narragansett Bay Commission
- Office of the General Treasurer
- Rhode Island Airport Corporation
- Rhode Island Board of Governors For Higher Education
- Rhode Island Convention Center Authority
- Rhode Island Department of Corrections
- Rhode Island Economic Development Corporation
- Rhode Island Health and Educational Building Corporation
- Rhode Island Lottery Commission
- Rhode Island Public Transit Authority
- Rhode Island Resource Recovery Corporation
- Rhode Island Senate
- Rhode Island State Investment Commission
- Rhode Island Turnpike & Bridge Authority
- Secretary of State
- State of Rhode Island

18. What is the total gross amount, in dollars, of contracts entered into during the said preceding 24 month period between the contracting party and ALL state agencies? Include all contracts regardless of amount. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, **please so state by marking "Yes."** NOTE: If this is a semi annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report the total gross amount, in dollars, of contracts entered into from the date of execution of the last contract entered into during such semi-annual period to the day which is 24 months prior to the first day of the same semi-annual period

<u>Agency</u>	<u>Fees Received</u> <u>07/01/07 – 12/31/09</u>
RI Economic Development Corporation	\$ 974,950.00
RI Airport Corporation.....	604,107.99
RI Resource Recovery Corporation	285,475.75
RI Convention Center Authority	87,622.50
Rhode Island Senate	-0-
Secretary of State	110,978.68
Board of Governors.....	223,717.05
State of Rhode Island	160,308.75
RI Department of Corrections	164,376.95
RI Lottery Commission	-0-
Joint Committee of Legislative Services.....	-0-
Narragansett Bay Commission.....	13,491.75
Office of the General Treasurer	7,250.00
RI Turnpike & Bridge Authority.....	121,382.25
RI Public Transit Authority.....	103,539.00
RI Health and Education Building Corporation	84,922.68
Department of Administration	-0-
RI State Investment Commission	64,531.60
	<u>\$ 3,006,694.95</u>



RHODE ISLAND
BOARD OF ELECTIONS

2009 JAN 22 AM 10: 27

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
BOARD OF ELECTIONS
CAMPAIGN FINANCE DIVISION
50 Branch Avenue Providence, Rhode Island 02904

TEL. (401) 222-2056 (TDD: 222-2239)

**NOTICE AND CERTIFICATION OF STATE VENDOR
FOR RELIEF FROM MULTIPLE FILINGS**

The undersigned certifies to the State Board of Elections under Section 17-27-3 of the General Laws of Rhode Island that it has reasonable cause to believe that for the calendar year 2009, it will be required to file two or more affidavits, and that this notice and certification is being filed for the purpose of permitting the undersigned to report on a semi-annual basis to the State Board of Elections for the aforementioned calendar year.

AETNA BRIDGE COMPANY

State Vendor

Robert K. Barber
CFO

Note:

State vendors are permitted to file semi-annual reports with the Board of Elections if they reasonably believe that they otherwise would be required to file multiple reports within any single calendar year. In order to avail themselves of this opportunity, state vendors are required to file this Notice and Certification with the State Board of Elections for each calendar year no later than the date by which the first report to the Board of Elections for such calendar year would otherwise be due, i.e. 60 days from the date of execution of a contract with a state agency or in the case of unwritten contracts, within 60 days after the state vendor is first notified that the contract has reached the \$5000 reporting threshold.

STATE BOARD OF ELECTIONS
CAMPAIGN FINANCE DIVISION

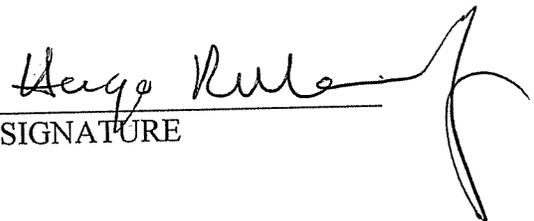
The undersigned Corporate Officer of Aetna Bridge Company, or any parent subsidiary, division of, (Contracting Party) or affiliated company of such company, states that to the best of his knowledge, neither he nor his spouse, have made any political contributions since June 30, 2008 other than those listed below. The undersigned also acknowledges that Aetna Bridge Company is relying on this statement for purposes of filing an affidavit of State Vendor under Chapter 17-27-3 of the General Laws of the State of Rhode Island.

POLITICAL CONTRIBUTIONS
(JULY – DEC. 2008)

NAME

AMOUNT

NONE


SIGNATURE

Hugo R. Mainelli Jr.
President

01-20-09
DATE

This covers all amendments on previously reported Rhode Island Contracts # 2000-CB-039, 2000-CH-014, 2001-CB-009, 2000-CB-063, 2001-CB-045, 2001-CB-030, 2002-CB-018, 2002-CH-031, 2002-CB-097, 2002-CM-095, 2003-CB-032, 2003-CB-063, 64, 2004-CB-084, 2004-CB-078, 60, 34, 012, 2005-CB-043, 047, 2005-CH-047, 2005-CB-025, 43,103, 2005-CB-057, 2006 CH-069, 2006-CH-011, 2006-CH-072, 2006-CB-076 and RITBA contract Number's 01-6, 03-03, 03-02, 05-6, 05-12, 08-04, 2007-CB-030, 2007-CB-044, 2007-CB-031, 2008-CB-005, 2008-CB-074

The undersigned Corporate Officer of Aetna Bridge Company, or any parent subsidiary, division of, (Contracting Party) or affiliated company of such company, states that to the best of his knowledge, neither he nor his spouse, have made any political contributions since June 30, 2008 other than those listed below. The undersigned also acknowledges that Aetna Bridge Company is relying on this statement for purposes of filing an affidavit of State Vendor under Chapter 17-27-3 of the General Laws of the State of Rhode Island.

POLITICAL CONTRIBUTIONS
(JULY – DEC. 2008)

NAME

AMOUNT

NONE

0

H. Mainelli III

SIGNATURE

Hugo R. Mainelli III
Secretary, Treasurer

1/20/09

DATE

This covers all amendments on previously reported Rhode Island Contracts # 2000-CB-039, 2000-CH-014, 2001-CB-009, 2000-CB-063, 2001-CB-045, 2001-CB-030, 2002-CB-018, 2002-CH-031, 2002-CB-097, 2002-CM-095, 2003-CB-032, 2003-CB-063, 64, 2004-CB-084, 2004-CB-078, 60, 34, 012, 2005-CB-043, 047, 2005-CH-047, 2005-CB-025, 43,103, 2005-CB-057, 2006 CH-069, 2006-CH-011, 2006-CH-072, 2006-CB-076 and RITBA contract Number's 01-6, 03-03, 03-02, 05-6, 05-12, 08-04, 2007-CB-030, 2007-CB-044, 2007-CB-031, 2008-CB-005, 2008-CB-074

Public Policy

Bank of America 
RHODE ISLAND
BOARD OF ELECTIONS
2009 JUL 27 A 9:42

July 24, 2009

State of Rhode Island
Board of Elections
Campaign Finance Division
50 Branch Avenue
Providence, RI 02904

Attn: Ms. Cynthia Trombetti

Re: Affidavit of State Vendor Under Chapter 17-27 of the Rhode Island General Laws

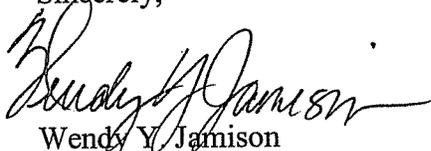
Dear Ms. Trombetti:

Enclosed please find the January 1, 2009 - June 30, 2009 Affidavit for Bank of America Corporation under Chapter 17-27 of the General Laws of Rhode Island, with Exhibits. Also enclosed is a Notice and Certification for Relief from Multiple filings form for 2009.

I trust that the enclosed materials provide the information required by the Board of Elections under the State Vendor Law.

Please call me at 302.432.0956 if you require any further information regarding the aforementioned Affidavit and Exhibits.

Sincerely,



Wendy Y. Jamison
Senior Vice President
Public Policy

Enclosures

Tel: 302.432.0956 · Fax: 302.432.0039

Bank of America, DE5-001-02-07
1100 North King Street, Wilmington, DE 19884

Recycled Paper

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
Board of Elections, Campaign Finance Division

**AFFIDAVIT OF BANK OF AMERICA CORPORATION (FORMERLY
FLEETBOSTON FINANCIAL CORPORATION)
UNDER CHAPTER 17-27 OF THE
GENERAL LAWS OF RHODE ISLAND**

I, Wendy Y. Jamison, on oath do hereby make the following statements and provide the following information:

1. I am making this Affidavit in my capacity as Senior Vice President of Public Policy, for Bank of America Corporation and all of its subsidiaries. Going forward Merrill Lynch and Co. Inc. and Merrill Lynch Pierce, Fenner & Smith are included as legal subsidiaries under Bank of America Corporation. Bank of America's main office is located at 100 N. Tryon Street, Charlotte, NC, 28255. My telephone number is 302.432.0956.

2. Bank of America has reasonable cause to believe that it would be required to make two or more Affidavit filings under Chapter 17-27. Therefore, enclosed is a Notice and Certification of State Vendor for Relief from Multiple Filings dated January 27, 2009 by Bank of America. We have elected a semi-annual filing schedule attached hereto as **Exhibit A**.

3. In all instances the contracting party is Bank of America or certain of its subsidiaries ("together Bank of America and its subsidiaries") identified herein and not the individual who actually signed a contract as such contract exists in writing.

4. Any contract between Bank of America and the State of Rhode Island would be signed by a related party, in his or her capacity as an officer of the particular contracting department or subsidiary as identified below.

5. In order to gather the information required to make these statements, it was necessary to review corporate directories to determine each of the officers who should be surveyed with respect to this Affidavit. Each such officer was requested to provide an affirmative response to a questionnaire concerning reportable political contributions.

6. After a review of Bank of America's corporate directories, a survey was made for senior officers concerning the making of political contributions. The officers surveyed range from Senior Vice President Level and above of Bank of America and/or its affected subsidiaries. Officers were asked to provide information as to contributions made to a candidate during the reporting period January 1, 2009 through June 30, 2009. The terms "candidate" and "contribution" as used herein have the same meaning as the definitions set forth in RIGL 17-25-3.

7. In order to properly advise our officers, the questionnaire referenced in Paragraph 5 set out the requirements for the filing of an Affidavit with the State of Rhode Island and an explanation of what a state vendor relationship would be, and the obligation

of the officers to report political contributions individually, by their spouse and/or minor children. The officers were informed of the legal obligations to file an Affidavit concerning this information. A summary of the responses from officers who said they made reportable contributions is contained in **Exhibit B**. (Contributions previously reported are included on this Exhibit to provide the required 24-month history and such contributions are noted "PR".)

8. The information supplied in this Affidavit is accurate to the best of my knowledge, based upon a best effort survey as described in paragraphs 5, 6, and 7 above. Given that Bank of America is a large organization with a multitude of complex relationships with the State of Rhode Island and its subdivisions, our survey may not have identified all Bank of America contractual relationships with the State of Rhode Island. Should additional relationships be identified prior to the next regular semi-annual reporting period, this Affidavit will be supplemented.

9. Based upon the research conducted, we have determined that the following contractual relationships exist with the State of Rhode Island. The contracts were entered into during the period January 1, 2009 through June 30, 2009 or remained in force from a previous effective date and have a dollar value of \$5,000 or more or when aggregated reach the \$5,000 threshold.

10. The subparagraphs below provide details as to the contracting party, the agency of the State of Rhode Island with which the contract was made, the date of the contract, the expiration date of the contract (where applicable), the amount billed under the contract, and a description of the goods and services provided to the State of Rhode Island under the contract.

- A. RI Middle Market Lending (f/k/a Corporate Banking) financed with the Economic Development Corporation 3/6/2000 for the Fidelity Bond and Fleet Bond. (original documents included in 1-1-01 affidavit) Fidelity Bond - Fleet National Bank bought a \$25MM, 25 year, taxable development revenue bond (Fidelity Bond) issued by the Rhode Island Economic Development Corp, with a maturity date of 5/01/21.
- B. Fleet Bond – Fleet National Bank bought a \$11MM, 30 year, taxable development revenue bond (Fleet Bond) issued by the Rhode Island Economic Development Corp, with a maturity date of 5/01/27. Fleet National Bank (FNB) financed through the Economic Development Corporation, (EDC) dated 1/25/02, a 25 year, \$10MM, Moral Obligation Taxable Development Revenue Bond (MOB) as Phase II parity issue from the existing MOB that Fleet issued in 1996. Maturity date of 2027.
- C. A group of Rhode Island banks, led by former Fleet National Bank's RI Middle Market Lending (f/k/a Corporate Banking) provided a 10 years, \$12.55MM term loan to RIHMFC in May 2002. Fleet's share of the loan was \$3.4MM. The proceeds of the loan provided \$10MM for LMI housing purposes through RIHMFC and \$2.5MM to Travelers' Aid to support their relocation to the YMCA building. The term maturity date is 5/15/12.
- D. Former Fleet National Bank/Bank of America Corporation, RI Middle Market Banking provided a 5 year, \$3 MM direct term loan to Rhode Island Industrial Recreational Building Authority with payout of defaulted AKL Flexotech bond issue. The effective date is 7/23/2004 and the expiration date is 7/21/2009.
- E. Former Fleet Global Services provides Fleet Image Viewer service access to and use of certain Fleet and/or third party computer software programs and documentation and manuals provided by Fleet to the State of Rhode Island.
- F. Former Fleet National Bank ("FNB"), a subsidiary of FleetBoston, are currently parties to the following service agreement:
- (i.) FNB's Cash Management Department continues to provide comprehensive banking services to the State of Rhode Island and the University of Rhode Island, such as demand deposit services, advanced clearing services, account reconciliation services, coin and currency services and Treasury Express services. The charges for the services provided are on a per item basis related to the level of activity in each account. The State and the University of Rhode Island receive a monthly activity statement detailing these charges. Both parties have been setup on an analysis basis, leaving compensating balances in lieu of payment for services. The signed contracts submitted with the July 1995 filing to the Board remain in place for the State of Rhode Island.
 - (ii) FNB's Institutional Custody Department continues to provide custodial services to the State of Rhode Island with respect to unclaimed property (securities) escheated by the State. The services are governed by an agreement dated June 13, 1989. Annual revenues for this service are approximately \$22,000. A copy of the Custody Agreement was included in the January 1998 filing with the Board.
 - (iii) FNB continues to provide wholesale lockbox services to the State of Rhode Island and the State of Rhode Island Boater Registrations (Dept. of

Environmental Mgmt.) pursuant to the Service Agreements submitted as part of the July 1998 filing to the Board.

- G. Banc of America Securities (BAS) (f/k/a Quick & Reilly, Inc.), a subsidiary of Bank of America Corporation, has entered into four bond purchase agreements with the Rhode Island Health and Educational Building Corporation as follow:
- Health Facilities Revenue Bonds (Thundermist Health Center – Series 2004) valued at \$6,300,000, effective date 2/9/04, expiration date 2/34.
 - Variable Rate Demand Industrial Development Revenue Bonds (Hall Real Estate, LLC – Series 2004) valued at \$4,270,000, effective date 2/24/04, expiration date 2/21.
 - Health Financing Revenue Bonds (Newport Hospital – Series 2004) valued at \$10,000,000 dated 3/8/04, expiration 3/14.
 - Educational Institution Revenue Bonds (The Pennfield School – Series 2004) valued at \$5,000,000, effective date 3/24/04, expiration date 9/2034.
- H. Banc of America Securities (BAS), a subsidiary of Bank of America Corporation, has entered into two bond purchase agreements with the Rhode Island Economic Development Corporation and the Rhode Island Health and Educational Building Corporation as follow:
- Variable Rate Demand Bonds (YMCA of Pawtucket, Inc. - Series 2004) valued at \$4,400,000, effective date 10/13/2004, expiration date 10/2034.
 - Fixed Rate Education Facilities Revenue Bonds (The Groden Center, Inc. – Series 2004) valued at \$8,000,000, effective date 10/28/2004, expiration date 10/2034.
- I. Banc of America Securities (BAS), a subsidiary of Bank of America Corporation, has entered into a bond purchase agreement with the Rhode Island Health and Educational Building Corporation (RIHEBC) as follows:
- Fixed rate (30 years) Tax-Exempt Bond Issue Educational Facility Revenue Bonds Catholic School Program Issue – Series 2005A valued at \$23,100,000, effective date 4/27/2005, expiration date 4/1/2035.
- J. Banc of America Securities (BAS), a subsidiary of Bank of America Corporation, has entered into a bond purchase agreement with the Rhode Island Health and Educational Building Corporation (RIHEBC) as follows:
- Fixed rate (14 year) Tax-Exempt Bond Issue. Medical/Hospital Facility Revenue Bonds (Refunding) Landmark Medical Center Issue – Series 2005 valued at \$14,125,000, effective date 8/1/2005, expiration date 10/1/2019.
- K. Bank of America (FKA Fleet National Bank) issued an irrevocable, transferable Letter of Credit in the amount of \$12MM to be issued to Citizens (Trustee). The RI Economic Development Corp issued tax exempt Revenue Bond (McCoy Stadium Issue) in the amount of \$11.8MM. The bond and letter of credit matures January 9, 2008.

- L. Banc of America Securities (BAS), a subsidiary of Bank of America Corporation has entered into a bond purchase agreement with the Rhode Island Health and Educational Building Corporation, CVS Highlander Charter School Issues – Series 2007 in the amount of \$7.0M effective date 9/19/2007.
- N. Bank of America, N.A., a subsidiary of Bank of America Corporation has entered into a standby bond purchase Agreement with the RI Housing and Mortgage Finance Corporation in the amount of \$25 MM effective date 3/20/08, expiration date 3/20/2011.
- O. Banc of America Securities, LLC, a subsidiary of Bank of America Corporation has entered into a bond purchase agreement with the RI Health and Educational Building Corporation, Home & Hospice Care of RI Issue – Series 2008 in the amount of \$12,600,000. Effective date 2/07/2008.
- P. Banc of America Securities, LLC, a subsidiary of Bank of America Corporation has entered into a bond purchase agreement with the RI Economic Development Corporation, RI Philharmonic Orchestra Issue – Series 2008 in the amount of \$7,000,000. Effective date 3/19/2008.
- Q. Banc of America Securities, LLC, a subsidiary of Bank of America Corporation has entered into two bond purchase agreements with the Rhode Island Health and Educational Building Corporation (Roger Williams University) as follows:
- Roger Williams University Series 2008A valued in the amount of \$22,935,000, effective date 7/02/2008.
 - Roger Williams University, Issue Series 2008B valued in the amount of \$38,000,000, effective date 8/27/2008.

IN WITNESS WHEREOF, I have hereunto set my hand and seal as of this 24th day of July, 2009.

BANK OF AMERICA CORPORATION

Wendy A. Jamison

Wendy A. Jamison, Senior Vice President, Public Policy

STATE OF DELAWARE
NEW CASTLE COUNTY

Subscribed and sworn to or affirmed before me, an officer authorized to administer oaths in the jurisdiction where this Affidavit was made on *this 24th day of July, 2009.*



Kimberly S. Dicks
NOTARY PUBLIC
My Commission Expires: *Feb 10, 2011*

EXHIBIT A

**NOTICE AND CERTIFICATION OF STATE VENDOR
FOR RELIEF FROM MULTIPLE FILINGS**

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
BOARD OF ELECTIONS
CAMPAIGN FINANCE DIVISION
50 Branch Avenue, Providence, Rhode Island 02904

TEL. (401) 222-2056 [TDD: 222-2239]

**NOTICE AND CERTIFICATION OF STATE VENDOR
FOR RELIEF FROM MULTIPLE FILINGS**

The undersigned certifies to the State Board of Elections under Section 17-27-3 of the General Laws of Rhode Island that it has reasonable cause to believe that for the calendar year 2009, it will be required to file two or more affidavits, and that this notice and certification is being filed for the purpose of permitting the undersigned to report on a semi-annual basis to the State Board of Elections for the aforementioned calendar year.

BANK OF AMERICA CORPORATION
State Vendor

Date: January 27, 2009

NOTE:

State Vendors are permitted to file semi-annual reports with the Board of Elections if they reasonably believe that they otherwise would be required to file multiple reports within a single calendar year. In order to avail themselves of this opportunity, state vendors are required to file this Notice and Certification with the State Board of Elections for such calendar year no later than the date by which the first report to the Board of Elections for such calendar year would otherwise be due, i.e., 60 days from the date of execution of a contract with a state agency or in the case of unwritten contracts, within 60 days after the state vendor is first notified that the contract has reached the \$5,000 reporting threshold.

EXHIBIT B

CONTRIBUTIONS BY BANK OF AMERICA OFFICERS

CONTRIBUTIONS BY BANK OF AMERICA OFFICERS
24 Month Period: June 1, 2007 – June 30, 2009

	Name(s) of Contributor	Recipient/Campaign	Date of Contrib.	Amount
PR	Bolusky, David (husband of Bolusky, Diane)	Steve Waluk	September, 2007	\$ 200
PR	Hatfield, William S.	Reed Committee (Jack Reed)	June 21, 2007	\$ 1,000
PR	Johnson, Diane L & Johnson, V. Duncan	Reed Committee (Jack Reed)	June 14, 2007	\$ 1,000
PR	McGrath, Kevin G	William Flately	September, 2007	\$ 100
PR	McGrath, Kevin	John Patrick Shanley	September, 2007	\$ 50
	Alfonso, Antonio Jr. (husband of Alfonso, Rita)	The Cicilline Committee	March 3, 2008	\$1,000
PR	Vales, Manuel J IV (husband of Vales, Mary)	Patrick Lynch	June 30, 2008	\$ 500
PR	McGrath, Kevin G	Richard Angeli Jr	June, 2008	\$ 50
PR	DePetrillo, Russell (husband of DePetrillo, Rhonda)	Keith Hartley	August, 2008	\$ 100
PR	Urso, David (husband of Urso, Donna)	Brian McCuin	September 12, 2008	\$ 100
PR	DePetrillo, Rhonda DePetrillo, Russell (husband of DePetrillo, Rhonda)	Keith Hartley	October, 2008	\$ 75
PR	DePetrillo, Russell (husband of DePetrillo, Rhonda)	Robert Russo	November, 2008	\$ 35
PR	Vales IV, Manuel J (husband of Vales, Mary)	Patrick Lynch	November 30, 2008	\$ 500
New	Bennett, Oliver	The Cicilline Committee (Mayor David Cicilline)	March 30, 2009	\$ 500
New	McQueen, Donald McQueen, Virginia (wife of McQueen, David)	Lincoln Chaffee for Governor	June 8, 2009	\$ 150
New	Bennett, Oliver	Lincoln Chaffee	June 18, 2009	\$ 200
New	Vales IV, Manuel J (husband of Vales, Mary)	Frank Caprio	June 24, 2009	\$ 300
New	Bennett, Oliver	Lincoln Chaffee	June 25, 2009	\$ 200
New	Stevenson, C. Scott	Lincoln Chaffee	June, 2009	\$ 50
PR	= Previously Reported			

State of Rhode Island and Providence Plantations
BOARD of ELECTIONS
CAMPAIGN FINANCE DIVISION
50 Branch Avenue, Providence Rhode Island 02904
TEL (401) 222-2345

RHODE ISLAND
BOARD OF ELECTIONS

2009 MAY 29 AM 10: 24

AFFIDAVIT OF STATE VENDOR
UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Statement Under Oath of Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information

1. Name, address and telephone number of person making this affidavit

MR. Norman Robert Beretta SR.
Name of Person Making this Affidavit
13 Walcott Avenue
Address1

Address2
Jamestown, RI 02838
City State Zip
401 423-1119
Phone

2. Within the 24 month period preceding the date of the contract with the state agency, or with respect to the contracts that are not in writing within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, any member of the general assembly or candidate for general assembly, or any R.I. political party? (includes an individual contracting party, or business entity on behalf of a related party, or a related party reporting on his/her own behalf) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

Yes No

3. If the answer to question 2 is "yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributins even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

Name of Officer, Candidate or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
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4. What is the date of execution of the written contract requiring filing of this affidavit
[Such contract must be filed with this affidavit]

03/26/2009

5. If the contract requiring the filing of this affidavit is not in writing,
when were you first notified that the contract had reached the \$5000 threshold?

6. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

<u>Rhode Island Housing</u> Agency	<u>Appraisal Work</u> Goods / Services	12/31/2009 Contract Expire Date
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7. Is this affidavit made by you as an individual or on behalf of a business entity?

Individual

Business Entity

8. If on behalf of a business entity, what is the name and nature of the business entity?

Beretta Realty Co.

Business Name

FEIN#

Corporation

Partnership

Sole Proprietorship

Other Business Entity

9. If "Other Business Entity" is checked above what is the nature of such business entity?

10. Are you a state vendor because you are the contracting party in a contract with a state Yes [x] No []
11. If yes, what is the name of the state agency to which you are providing goods and/or Rhode Island Housing
12. If the answer to question 10 is "no", are you a state vendor because you are related to a contracting Yes [] No [x]
13. If the answer to question 12 is "yes", what is the nature of your relationship with the contracting party?
14. If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.

Name of Contracting Party _____ State Agency _____

Address1 _____

Address2 _____

City _____ State _____ Zip _____

15. If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable political contributions made by such related parties? Yes [x] No []
16. If the answer to question 15 is "no", have you notified all such parties of the requirements of the law and of their obligation to file this affidavit if they have made reportable political contributions? Yes [] No [x]
17. If the answer to question 16 is "yes", provide the names and addresses of related parties who might be required to file this affidavit and the nature of such relationship.

Name	Address	Relationship
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18. What is the total gross amount in dollars, of contracts entered into during the said preceding 24 month period between the contracting period and ALL state agencies? Include all contracts regardless of amount. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state by marking "Yes." NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

Yes [] No []

State Agency	Date of Contract	Amount of Contract	FEIN #	P.O. #
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Total Gross Amount:

State of: Rhode Island
 County of: Providence

Frank R. Burtta
 Signature of Person making the Affidavit

Subscribed and sworn to or Affirmed before me, an official authorized to administer oaths in the jurisdiction where this affidavit was made, on this

28 day of May 2009

Michael J. Glynn
 Signature of Notary or Other Person Authorized to Administer Oaths 3/14/2013

MICHAEL J. GLYNN
 Printed Name of Notary or Other Person Authorized to Administer Oaths

CONTRIBUTIONS

1/24/08 - Friends of William Murphy --	\$100.00
2/8/08 - A. Ralph Mollis Comm. --	\$100.00
2/27/08 - Carcieri for Gov. Comm. --	\$500.00
3/25/08 - Friends of Patrick Lynch --	\$100.00
4/3/08 - Cote for Senate --	\$50.00
6/08/08 - Friends of Patrick Lynch --	\$150.00
6/23/08 - Carcieri for Gov. Comm. --	\$200.00
8/13/08 - Friends of Patrick Lynch --	\$300.00
11/3/08 - Friends of Patrick Lynch --	\$150.00
12/15/08 - Friends of Patrick Lynch --	<u>\$300.00</u>
Total	\$1,950.00

State of Rhode Island and Providence Plantations
BOARD of ELECTIONS
CAMPAIGN FINANCE DIVISION
50 Branch Avenue, Providence Rhode Island 02904
TEL (401) 222-2345

RHODE ISLAND
BOARD OF ELECTIONS

2009 MAY 29 AM 10: 24

AFFIDAVIT OF STATE VENDOR
UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Statement Under Oath of Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information

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Jamestown, RI 02838
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Yes [x] No []

3. If the answer to question 2 is "yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributions even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

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5. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold?

6. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

Rhode Island Agency Appraisal Work Goods / Services 12/31/2009 Contract Expire Date

7. Is this affidavit made by you as an individual or on behalf of a business entity? Individual [] Business Entity []

8. If on behalf of a business entity, what is the name and nature of the business entity?

Beretta Realty Co.
Business Name FEIN#
Corporation [] Partnership [] Sole Proprietorship [] Other Business Entity []

9. If "Other Business Entity" is checked above what is the nature of such business entity?

10. Are you a state vendor because you are the contracting party in a contract with a state Yes [x] No []
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Address1 _____

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City _____ State _____ Zip _____

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Yes [] No []

State Agency	Date of Contract	Amount of Contract	FEIN #	P.O. #
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Total Gross Amount:

State of: Rhode Island
 County of: Providence

[Signature]
 Signature of Person making the Affidavit

Subscribed and sworn to or Affirmed before me, an official authorized to administer oaths in the jurisdiction where this affidavit was made, on this

28 day of May 2009

[Signature] *Comm expires 3/14/2013*
 Signature of Notary or Other Person Authorized to Administer

MICHAEL J. GLYNN
 Printed Name of Notary or Other Person Authorized to Administer Oaths

CONTRIBUTIONS

Friends of William Murphy -- \$200.00

Friends of Patrick Lynch -- \$1,000.00

Michael McCaffrey -- \$150.00

David Caprio -- \$150.00

Total \$1,500.00