

State of Rhode Island and Providence Plantations
BOARD of ELECTIONS
CAMPAIGN FINANCE DIVISION
50 Branch Avenue, Providence Rhode Island 02904
TEL (401) 222-2345

RHODE ISLAND
BOARD OF ELECTIONS

11 APR -1 AM 10:10

AFFIDAVIT OF STATE VENDOR
UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Statement Under Oath of Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information

1. Name, address and telephone number of person making this affidavit

MS. Deborah t Faulkner

Name of Person Making this Affidavit

12 vialls drive

Address1

Address2

barrington, ri 02806

City State Zip

401 245-1653

Phone

2. Within the 24 month period preceding the date of the contract with the state agency, or with respect to the contracts that are not in writing within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, any member of the general assembly or candidate for general assembly, or any R.I. political party? (includes an individual contracting party, or business entity on behalf of a related party, or a related party reporting on his/her own behalf) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

Yes No

3. If the answer to question 2 is "yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributins even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

Name of Officer, Candidate or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
-----------------------------------------------	----------------------	------------------------	---------------------	-----------------------------------

4. What is the date of execution of the written contract requiring filing of this affidavit
[Such contract must be filed with this affidavit] 02/22/2011

5. If the contract requiring the filing of this affidavit is not in writing,
when were you first notified that the contract had reached the \$5000 threshold? 02/22/2011

6. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.
DBR Insurance Division CONSULTANT SERVICES - HEALTH 06/30/2011
Agency Goods / Services Contract Expire Date

7. Is this affidavit made by you as an individual or on behalf of a business entity? Individual Business Entity

8. If on behalf of a business entity, what is the name and nature of the business entity?

Deborah Faulkner, dba Faulkner Consulting Group 083708650
Business Name FEIN#

Corporation Partnership Sole Proprietorship Other Business Entity

9. If "Other Business Entity" is checked above what is the nature of such business entity?
Deborah Faulkner, doing business as Faulkner Consulting Group

10. Are you a state vendor because you are the contracting party in a contract with a state Yes [x] No []

11. If yes, what is the name of the state agency to which you are providing goods and/or services? DBR Insurance Division

12. If the answer to question 10 is "no", are you a state vendor because you are related to a contracting Yes [] No [x]

13. If the answer to question 12 is "yes", what is the nature of your relationship with the contracting party?

14. If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.

Name of Contracting Party State Agency

Address1

Address2

City State Zip

15. If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable political contributions made by such related parties? Yes [x] No []

16. If the answer to question 15 is "no", have you notified all such parties of the requirements of the law and of their obligation to file this affidavit if they have made reportable political contributions? Yes [x] No []

17. If the answer to question 16 is "yes", provide the names and addresses of related parties who might be required to file this affidavit and the nature of such relationship.

Name Address Relationship

18. What is the total gross amount in dollars, of contracts entered into during the said preceding 24 month period between the contracting period and ALL state agencies? Include all contracts regardless of amount. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state by marking "Yes." NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period. Yes [] No []

State Agency Date of Contract Amount of Contract FEIN # P.O. #

Total Gross Amount:

State of: RI
County of:

[Handwritten Signature]
Signature of Person making the Affidavit

Subscribed and sworn to or Affirmed before me, an official authorized to administer oaths in the jurisdiction where this affidavit was made, on this

30th day of March 2011

[Handwritten Signature]
Signature of Notary or Other Person Authorized to Administer Oaths

JOANN C MANGIONE
Printed Name of Notary or Other Person Authorized to Administer Oaths



OFFICIAL SEAL
JOANN C. MANGIONE
NOTARY PUBLIC - RHODE ISLAND
My Commission Expires 8-10-2013

Health Insurance Exchange Planning Project:

Contract for Rhode Island Health Insurance Exchange Expertise

Contract between The Office of the Health Insurance Commissioner, "OHIC" and Faulkner Consulting Group, "FCG"

February 22, 2011

Background and Scope of Work

On March 23, 2010, President Obama signed the Patient Protection & Affordable Care Act (the ACA) into law. The ACA requires states to implement a number of provisions intended to expand access to health insurance and improve the quality, comprehensiveness and affordability of coverage. Under this law, new health insurance marketplaces, called "exchanges", must be available in every state. OHIC has received a \$1 million federal planning grant to fully fund planning for an Exchange and assessing Rhode Island's options.

Under this contract, Faulkner Consulting Group, "FCG", will provide a Health Insurance Exchange expert, who will provide expertise to OHIC to draft and submit an application for Exchange establishment funding on behalf of Rhode Island. The Health Insurance Exchange expert will work closely with OHIC staff to design and develop Rhode Island's application for Exchange establishment funds critical to meeting federal implementation deadlines for the ACA.

Specific Services to be provided

1. Assist the State of Rhode Island in the successful planning, development, and submission of the federal funding application for Rhode Island's Health Insurance Exchange implementation.
2. Provide expertise to OHIC and the Department of Human Services for the state of Rhode Island's Health Insurance Exchange planning process.
3. Provide other expertise and services for the Exchange planning process as identified by OHIC.

Term

This Agreement shall commence on February 28, 2011 and shall continue through June 30, 2011, unless otherwise terminated in accordance with the terms and conditions herein.

Payments

OHIC shall pay FCG for the Deliverables under this Agreement on a Time and Materials basis. The charge for this project shall be \$110.00 USD per hour. Total payments for the period of this agreement shall not exceed \$20,000. FCG will provide services with the direction of the Office of the Health Insurance Commissioner.

Travel & Living Expenses

OHIC shall not be responsible for any Travel or Living Expenses incurred by FCG while performing the duties of this agreement unless otherwise agreed upon prior to incurring expenses.

Confidential Information

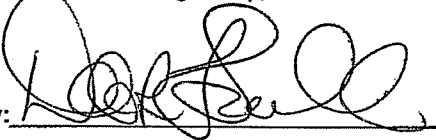
In the course of its work under this contract, FCG may come into contact with information in the possession of State Agencies, which they have deemed confidential and or proprietary. FCG shall not

disclose this information to people other than state employees and authorized contractors with out the consent of the relevant state agency All documents and information developed in the course of this work remain the property of the State of Rhode Island and shall not be disclosed to others without the consent of the relevant state agency,

Conflict of Interest

FCG shall disclose to OHIC in writing in the event it becomes aware of any conflict of interest regarding its work under this contract.

Faulkner Consulting Group, FCG

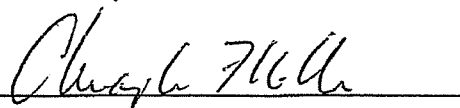
By: 

Printed Name: Deborah T. Faulkner

Title: President, FCG

Date: 2/22/11

**Office of the Health Insurance
Commissioner, OHIC**

By: 

Printed Name: Christopher F. Koller

Title: Health Insurance Commissioner

Date: 2/22/11



Notice of Blanket Purchase Agreement

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
 ONE CAPITOL HILL
 PROVIDENCE RI 02908

DEBORAH T FAULKNER
 12 VIALLS DR
 BARRINGTON, RI 02806
 United States

CONSULTANT SERVICES - HEALTH INSURANCE EXCHANGE EXPERT - 2/28/11 - 6/30/11	
Award Number 3220633	Effective Period: 28-FEB-11 - 30-JUN-11

S H I P P I N G	DBR INSURANCE DIVISION 1511 PONTIAC AVENUE BLDG 69-2 CRANSTON, RI 02920 United States	Date: 25-FEB-11 Buyer: C Melillo Shipping: Paid Terms: NET 30 Vendor # 38010	I N V O I C E	DOA CONTROLLER ONE CAPITOL HILL, 4TH FLOOR SMITH ST PROVIDENCE, RI 02908 United States
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Department	Type of Requisition	Bid Number	Requisition Number
		N/A	
Line	Item	Item Description	Unit Price

1		APA-9891 2/28/11 - 6/30/11 HEALTH INSURANCE EXCHANGE EXPERT FOR OHIC PER ATTACHED AGREEMENT - NOT TO EXCEED \$20,000.00 - PAID AT \$1.00 FOR EVERY \$1.00 OF ALLOWABLE EXPENSE	Total	1
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STATE PURCHASING AGENT
Lorraine A. Hynes

This Notice of Award/Purchase Order is issued in accordance with the specific requirements described herein and the State's Purchasing Regulations and General Conditions of Purchase, copies of which are available at www.purchasing.ri.gov. Delivery of goods or services as described herein shall be deemed acceptance of these requirements.

Contract Terms and Conditions

Table of Contents

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Terms and Conditions**PURCHASE ORDER STANDARD TERMS AND CONDITIONS****TERMS AND CONDITIONS FOR THIS PURCHASE ORDER****PURCHASE AGREEMENT AWARD**

THIS IS A NOTICE OF AWARD, NOT AN ORDER. Any quantity reference in the agreement or in the bid preceding it are estimates only and do not represent a commitment on the part of the state to any level of billing activity, other than for quantities or volumes specifically released during the term. No action is to be taken except as specifically authorized, as described herein under AUTHORIZATION AND RELEASE. ENTIRE AGREEMENT - This NOTICE OF AWARD, with all attachments, and any release(s) against it shall be subject to: (1) the specifications, terms and conditions set forth in the Request/Bid Number cited herein, (2) the General Terms and Conditions of Contracts for the State of Rhode Island and (3) all provisions of, and the Rules and Regulations promulgated pursuant to, Title 37, Chapter 2 of the General Laws of the State of Rhode Island. This NOTICE shall constitute the entire agreement between the State of Rhode Island and the Vendor. No assignment of rights or responsibility will be permitted except with the express written permission of the State Purchasing Agent or his designee. CANCELLATION, TERMINATION and EXTENSION - This Price Agreement shall automatically terminate as of the date(s) described under CONTRACT PERIOD unless this Price Agreement is altered by formal amendment by the State Purchasing Agent or his designee upon mutual agreement between the State and the Vendor.

AUTHORIZATION AND RELEASE

In no event shall the Vendor deliver goods or provide service until such time as a duly authorized release document is certified by the ordering Agency. A Direct Purchase Order (DPO) shall be created by the agency listing the items ordered, using the pricing and format set forth in the Master Blanket. All pricing shall be as described in the Master Blanket and is considered to be fixed and firm for the term of the Agreement, unless specifically noted to the contrary herein. All prices include prepaid freight. Freight, taxes, surcharges, or other additional charges will not be honored unless reflected in Master Blanket.

BLANKET PAYMENT

DELIVERY OF GOODS OR SERVICES AS REQUESTED BY AGENCY. PAYMENTS WILL BE AUTHORIZED UPON SUBMISSION OF PROPERLY RENDERED INVOICES NO MORE THAN MONTHLY TO THE RECEIVING AGENCY. ANY UNUSED BALANCE AT END OF BLANKET PERIOD IS AUTOMATICALLY CANCELLED.

CAMPAIGN FINANCE COMPLIANCE

EVERY PERSON OR BUSINESS ENTITY PROVIDING GOODS OR SERVICES AT A COST OF \$5000 CUMULATED VALUE IS REQUIRED TO FILE AN AFFIDAVIT REGARDING POLITICAL CAMPAIGN CONTRIBUTIONS WITH THE RI STATE BOARD OF ELECTIONS EVEN IF NO REPORTABLE CAMPAIGN CONTRIBUTIONS HAVE BEEN MADE. (RI GENERAL LAW 17-27) FORMS OBTAINED AT BOARD OF ELECTIONS, CAMPAIGN FINANCE DIVISION, 50 BRANCH AVENUE PROVIDENCE 02904 (401-222-2056).

ARRA SUPPLEMENTAL TERMS AND CONDITIONS

For contracts and sub-awards funded in whole or in part by the American Recovery and Reinvestment Act of 2009. Pub.L.No. 111-5 and any amendments thereto, such contracts and sub-awards shall be subject to the Supplemental Terms and Conditions For Contracts and Sub-awards Funded in Whole or in Part by the

American Recovery and Reinvestment Act of 2009. Pub.L.No. 111-5 and any amendments thereto located on the Division of Purchases website at www.purchasing.ri.gov."

TERMS AND CONDITIONS OF PRICING AGREEMENT

SCOPE AND LIMITATIONS - This Agreement covers requirements as described herein, ordered by State agencies during the Agreement Period. No additional or alternative requirements are covered, unless added to the Agreement by formal amendment by the State Purchasing Agent or his designee.

Under State Purchasing Law, 37-2-54, no purchase or contract shall be binding on the state or any agency thereof unless approved by the department [of administration] or made under general regulations which the chief purchasing officer may prescribe. Under State Purchasing Regulation 8.2.1.1.2, any alleged oral agreement or arrangements made by a bidder or contractor with any agency or an employee of the Office of Purchases may be disregarded and shall not be binding on the state.

PRODUCT ACCEPTANCE - All merchandise offered or otherwise provided shall be new, of prime manufacture, and of first quality unless otherwise specified by the State. The State reserves the right to reject all nonconforming goods, and to cause their return for credit or replacement, at the State's option.

- a) Failure by the state to discover latent defect(s) or concealed damage or non-conformance shall not foreclose the State's right to subsequently reject the goods in question.
- b) Formal or informal acceptance by the State of non-conforming goods shall not constitute a precedent for successive receipts or procurements.

Where the vendor fails to cure the defect promptly or replace the goods, the State reserves the right to cancel the Release, contract with a different vendor, and to invoice the original vendor for any differential in price over the original contract price.

ORDER AUTHORIZATION AND RELEASE AGAINST PRICING AGREEMENT

In no event shall the Vendor deliver goods or provide service until such time as a duly authorized release document is certified by the ordering Agency.

State Agencies shall request release as follows: All releases shall reference the Price Agreement number, the Contract Issue number, the item(s) covered, and the unit pricing in the same format as described herein.

A Department Purchase Order (DPO) listing the items ordered shall be created by the agency. The agency may mail or fax a copy of the order to the Vendor. In some cases the agency may request delivery by telephone, but must provide the Vendor with a DPO Order Number reference for billing purposes. Vendors are encouraged to require written orders to assure payments are processed accurately and promptly.

DELIVERY - If this is an MPA, Vendor will obtain "ship to" information from each participating agency. This information will be contained in the DPO. APA delivery information will be contained in the Notice of Award.

PRICING - All pricing shall be as described herein, and is considered to be fixed and firm for the term of the Agreement, unless specifically noted to the contrary herein. All prices include prepaid freight. Freight, taxes, surcharges, or other additional charges will not be honored unless reflected herein.

INVOICING - All invoices shall reference the DPO Order Number(s), Price Agreement number, the Contract Issue number, the item(s) covered, and the unit pricing in the same format as described herein. If this is an MPA, Vendor will obtain "bill to" information from each participating agency. This information will be contained in the DPO. APA billing information will be contained in the Notice of Award.

PAYMENT - Invoices for items not received, not priced according to contract or for work not yet performed will not be honored. No payment will be processed to any vendor for whom there is no IRS W-9 on file with the State Controller.

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

BOARD OF ELECTIONS

CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence, Rhode Island 02904

TEL. (401) 222-2056 [TDD: 222-2239]

RHODE ISLAND BOARD OF ELECTIONS

2011 JUL 29 PM 1:19

AFFIDAVIT OF STATE VENDOR UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Instructions:

Every person or business entity contracting with a state agency on or after July 27, 1993 to sell goods and services (contracting party) is deemed to be a "state vendor". Also deemed to be a "state vendor" is (a) a person having a 10% or greater ownership interest in such contracting party, or (b) a person who is an executive officer of such contracting party, or (c) a person who is the spouse or minor child of the contracting party, or of a person having such 10% or greater ownership interest, or of a person who is an executive officer of such contracting party (related parties).

This affidavit must be filed with the State Board of Elections, 50 Branch Avenue, Providence, Rhode Island 02904, by every state vendor in connection with any contract with a state agency to provide goods and/or services costing \$5000 or more. If the contract is in writing, this affidavit must be filed within 60 days after the contract is executed and MUST BE ACCOMPANIED BY A COPY OF THE CONTRACT, OR A WRITTEN SUMMARY THEREOF. If the contract is not in writing, then the affidavit must be filed within 60 days from the date when the state vendor is first notified that the \$5000 threshold has been reached. However, Chapter 17-27 and the Regulations adopted thereunder, permit semi-annual filings of affidavits under certain circumstances.

Every person or business entity qualifying as a state vendor (either as the contracting party or as a related party) and providing goods and services at a cost of \$5000 or more shall be responsible for filing this affidavit. A state vendor who is a contracting party must file this affidavit when the \$5000 threshold has been reached, even if no reportable political contributions have been made either by the contracting party or a related party. A state vendor who is a related party must file this affidavit only when a reportable political contribution has been made by such related party, and then, only if such contribution has not been included in an affidavit filed by the contracting party. A reportable political contribution is defined in question #16. The affidavit, when filed, will be a public document.

The Board of Elections is empowered to impose civil penalties for violations of Chapter 17-27.

The attention of state vendors is directed to the Regulations adopted by the Board of Elections in connection with the preparation and filing of this affidavit which are on file at the offices of both the Board of Elections and the Secretary of State.

Statement Under Oath or Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information:

1. Name, address and telephone number of person making this affidavit.

Maureen E. Gurchigian, Managing Director

NAME OF PERSON MAKING THIS AFFIDAVIT

12 Breakneck Hill Road, Suite 200 - Lincoln, RI 02865

ADDRESS

TELEPHONE NUMBER

2. What is the date of execution of the written contract requiring the filing of this affidavit? [Such contract must be filed with this affidavit.]

Semi-Annual Filing DATE

3. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold?

DATE

4. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

5. Is this affidavit made by you as an individual or on behalf of a business entity? Individual ___ On Behalf of Business Entity X

6. If on behalf of a business entity, what is the name and nature of the business entity?

Name of Business Entity First Southwest Company

FEIN # 75-0708002

(Check One:) Corporation X Partnership ___ Sole Proprietorship ___ Other Business Entity ___

7. If "other business entity" is checked above, what is the nature of such business entity?

8. Are you a state vendor because you are the contracting party in a contract with a state agency? YES X NO ___

9. If yes, what is the name of state agency to which you are providing goods and/or services?

State of Rhode Island & State Agencies listed on attached schedule

10. If the answer to question 8 is "no", are you a state vendor because you are related to a contracting party? YES ___ NO ___

11. If answer to question 10 is "yes", what is the nature of your relationship with the contracting party?

(a) 10% or greater ownership interest in contracting party ___ (b) executive officer of contracting party ___

(c) spouse or minor child of contracting party, or of 10% or greater owner, or of executive officer ___

AFFIDAVIT OF STATE VENDORS UNDER CHAPTER 17-27
OF THE GENERAL LAWS OF RHODE ISLAND
FIRST SOUTHWEST COMPANY - SCHEDULE OF CONTRACTS – 24 MONTH PERIOD ENDING
JUNE 30, 2011

State Agency	Date of Contract	Amount of Contract	Fein#
Narragansett Bay Commission**			
Financial Advisory Services	June 30, 2008	\$188,640.00 (aggregate)	06-1471715
Arbitrage Rebate Services*	December 16, 2003	\$10,520.00 (aggregate)	06-1471715
Continuing Disclosure Services**	February 6, 2006	\$2,300.00 (aggregate)	06-1471715
State of Rhode Island-			
Financial Advisory Services	June 30, 2009	\$260,807.50 (aggregate)	05-6000522
Arbitrage Rebate Services*	June 30, 2009	\$87,220.00 (aggregate)	05-6000522
RI Clean Water Finance Agency	March 20, 2007		05-0455432
Financial Advisory Services		\$438,839.59 (aggregate)	05-0455432
Arbitrage Rebate Services*	December 1, 2006	\$56,020.00 (aggregate)	05-0455432
RI Economic Development Corp.	September 4, 2003	\$120,000.00 (aggregate)	05-0356994
RI Health & Educational Building Corp.	February 1, 2006		
Financial Advisory Services		\$343,319.94 (aggregate)	52-1300173
Continuing Disclosure Services		\$1,000.00 (aggregate)	52-1300173
RI Student Loan Authority	February 25, 2008		
Financial Advisory Services		\$78,437.50 (aggregate)	05-0395355
RI Resource Recovery Corporation			
Arbitrage Rebate Services*	April 21, 2003	\$4,000.00 (aggregate)	05-0366883
Continuing Disclosure Services**	May 15, 2003	\$3,300.00 (aggregate)	05-0366883
Rhode Island Turnpike & Bridge Authority			
Financial Advisory Services	November 20, 2009	\$98,750.00 (aggregate)	05-0304384
Tobacco Settlement Financing Corporation			
Arbitrage Rebate Services*	July 8, 2007	\$4,000.00 (aggregate)	36-4499925
Total Gross Amount:		\$1,697,154.53	

* Contract with affiliate First Southwest Asset Management, Inc.

** Contract with FSC Disclosure Services, A Division of First Southwest Company

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

BOARD OF ELECTIONS

CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence, Rhode Island 02904

TEL. (401)222-2056 [TDD: 222-2239]

RHODE ISLAND BOARD OF ELECTIONS

12 JAN 31 AM 9:38

AFFIDAVIT OF STATE VENDOR UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Instructions:

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The attention of state vendors is directed to the Regulations adopted by the Board of Elections in connection with the preparation and filing of this affidavit which are on file at the offices of both the Board of Elections and the Secretary of State.

Statement Under Oath or Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information:

1. Name, address and telephone number of person making this affidavit.

Maureen E. Gurghigian, Managing Director

NAME OF PERSON MAKING THIS AFFIDAVIT

12 Breakneck Hill Road, Suite 200 - Lincoln, RI 02865

ADDRESS

TELEPHONE NUMBER

2. What is the date of execution of the written contract requiring the filing of this affidavit? [Such contract must be filed with this affidavit.]

Semi-Annual Filing

DATE

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6. If on behalf of a business entity, what is the name and nature of the business entity?

Name of Business Entity First Southwest Company

FEIN # 75-0708002

(Check One:) Corporation X Partnership ___ Sole Proprietorship ___ Other Business Entity ___

7. If "other business entity" is checked above, what is the nature of such business entity?

8. Are you a state vendor because you are the contracting party in a contract with a state agency? YES X NO ___

9. If yes, what is the name of state agency to which you are providing goods and/or services?

State of Rhode Island & State Agencies listed on attached schedule

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11. If answer to question 10 is "yes", what is the nature of your relationship with the contracting party?

(a) 10% or greater ownership interest in contracting party ___ (b) executive officer of contracting party ___

(c) spouse or minor child of contracting party, or of 10% or greater owner, or of executive officer ___

AFFIDAVIT OF STATE VENDORS UNDER CHAPTER 17-27
OF THE GENERAL LAWS OF RHODE ISLAND
FIRST SOUTHWEST COMPANY - SCHEDULE OF CONTRACTS – 24 MONTH PERIOD ENDING
December 31, 2011

State Agency	Date of Contract	Amount of Contract	Fein#
Narragansett Bay Commission**			
Financial Advisory Services	June 30, 2008	\$133,640.00 (aggregate)	06-1471715
Arbitrage Rebate Services*	December 16, 2003	\$26840.00 (aggregate)	06-1471715
Continuing Disclosure Services**	February 6, 2006	\$1,100.00 (aggregate)	06-1471715
State of Rhode Island-			
Financial Advisory Services	June 30, 2009	\$344,067.50 (aggregate)	05-6000522
Arbitrage Rebate Services*	June 30, 2009	\$61,290.00 (aggregate)	05-6000522
RI Clean Water Finance Agency	March 20, 2007		05-0455432
Financial Advisory Services		\$243,656.25 (aggregate)	05-0455432
Arbitrage Rebate Services*	December 1, 2006	\$54,725.00 (aggregate)	05-0455432
RI Economic Development Corp.	September 4, 2003	\$120,000.00 (aggregate)	05-0356994
RI Health & Educational Building Corp.	February 1, 2006		
Financial Advisory Services		\$219,312.00 (aggregate)	52-1300173
Continuing Disclosure Services		\$1,000.00 (aggregate)	52-1300173
RI Student Loan Authority	February 25, 2008		
Financial Advisory Services		\$83,195.00 (aggregate)	05-0395355
RI Resource Recovery Corporation			
Arbitrage Rebate Services*	April 21, 2003	\$3,200.00 (aggregate)	05-0366883
Continuing Disclosure Services**	May 15, 2003	\$2,200.00 (aggregate)	05-0366883
Rhode Island Turnpike & Bridge Authority			
Financial Advisory Services	November 20, 2009	\$98,750.00 (aggregate)	05-0304384
Tobacco Settlement Financing Corporation			
Arbitrage Rebate Services*	July 8, 2007	\$6,915.00 (aggregate)	36-4499925
Total Gross Amount:		\$1,399,890.75	

* Contract with affiliate First Southwest Asset Management, Inc.

** Contract with FSC Disclosure Services, A Division of First Southwest Company

State of Rhode Island and Providence Plantations
BOARD of ELECTIONS
CAMPAIGN FINANCE DIVISION
50 Branch Avenue, Providence Rhode Island 02904
TEL (401) 222-2345

RHODE ISLAND
BOARD OF ELECTIONS

AFFIDAVIT OF STATE VENDOR
UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

2011 AUG 15 PM 12:02

Statement Under Oath of Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information

1. Name, address and telephone number of person making this affidavit

MR. Robert G Padula

Name of Person Making this Affidavit

16 Main Street

Address1

Address2

East Greenwich, RI 02818

City State Zip

401 884-7800

Phone

2. Within the 24 month period preceding the date of the contract with the state agency, or with respect to the contracts that are not in writing within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, any member of the general assembly or candidate for general assembly, or any R.I. political party? (includes an individual contracting party, or business entity on behalf of a related party, or a related party reporting on his/her own behalf) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

Yes [x] No []

3. If the answer to question 2 is "yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributions even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

Name of Officer, Candidate or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
Frank T Caprio	06/30/2010	1,000.00	Robert G Padula	CEO
Lincoln D Chafee	04/02/2010	250.00	Robert G Padula	CEO
Frank T Caprio	12/31/2009	1,000.00	Robert G Padula	CEO

4. What is the date of execution of the written contract requiring filing of this affidavit
[Such contract must be filed with this affidavit]

07/01/2011

5. If the contract requiring the filing of this affidavit is not in writing,
when were you first notified that the contract had reached the \$5000 threshold?

6. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

Agency

Goods / Services

Contract Expire Date

7. Is this affidavit made by you as an individual or on behalf of a business entity? Individual [] Business Entity

8. If on behalf of a business entity, what is the name and nature of the business entity?

Gencorp Insurance Group, Inc. 05-0466036
Business Name FEIN#

Corporation Partnership [] Sole Proprietorship [] Other Business Entity []

9. If "Other Business Entity" is checked above what is the nature of such business entity?

10. Are you a state vendor because you are the contracting party in a contract with a state Yes [] No

11. If yes, what is the name of the state agency to which you are providing goods and/or services?

12. If the answer to question 10 is "no", are you a state vendor because you are related to a contracting Yes No []

13. If the answer to question 12 is "yes", what is the nature of your relationship with the contracting party?

Gencorp is an agent for AGCS Marine Insurance Company with which Gencorp placed excess property poli

14. If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.

AGCS Marine Insurance Company RI Lottery
Name of Contracting Party State Agency

260 Franklin Street

Address1

Suite 700

Address2

Boston, MA 02110

City State Zip

15. If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable political contributions made by such related parties? Yes [] No

16. If the answer to question 15 is "no", have you notified all such parties of the requirements of the law and of their obligation to file this affidavit if they have made reportable political contributions? Yes [] No

17. If the answer to question 16 is "yes", provide the names and addresses of related parties who might be required to file this affidavit and the nature of such relationship.

Name	Address	Relationship
------	---------	--------------

18. What is the total gross amount in dollars, of contracts entered into during the said preceding 24 month period between the contracting period and ALL state agencies? Include all contracts regardless of amount. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state by marking "Yes." NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period. Yes No []

State Agency	Date of Contract	Amount of Contract	FEIN #	P.O. #
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Total Gross Amount:

State of: RI
County of: Kent

Aditya Podd
Signature of Person making the Affidavit

Subscribed and sworn to or Affirmed before me, an official authorized to administer oaths in the jurisdiction where this affidavit was made, on this

12th day of August 20 11

A. P. Nicoll
Signature of Notary or Other Person Authorized to Administer Oaths

Anne R. Nicoll
Printed Name of Notary or Other Person Authorized to Administer Oaths

BOARD OF ELECTIONS
CAMPAIGN FINANCE DIVISION
50 Branch Avenue, Providence, Rhode Island 02904
TEL. (401)277-2056 [TDD: 277-2239]

RHODE ISLAND
BOARD OF ELECTIONS

AFFIDAVIT OF STATE VENDOR
UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

11 AUG -9 AM 11:15

Instructions:

Every person or business entity contracting with a state agency on or after July 27, 1993 to sell goods and services (contracting party) is deemed to be a "state vendor". Also deemed to be a "state vendor" is (a) a person having a 10% or greater ownership interest in such contracting party, or (b) a person who is an executive officer of such contracting party, or (c) a person who is the spouse or minor child of the contracting party, or of a person having such 10% or greater ownership interest, or of a person who is an executive officer of such contracting party (related parties).

This affidavit must be filed with the State Board of Elections, 50 Branch Avenue, Providence, Rhode Island 02904 by every state vendor in connection with any contract with a state agency to provide goods and/or services costing \$5000 or more. If the contract is in writing, this affidavit must be filed within 60 days after the contract is executed and MUST BE ACCOMPANIED BY A COPY OF THE CONTRACT, OR A WRITTEN SUMMARY THEREOF. If the contract is not in writing, then the affidavit must be filed within 60 days from the date when the state vendor is first notified that the \$5000 threshold has been reached. However, Chapter 17-27 and the Regulations adopted thereunder, permit semi-annual filings of affidavits under certain circumstances.

Every person or business entity qualifying as a state vendor (either as the contracting party or as a related party) and providing goods and services at a cost of \$5000 or more shall be responsible for filing this affidavit. A state vendor who is a contracting party must file this affidavit when the \$5000 threshold has been reached, even if no reportable political contributions have been made either by the contracting party or a related party. A state vendor who is a related party must file this affidavit only when a reportable political contribution has been made by such related party, and then, only if such contribution has not been included in an affidavit filed by the contracting party. A reportable political contribution is defined in question #16. The affidavit, when filed, will be a public document.

The Board of Elections is empowered to impose civil penalties for violations of Chapter 17-27.
The attention of state vendors is directed to the Regulations adopted by the Board of Elections in connection with the preparation and filing of this affidavit which are on file at the offices of both the Board of Elections and the Secretary of State.

Statement Under Oath or Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information:

1. Name, address and telephone number of person making this affidavit.

Nancy Sherman for George Sherman Sand & Gravel Co., Inc.
NAME OF PERSON MAKING THIS AFFIDAVIT
881 Curtis Corner Rd, Wakefield, RI 02879
ADDRESS
(401) 789-6304
TELEPHONE NUMBER

2. What is the date of execution of the written contract requiring the filing of this affidavit? [Such contract must be filed with this affidavit.] various DATE

3. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold? DATE

4. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

5. Is this affidavit made by you as an individual or on behalf of a business entity? Individual _____ On Behalf of Business Entity

6. If on behalf of a business entity, what is the name and nature of the business entity?
Name of Business Entity George Sherman Sand & Gravel Co., Inc. FEIN # 050348785
(Check One:) Corporation Partnership _____ Sole Proprietorship _____ Other Business Entity _____

7. If "other business entity" is checked above, what is the nature of such business entity?

8. Are you a state vendor because you are the contracting party in a contract with a state agency? YES NO _____

9. If yes, what is the name of state agency to which you are providing goods and/or services?
Department of Transportation, Department of Enviromental Management

10. If the answer to question 8 is "no", are you a state vendor because you are related to a contracting party? YES _____ NO _____

11. If answer to question 10 is "yes", what is the nature of your relationship with the contracting party?
(a) 10% or greater ownership interest in contracting party _____
(b) executive officer of contracting party _____
(c) spouse or minor child of contracting party, or of 10% or greater owner, or of executive officer _____

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
BOARD OF ELECTIONS
CAMPAIGN FINANCE DIVISION
50 Branch Avenue, Providence, Rhode Island 02904
TEL. (401)277-2056 [TDD: 277-2239]

NOTICE AND CERTIFICATION OF STATE VENDOR
FOR RELIEF FROM MULTIPLE FILINGS

The undersigned certifies to the State Board of Elections under Section 17-27-3 of the General Laws of Rhode Island that it has reasonable cause to believe that for the calendar year 2011 ~~199~~ it will be required to file two or more affidavits, and that this notice and certification is being filed for the purpose of permitting the undersigned to report on a semi-annual basis to the State Board of Elections for the aforementioned calendar year.

George Sherman Sand & Gravel Co., Inc.
State Vendor

Nancy A. Sherman 6-30-11
Date

NOTE:

State vendors are permitted to file semi-annual reports with the Board of Elections if they reasonably believe that they otherwise would be required to file multiple reports within any single calendar year. In order to avail themselves of this opportunity, state vendors are required to file this Notice and Certification with the State Board of Elections for each calendar year no later than the date by which the first report to the Board of Elections for such calendar year would otherwise be due, i.e. 60 days from the date of execution of a contract with a state agency or in the case of unwritten contracts, within 60 days after the state vendor is first notified that the contract has reached the \$5000 reporting threshold.



RHODE ISLAND
BOARD OF ELECTIONS

11 MAY 10 AM 9:48

10 Memorial Boulevard
Providence, Rhode Island 02903 USA
Telephone 401 392-7477
Fax 401 392-0391

denise.ogilvie@gtech.com

By Certified Mail, Return Receipt Requested

May 9, 2011

Rhode Island Board of Elections
Campaign Finance Division
50 Branch Avenue
Providence, Rhode Island 02904

Re: Consolidated Affidavit of State Vendors – GTECH Printing Corporation, GTECH Corporation

Dear Sir/Madam:

Attached please find a consolidated Affidavit of State Vendor, filed on behalf of both GTECH Printing Corporation and GTECH Corporation pursuant to Chapter 17-27 of the General Laws of Rhode Island.

Thank you very much.

Sincerely yours,

Denise M. Ogilvie
Assistant General Counsel

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
BOARD OF ELECTIONS
CAMPAIGN FINANCE DIVISION
 50 Branch Avenue, Providence, Rhode Island 02904
 TEL. (401) 222-2056 [TDD: 222-2239]

AFFIDAVIT OF STATE VENDOR
UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Instructions:

Every person or business entity contracting with a state agency on or after July 27, 1993 to sell goods and services (contracting party) is deemed to be a "state vendor". Also deemed to be a "state vendor" is (a) a person having a 10% or greater ownership interest in such contracting party, or (b) a person who is an executive officer of such contracting party, or (c) a person who is the spouse or minor child of the contracting party, or of a person having such 10% or greater ownership interest, or of a person who is an executive officer of such contracting party (related parties).

This affidavit must be filed with the State Board of Elections, 50 Branch Avenue, Providence, Rhode Island 02904 by every state vendor in connection with any contract with a state agency to provide goods and/or services costing \$5000 or more. If the contract is in writing this affidavit must be filed within 60 days after the contract is executed and **MUST BE ACCOMPANIED BY A COPY OF THE CONTRACT OR A WRITTEN SUMMARY THEREOF.** If the contract is not in writing, then the affidavit must be filed within 60 days from the date when the state vendor is first notified that the \$5000 threshold has been reached. However, Chapter 17-27 and the Regulations adopted thereunder permit semi-annual filings of affidavits under certain circumstances.

Every person or business entity qualifying as a state vendor (either as the contracting party or as a related party) and providing goods and services at a cost of \$5000 or more shall be responsible for filing this affidavit. A state vendor who is a contracting party must file this affidavit when the \$5000 threshold has been reached, even if no reportable political contributions have been made either by the contracting party or a related party. A state vendor who is a related party must file this affidavit only when a reportable political contribution has been made by such related party, and then, only if such contribution has not been included in an affidavit filed by the contracting party. A reportable political contribution is defined in question #16. The affidavit, when filed, will be a public document.

The Board of Elections is empowered to impose civil penalties for violations of Chapter 17-27.

The attention of state vendors is directed to the Regulations adopted by the Board of Elections in connection with the preparation and filing of this affidavit which are on file at the offices of both the Board of Elections and the Secretary of State.

Statement Under Oath or Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information:

1. Name, address and telephone number of person making this affidavit.

Denise M. Ogilvie
NAME OF PERSON MAKING THIS AFFIDAVIT
C/O GTECH Corporation, 10 Memorial Blvd., Providence, RI 02903
ADDRESS
401-392-7477
TELEPHONE NUMBER

2. What is the date of execution of the written contract requiring the filing of this affidavit? [Such contract must be filed with this affidavit.] 3/18/2011 and 4/26/2011
DATE

3. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold? See Attachments A and B
DATE

4. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state. N/A

5. Is this affidavit made by you as an individual or on behalf of a business entity? Individual On Behalf of Business Entity

6. If on behalf of a business entity, what is the name and nature of the business entity?
 Name of Business Entity GTECH Printing Corporation FEIN # 20-5698605
GTECH Corporation FEIN # 05-0389840
 (Check One:) Corporation Partnership Sole Proprietorship Other Business Entity

7. If "other business entity" is checked above, what is the nature of such business entity?

8. Are you a state vendor because you are the contracting party in a contract with a state agency? YES NO

9. If yes, what is the name of state agency to which you are providing goods and/or services?
State Lottery Division of Rhode Island Department of Revenue

10. If the answer to question 8 is "no", are you a state vendor because you are related to a contracting party? YES NO

11. If answer to question 10 is "yes", what is the nature of your relationship with the contracting party?

(a) 10% or greater ownership interest in contracting party _____
 (b) executive officer of contracting party _____
 (c) spouse or minor child of contracting party, or of 10% or greater owner, or of executive officer _____

If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.

Name of Contracting Party N/A

Address _____

State Agency _____

If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable political contributions made by such related parties? YES X NO _____

Except for spouses and minor children

If the answer to question 13 is "no", have you notified all such related parties of the requirements of the law and of their obligation to file this affidavit if they have made reportable political contributions? YES _____ NO _____

If the answer to question 14 is "yes", provide the names and addresses of related parties who might be required to file this affidavit and the nature of such relationship.

Name	Address	Relationship to Contracting Party
	N/A	

Within the 24 month period preceding the date of the contract with the state agency; or with respect to contracts that are not in writing, within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, or any R.I. political party? DO NOT INCLUDE ANY CONTRIBUTIONS MADE PRIOR TO OCTOBER 2, 1993 (Includes an individual contracting party, or a business entity on behalf of a related party, or a related party reporting on his/her own behalf.) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period. YES X NO _____

[If you checked "NO" above, do not attach a copy of the state contract, or written summary, and do not complete question #17]

If the answer to question 16 is "yes", provide the name of the general officer, candidate for general office or political party and the date and amount of each such contribution. DO NOT INCLUDE CONTRIBUTIONS MADE PRIOR TO OCTOBER 2, 1993. List all contributions even if reported on a previously filed affidavit. However, please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below. [If additional sheets are required, please attach them to this affidavit.]

Name of General Officer, Candidate for General Office, or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
---------------------------------------------------------------------------	----------------------	------------------------	---------------------	-----------------------------------

See Attachment C

What is the total gross amount, in dollars, of contracts entered into during said preceding 24 month period between the contracting party and ALL state agencies? Include all contracts regardless of amount. DO NOT INCLUDE CONTRACTS ENTERED INTO PRIOR TO JULY 27, 1993 OR THOSE WHICH HAVE REACHED THE \$5000 THRESHOLD PRIOR TO OCTOBER 2, 1993. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state. NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report the total gross amount, in dollars, of contracts entered into from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

State Agency	Date of Contract	Amount of Contract	Fein #	P.O.#
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See Attachment D

GTECH Printing Corporation - Approx. \$513,000

TOTAL GROSS AMOUNT: ~~\$ GTECH Corporation - \$227,281~~

Denise M. O'Neil
Signature of Person Making the Affidavit

County of Rhode Island
City of Providence

Subscribed and sworn to or affirmed before me, an officer authorized to administer oaths in the jurisdiction where this affidavit was made, on this 9th day of May, 2011.

Patricia E. Robinson #55678
Signature of Notary or Other Person Authorized to Administer Oaths

PATRICIA E. ROBINSON
Printed Name of Notary or Other Person Authorized to Administer Oaths
Expiration June 16, 2013

ATTACHMENT A

AFFIDAVIT OF STATE VENDOR

GTECH PRINTING CORPORATION INSTANT
TICKET AGREEMENT EXTENSION

MARCH 18, 2011

**INSTANT TICKET AGREEMENT EXTENSION
GTECH PRINTING CORPORATION
JULY 1, 2011 - JUNE 30, 2012**

WHEREAS the Rhode Island Division of Lotteries (Division) and GTECH Printing Corporation (GPC) have entered into an Agreement effective July 1, 2007; and

WHEREAS said Agreement was for an initial term of three (3) years; and

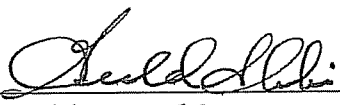
WHEREAS said Agreement may be extended annually, at the Division's discretion, for up to three (3) one (1) year terms;

WHEREAS the first one (1) year renewal option was exercised on July 1, 2010 and effective thru June 30, 2011;

NOW THEREFORE the Division hereby exercises its option under said Agreement to extend for an additional one (1) year, under the same terms and conditions as the initial years, said extension to commence July 1, 2011 and terminate June 30, 2012.

Division of Lotteries

GTECH Printing Corporation

By: 
Gerald S. Aubin
Director

By: 

Date: 3/14/11

Date: 3/18/2011

ATTACHMENT B

AFFIDAVIT OF STATE VENDOR

GTECH CORPORATION
FOURTH AMENDMENT TO MASTER CONTRACT

APRIL 26, 2011

FOURTH AMENDMENT TO MASTER CONTRACT

THIS FOURTH AMENDMENT TO MASTER CONTRACT (this "Amendment Agreement") is made and entered into as of the 24th day of April, 2011 (the "Amendment Effective Date") by and between the **STATE LOTTERY DIVISION OF THE STATE OF RHODE ISLAND DEPARTMENT OF REVENUE**, an agency of the State of Rhode Island (formerly the State Lottery Division of the State of Rhode Island Department of Administration and successor-in-interest to the Rhode Island Lottery, a Rhode Island state agency) having an address of 1425 Pontiac Avenue, Cranston, Rhode Island 02920 (the "Division"), and **GTECH CORPORATION**, a Delaware corporation having an address of GTECH Center, 8th Floor, 10 Memorial Boulevard, Providence, Rhode Island 02903 ("GTECH").

WITNESSETH:

WHEREAS, the Division and GTECH are parties to that certain Master Contract dated as of May 12, 2003; as modified by a letter dated November 3, 2003 from the Division to GTECH; as modified by a Waiver and Release Agreement dated as of May 5, 2005 by GTECH in favor of the Division; as amended by a First Amendment to Master Contract dated as of July 31, 2006 by and between the Division and GTECH; as modified by a letter agreement dated September 28, 2007 by GTECH and the Division; as modified by a waiver letter dated December 18, 2007 by GTECH to the Division; as amended by a Second Amendment to Master Contract dated as of July 14, 2008 (as amended by a Letter Agreement Amendment with respect to Second Amendment to Master Contract dated May 10, 2010); as modified by a letter agreement dated August 27, 2008; and as amended by a Third Amendment to Master Contract dated as of August 15, 2009 (the "Master Contract"); and

WHEREAS, the parties hereto desire to amend the Master Contract as hereinafter set forth.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties hereto agree as follows:

1. Release by Division – Lottery Inside. The Division hereby releases GTECH from its obligations under Section 8.3 of the Master Contract.
2. Express Point Plus Terminals. GTECH shall provide 150 GTECH Express Point Plus Terminals (the "Express Point Plus Terminals") to the Division for installation at Division retailer locations. GTECH shall also provide the necessary cabling and associated installation and maintenance services for the Express Point Plus Terminals to the Division.
3. Internet Support. For the period commencing on July 1, 2010 and ending on December 31, 2016 (the "Fourth Amendment Delivery Expiration Date"), GTECH shall provide the following lottery website services (the "Lottery Website Services") to the Division:
 - o Full support and maintenance for servers and network (which may be located at a site of GTECH's third party hosting and software vendor)

- o Creation and distribution of all emails
- o Automatic updating of winning numbers
- o To the extent necessary, upgrades of all hardware, hosting and server agreements
- o On-site support of website content upgrades and resolution of technical issues
- o Software support for all website software issues
- o Support of web marketing, promotions, game launches and winner awareness programs

The Division shall compensate GTECH for the Lottery Website Services set forth in the first and fourth items of the preceding list at the rate of \$2,100.00 per month.

4. Second Chance Draw Functionality. GTECH shall provide second chance draw functionality with respect to the Online System as specified by a specification document to be agreed by the Division and GTECH (the "Second Chance Draw Functionality"), for the period commencing on the date of acceptance of the Second Chance Draw Functionality by the Division and ending on the Fourth Amendment Delivery Expiration Date. The Second Chance Draw Functionality shall include all online lottery games and all non-licensed instant ticket lottery games and associated onsite support and maintenance.

5. 2011 ITVMs. GTECH shall provide 75 used GTECH 24-bin EDSQ Instant Ticket Vending Machines (the "2011 ITVMs") to the Division for installation at Division retailer locations. GTECH shall also provide the necessary cabling and associated installation and maintenance services for the 2011 ITVMs to the Division for the period commencing on the Amendment Effective Date and ending on the Fourth Amendment Delivery Expiration Date.


6. Performance of Obligations. The Division hereby acknowledges and agrees that GTECH has fully performed in all material respects all of its obligations under the Master Contract, as amended by this Amendment Agreement (the "Amended Master Contract"), which are to be performed by GTECH as of the Amendment Effective Date.

7. Miscellaneous. Except as modified hereby, the Master Contract and all other amendments and modifications thereof shall remain in full force and effect and are hereby ratified and confirmed. This Amendment Agreement may be executed by the parties hereto in counterparts, each of which shall be deemed an original and all of which together shall constitute one and the same instrument. Capitalized terms used but not defined herein shall have the meanings give such terms in the Amended Master Contract.

IN WITNESS WHEREOF, the parties hereto have caused this Amendment Agreement to be duly executed as of the Amendment Effective Date.


Date: April 29, 2011

GTECH CORPORATION

By 
 Print Name Alan Eland
 Title SVP + COO, N. America

STATE LOTTERY DIVISION OF THE
STATE OF RHODE ISLAND
DEPARTMENT OF REVENUE

Date: April 26, 2011

By 
Gerald S. Aubin,
Director

**RI Contract Amendment # 4
in USD**

GTECH Obligations removed	
	Value
1 Lottery Inside	375,000
Hardware	890,000
Software	215,000
Training/Other	<u>1,480,000</u>
Subtotal	<u>1,480,000</u>
Total Obligations Removed	<u><u>1,480,000</u></u>

- 1 Lottery Inside
B2B Quick Pick only
- Connection with 3 POS
Includes Software, Hardware, Training and other

GTECH New Obligations	
	Value
1 Ticket Checker (150 Units)	150,000
2 Internet Support (5yrs)	493,750
Support	156,250
Software	(15,000)
Adj	<u>635,000</u>
Subtotal	<u>635,000</u>
3 2nd Chance Drawing (5 Yrs)	228,000
4 75 Used (24 Bin EDSQs)	300,000
Service for 5yrs	<u>337,500</u>
Subtotal	<u>637,500</u>
Total of New Obligations	<u><u>1,650,500</u></u>

- 1 Ticket Checker (150 units/Entire Contract)
Retail value includes all Equipment, cables, installation, and service
- 2 Internet Support (5yrs)
Onsite Support
Internet related software services
Graphical and content support
120 Software Maint hours per year
Email design and deployment
- 3 2nd Chance Drawing (5yrs)
2nd Chance Draw functionality for non winning tickets
Includes all online and non-licensed instant games
Onsite support and maintenance
- 4 75 Used (24 Bin) ITVMS
Retail value includes all Equipment, cables, installation, and 5yrs of service

ATTACHMENT C

AFFIDAVIT OF STATE VENDOR

**POLITICAL CONTRIBUTIONS MADE BY
EXECUTIVE OFFICERS OF GTECH PRINTING CORPORATION
AND GTECH CORPORATION**

Name of General Officer, Candidate for General Office, Member of General Assembly or Candidate for General Assembly, or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
William Murphy	March 2009 (PR)	\$1,000	Scott Gunn	Executive Officer
William Murphy	March 2009 (PR)	\$750	Robert Vincent	Executive Officer
William Murphy	March 2009 (PR)	\$1,000	Jaymin Patel	Executive Officer
William Murphy	March 2009 (PR)	\$1,000	Alan Eland	Executive Officer
William Murphy	March 2009 (PR)	\$500	Michael Prescott	Executive Officer
William Murphy	March 2009 (PR)	\$500	Lavaz Watson	Executive Officer
William Murphy	March 2009 (PR)	\$250	Jay Gendron	Executive Officer
Teresa Paiva Weed	April 2009 (PR)	\$250	Robert Vincent	Executive Officer
Steve M. Costantino	April 2009 (PR)	\$125	Jay Gendron	Executive Officer
James E. Doyle	June 2009 (PR)	\$100	Jay Gendron	Executive Officer
Patrick Lynch	June 2009 (PR)	\$1,000	Michael Prescott	Executive Officer
Joseph Fernandez	June 2009 (PR)	\$150	Michael Prescott	Executive Officer
Patrick Lynch	June 2009 (PR)	\$500	Scott Gunn	Executive Officer
Patrick Lynch	June 2009 (PR)	\$250	Robert Vincent	Executive Officer
Patrick Lynch	June 2009 (PR)	\$250	Alan Eland	Executive Officer
Patrick Lynch	June 2009 (PR)	\$250	Jay Gendron	Executive Officer
Patrick Lynch	June 2009	\$250	Christopher Shaban	Executive Officer
Lincoln Chafee	September 2009 (PR)	\$500	Robert Vincent	Executive Officer
Lincoln Chafee	November 2009 (PR)	\$1,000	Scott Gunn	Executive Officer
Lincoln Chafee	November 2009 (PR)	\$1,000	Mrs. Ashley Gunn	Spouse of Scott Gunn, Executive Officer

* "PR" refers to contributions previously disclosed in a prior Affidavit of State Vendor filed by GTECH Printing Corporation or GTECH Corporation.

Name of General Officer, Candidate for General Office, Member of General Assembly or Candidate for General Assembly, or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
Patrick Lynch	November 2009 (PR)	\$350	Jay Gendron	Executive Officer
Lincoln Chafee	November 2009 (PR)	\$250	Jay Gendron	Executive Officer
Lincoln Chafee	November 2009 (PR)	\$250	Donald Reilly	Executive Officer
Lincoln Chafee	November 2009 (PR)	\$500	Robert Vincent	Executive Officer
Lincoln Chafee	November 2009 (PR)	\$1,000	Mrs. Grace Vincent	Spouse of Robert Vincent, Executive Officer
Lincoln Chafee	November 2009 (PR)	\$250	Cornelia Laverty O'Connor	Executive Officer
Lincoln Chafee	November 2009 (PR)	\$500	Jaymin Patel	Executive Officer
Lincoln Chafee	November 2009 (PR)	\$250	Sheri Southern	Executive Officer
Lincoln Chafee	November 2009	\$100	Christopher Shaban	Executive Officer
Patrick Lynch	November 2009	\$250	Christopher Shaban	Executive Officer
Peter Kilmartin	November 2009 (PR)	\$250	Jay Gendron	Executive Officer
Patrick Lynch	November 2009 (PR)	\$500	Scott Gunn	Executive Officer
Frank Caprio	December 2009 (PR)	\$250	Jay Gendron	Executive Officer
Frank Caprio	December 2009 (PR)	\$100	Scott Gunn	Executive Officer
Frank Caprio	December 2009 (PR)	\$250	Robert Vincent	Executive Officer
Frank Caprio	December 2009 (PR)	\$500	Alan Eland	Executive Officer
Frank Caprio	December 2009 (PR)	\$250	Cornelia Laverty O'Connor	Executive Officer
Frank Caprio	December 2009 (PR)	\$1,000	Jaymin Patel	Executive Officer
Frank Caprio	December 2009 (PR)	\$500	Michael Prescott	Executive Officer
Frank Caprio	December 2009 (PR)	\$250	Lavaz Watson	Executive Officer
Patrick Lynch	December 2009 (PR)	\$400	Jay Gendron	Executive Officer
Gina Raimondo	December 2009 (PR)	\$250	Sheri Southern	Executive Officer
Moderate Party of Rhode Island	December 2009 (PR)	\$250	Matthew Cedor	Executive Officer
Elizabeth Roberts	January 2010 (PR)	\$500	Jaymin Patel	Executive Officer
Gordon Fox	January 2010 (PR)	\$200	Jay Gendron	Executive Officer
Gordon Fox	March 2010 (PR)	\$250	Jay Gendron	Executive Officer
Gordon Fox	March 2010 (PR)	\$1,000	Jaymin Patel	Executive Officer
Gordon Fox	March 2010 (PR)	\$1,000	Scott Gunn	Executive Officer
Gordon Fox	March 2010 (PR)	\$500	Michael Prescott	Executive Officer
Gordon Fox	March 2010 (PR)	\$500	Alan Eland	Executive Officer

* "PR" refers to contributions previously disclosed in a prior Affidavit of State Vendor filed by GTECH Printing Corporation or GTECH Corporation.

Name of General Officer, Candidate for General Office, Member of General Assembly or Candidate for General Assembly, or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
Steven Costantino	March 2010 (PR)	\$250	Jay Gendron	Executive Officer
William Lynch	March 2010 (PR)	\$500	Jay Gendron	Executive Officer
Peter Kilmartin	March 2010 (PR)	\$250	Jay Gendron	Executive Officer
Patrick Lynch	March 2010 (PR)	\$250	Jay Gendron	Executive Officer
Patrick Lynch	March 2010 (PR)	\$1,000	Scott Gunn	Executive Officer
Joseph Fernandez	March 2010 (PR)	\$150	Michael Prescott	Executive Officer
Joseph Fernandez	March 2010 (PR)	\$1,000	Robert Vincent	Executive Officer
John F. McBurney, III	April 2010 (PR)	\$100	Jay Gendron	Executive Officer
James E. Doyle, II	April 2010 (PR)	\$100	Jay Gendron	Executive Officer
Senate Leadership Fund	April 2010 (PR)	\$250	Jay Gendron	Executive Officer
Senate Leadership Fund	April 2010 (PR)	\$500	Scott Gunn	Executive Officer
Senate Leadership Fund	April 2010 (PR)	\$500	Robert Vincent	Executive Officer
Senate Leadership Fund	April 2010 (PR)	\$250	Alan Eland	Executive Officer
Lincoln Chafee	April 2010 (PR)	\$250	Jay Gendron	Executive Officer
Lincoln Chafee	April 2010 (PR)	\$500	Jaymin Patel	Executive Officer
Lincoln Chafee	April 2010 (PR)	\$1,000	Scott Gunn	Executive Officer
Lincoln Chafee	April 2010 (PR)	\$1,000	Mrs. Ashley Gunn	Spouse of Scott Gunn, Executive Officer
Lincoln Chafee	April 2010 (PR)	\$250	Michael Prescott	Executive Officer
Lincoln Chafee	April 2010 (PR)	\$500	Alan Eland	Executive Officer
Lincoln Chafee	April 2010 (PR)	\$1,000	Robert Vincent	Executive Officer
Lincoln Chafee	April 2010 (PR)	\$1,000	Mrs. Grace Vincent	Spouse of Robert Vincent, Executive Officer
Lincoln Chafee	April 2010 (PR)	\$250	Donald Reilly	Executive Officer
Lincoln Chafee	April 2010 (PR)	\$250	Matthew Whalen	Executive Officer
Lincoln Chafee	April 2010 (PR)	\$500	Ross Dalton	Executive Officer
Lincoln Chafee	April 2010 (PR)	\$250	Cornelia Laverty O'Connor	Executive Officer
Lincoln Chafee	April 2010	\$500	Christopher Shaban	Executive Officer
Steven Costantino	April 2010 (PR)	\$250	Jay Gendron	Executive Officer
Gina Raimondo	June 2010	\$250	Alan Eland	Executive Officer
Peter Kilmartin	June 2010	\$250	Jay Gendron	Executive Officer

* "PR" refers to contributions previously disclosed in a prior Affidavit of State Vendor filed by GTECH Printing Corporation or GTECH Corporation.

Name of General Officer, Candidate for General Office, Member of General Assembly or Candidate for General Assembly, or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
Gina Raimondo	June 2010	\$250	Robert Vincent	Executive Officer
Steven Costantino	June 2010	\$500	Robert Vincent	Executive Officer
Leo R. Blais	June 2010	\$250	Christopher Shaban	Executive Officer
Erik Wallin	June 2010	\$250	Christopher Shaban	Executive Officer
Joseph Fernandez	July 2010	\$1,000	Mrs. Robin Prescott	Spouse of Michael Prescott, Executive Officer
Steven Costantino	August 2010	\$500	Robert Vincent	Executive Officer
Steven Costantino	August 2010	\$250	Jay Gendron	Executive Officer
Jon Brien	August 2010	\$100	Jay Gendron	Executive Officer
Steven Costantino	August 2010	\$500	Scott Gunn	Executive Officer
Steven Costantino	August 2010	\$250	Jaymin Patel	Executive Officer
Gina Raimondo	August 2010	\$500	Jaymin Patel	Executive Officer
Catherine Taylor	September 2010	\$500	Robert Vincent	Executive Officer
Catherine Taylor	September 2010	\$500	Scott Gunn and Mrs. Ashley Gunn	Executive Officer and spouse
Maryellen Goodwin	October 2010	\$150	Jay Gendron	Executive Officer
Peter Kilmartin	October 2010	\$500	Jay Gendron	Executive Officer
Gina Raimondo	October 2010	\$150	Michael Prescott	Executive Officer
Maryellen Goodwin	October 2010	\$200	Michael Prescott	Executive Officer
Erik Wallin	October 2010	\$250	Mrs. Ashley Gunn	Spouse of Executive Officer, Scott Gunn
Rhode Island Republican Party	October 2010	\$1,000	Mrs. Ashley Gunn	Spouse of Executive Officer, Scott Gunn
Rhode Island Republican Party	October 2010	\$500	Christopher Shaban	Executive Officer
Gordon Fox	January 2011	\$250	Robert Vincent	Executive Officer
RI House Leadership PAC	March 2011	\$500	Alan Eland	Executive Officer
James E. Doyle, II	March 2011	\$100	Jay Gendron	Executive Officer
RI House Leadership PAC	March 2011	\$250	Jay Gendron	Executive Officer
RI House Leadership PAC	March 2011	\$1,000	Scott Gunn	Executive Officer
RI House Leadership PAC	March 2011	\$250	Cornelia Lavery O'Connor	Executive Officer
RI House Leadership PAC	March 2011	\$250	Victor Duarte	Executive Officer

* "PR" refers to contributions previously disclosed in a prior Affidavit of State Vendor filed by GTECH Printing Corporation or GTECH Corporation.

ATTACHMENT D

AFFIDAVIT OF STATE VENDOR

**TOTAL GROSS AMOUNT OF CONTRACTS
ENTERED INTO BY CONTRACTING PARTIES DURING
PRIOR 24 MONTH PERIOD**

GTECH Printing Corporation

<u>State Agency</u>	<u>Date of Contract</u>	<u>Amount of Contract</u>	<u>FEIN #</u>	<u>P.O. #</u>
Division of Lotteries	6/7/2010	Approx. \$240,000	Unknown	N/A
Division of Lotteries	3/18/2011	Approx. \$273,000	Unknown	N/A

GTECH Corporation

<u>State Agency</u>	<u>Date of Contract</u>	<u>Amount of Contract</u>	<u>FEIN #</u>	<u>P.O. #</u>
Division of Lotteries	12/8/2009	\$63,481	Unknown	N/A
Division of Lotteries	4/26/2011	\$163,800	Unknown	N/A

Total Gross Amount:

GTECH Printing Corporation – Approximately \$513,000

GTECH Corporation - \$227,281

2011 NOV 25 AM 10: 22

AFFIDAVIT OF STATE VENDOR
UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Statement Under Oath of Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information

1. Name, address and telephone number of person making this affidavit

MR. Brian LaPlante

Name of Person Making this Affidavit

11 Overlook Trail

Address1

Address2

Scituate, RI 02825

City State Zip

401 273-0200

Phone

2. Within the 24 month period preceding the date of the contract with the state agency, or with respect to the contracts that are not in writing within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, any member of the general assembly or candidate for general assembly, or any R.I. political party? (includes an individual contracting party, or business entity on behalf of a related party, or a related party reporting on his/her own behalf) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

Yes [x] No []

3. If the answer to question 2 is "yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributions even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

Name of Officer, Candidate or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
Frank Caprio	05/17/2010	750.00	Brian LaPlante	Officer
Frank Caprio	05/13/2010	500.00	Jeff Sowa	Officer
Jared Nunes	10/06/2010	100.00	Brian LaPlante	Officer
Catherine Taylor	10/07/2010	250.00	John Pagliarini	Independ. Contractor
Erik Wallin	06/28/2010	550.00	John Pagliarini	Independ. Contractor
Erik Wallin	06/14/2010	200.00	John Pagliarini	Independ. Contractor
Frank Caprio	06/08/2010	1,000.00	John Pagliarini	Independ. Contractor
Dawson Tucker Hodgson	05/15/2010	300.00	John Pagliarini	Independ. Contractor
Frank Caprio	05/13/2010	200.00	Ronald LaRocca	Employee
Erik Wallin	05/11/2010	250.00	John Pagliarini	Independ. Contractor
Dawson Tucker Hodgson	02/23/2010	50.00	Marshall Raucci III	Employee
Frank Caprio	04/30/2010	250.00	David Campanella	Independ. Contractor

4. What is the date of execution of the written contract requiring filing of this affidavit
[Such contract must be filed with this affidavit]

01/01/2012

5. If the contract requiring the filing of this affidavit is not in writing,
when were you first notified that the contract had reached the \$5000 threshold?

11/10/2011

6. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

RI Housing and Mortgage Finance Agency Legal Services- Closings
Goods / Services

12/31/2012
Contract Expire Date

7. Is this affidavit made by you as an individual or on behalf of a business entity? Individual [] Business Entity []

8. If on behalf of a business entity, what is the name and nature of the business entity?

LaPlante Sowa Goldman 38-3695855
Business Name FEIN#

Corporation [] Partnership [] Sole Proprietorship [] Other Business Entity []

9. If "Other Business Entity" is checked above what is the nature of such business entity?

10. Are you a state vendor because you are the contracting party in a contract with a state Yes [x] No []

11. If yes, what is the name of the state agency to which you are providing goods and/or services? RI Housing and Mortgage Finance

12. If the answer to question 10 is "no", are you a state vendor because you are related to a contracting Yes [] No []

13. If the answer to question 12 is "yes", what is the nature of your relationship with the contracting party?
Provider of Legal Services- Closings

14. If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.

LaPlante Sowa Goldman RI Housing and Mortgage Finance Corporation
Name of Contracting Party State Agency
67 Cedar Street
Address1

Address2
Providence RI 02903
City State Zip

15. If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable political contributions made by such related parties? Yes [x] No []

16. If the answer to question 15 is "no", have you notified all such parties of the requirements of the law and of their obligation to file this affidavit if they have made reportable political contributions? Yes [] No []

17. If the answer to question 16 is "yes", provide the names and addresses of related parties who might be required to file this affidavit and the nature of such relationship.

Name	Address	Relationship
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18. What is the total gross amount in dollars, of contracts entered into during the said preceding 24 month period between the contracting period and ALL state agencies? Include all contracts regardless of amount. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state by marking "Yes." NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period. Yes [x] No []

State Agency	Date of Contract	Amount of Contract	FEIN #	P.O. #
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Total Gross Amount:

State of: Rhode Island
County of: Providence

[Signature]
Signature of Person making the Affidavit

Subscribed and sworn to or Affirmed before me, an official authorized to administer oaths in the jurisdiction where this affidavit was made, on this

23rd day of November 20 11
[Signature]
Signature of Notary or Other Person Authorized to Administer Oaths

Sandy M. Furtado
Printed Name of Notary or Other Person Authorized to Administer Oaths

State of Rhode Island and Providence Plantations
BOARD of ELECTIONS
CAMPAIGN FINANCE DIVISION
 50 Branch Avenue, Providence Rhode Island 02904
 TEL (401) 222-2345

RHODE ISLAND
 BOARD OF ELECTIONS

2011 APR 28 AM 9:58

AFFIDAVIT OF STATE VENDOR
 UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Statement Under Oath of Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information

1. Name, address and telephone number of person making this affidavit

MR. KENNETH F MCGUNAGLE JR.

Name of Person Making this Affidavit

2088 BROAD ST.

Address1

Address2

CRANSTON, RI 02905

City State Zip

401 941-2088

Phone

2. Within the 24 month period preceding the date of the contract with the state agency, or with respect to the contracts that are not in writing within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, any member of the general assembly or candidate for general assembly, or any R.I. political party? (includes an individual contracting party, or business entity on behalf of a related party, or a related party reporting on his/her own behalf) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

Yes No

3. If the answer to question 2 is "yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributions even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

Name of Officer, Candidate or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
MS. ELIZABETH H. ROBERTS	03/25/2009 PR	300.00	MR. KENNETH F.	OWNER
MS. ELIZABETH H. ROBERTS	05/26/2009 PR	250.00	MR. KENNETH F.	OWNER
MS. ELIZABETH H. ROBERTS	01/03/2010 PR	450.00	MR. KENNETH F.	OWNER
MR. LINCOLN D. CHAFEE	06/30/2010	135.00	MR. KENNETH F.	OWNER
MR. LINCOLN D. CHAFEE	12/14/2010	250.00	MR. KENNETH F.	OWNER

4. What is the date of execution of the written contract requiring filing of this affidavit
 [Such contract must be filed with this affidavit]

5. If the contract requiring the filing of this affidavit is not in writing,
 when were you first notified that the contract had reached the \$5000 threshold?

03/14/2011

6. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

RI HOUSING AND MORTGAGE LEGAL SERVICES - INDEFINITE TERM

Agency

Goods / Services

Contract Expire Date

7. Is this affidavit made by you as an individual or on behalf of a business entity? Individual Business Entity

8. If on behalf of a business entity, what is the name and nature of the business entity?

MCGUNAGLE, REIDY & HENTZ, LTD. - LAW 05-0562564
Business Name FEIN#

Corporation Partnership Sole Proprietorship Other Business Entity

9. If "Other Business Entity" is checked above what is the nature of such business entity?

10. Are you a state vendor because you are the contracting party in a contract with a state Yes No

11. If yes, what is the name of the state agency to which you are providing goods and/or services? RI HOUSING AND MORTGAGE

12. If the answer to question 10 is "no", are you a state vendor because you are related to a contracting Yes No

13. If the answer to question 12 is "yes", what is the nature of your relationship with the contracting party?

14. If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.

Name of Contracting Party State Agency

Address1

Address2

City State Zip

15. If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable political contributions made by such related parties? Yes No

16. If the answer to question 15 is "no", have you notified all such parties of the requirements of the law and of their obligation to file this affidavit if they have made reportable political contributions? Yes No

17. If the answer to question 16 is "yes", provide the names and addresses of related parties who might be required to file this affidavit and the nature of such relationship.

Name Address Relationship

18. What is the total gross amount in dollars, of contracts entered into during the said preceding 24 month period between the contracting period and ALL state agencies? Include all contracts regardless of amount. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state by marking "Yes." NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period. Yes No

State Agency	Date of Contract	Amount of Contract	FEIN #	P.O. #
RI HOUSING AND		385,930.31	05-0354769	
Total Gross Amount:		385,930.31		

State of: RI
County of: PROVIDENCE

Kenn G...
Signature of Person making the Affidavit

Subscribed and sworn to or Affirmed before me, an official authorized to administer oaths in the jurisdiction where this affidavit was made, on this

26th day of April 20 11



Kathleen M Mueller
Signature of Notary or Other Person Authorized to Administer Oaths

KATHLEEN M. MUELLER
Printed Name of Notary or Other Person Authorized to Administer Oaths

State of Rhode Island and Providence Plantations
BOARD of ELECTIONS
CAMPAIGN FINANCE DIVISION
 50 Branch Avenue, Providence Rhode Island 02904
 TEL (401) 222-2345

AFFIDAVIT OF STATE VENDOR
 UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Statement Under Oath of Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information

1. Name, address and telephone number of person making this affidavit

MR. Kevin P. Mohan

Name of Person Making this Affidavit

~~2-Avery Street~~ 85 Chestnut Street KPM

Address1

Apt. 20B

Address2

Boston, MA 02111 02108

City State Zip

617 824-1100

Phone

2. Within the 24 month period preceding the date of the contract with the state agency, or with respect to the contracts that are not in writing within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, any member of the general assembly or candidate for general assembly, or any R.I. political party? (includes an individual contracting party, or business entity on behalf of a related party, or a related party reporting on his/her own behalf) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

Yes No

3. If the answer to question 2 is "yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributions even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

Name of Officer, Candidate or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
Gina M. Raimondo	03/24/2010	1,000.00	Kevin P. Mohan	Senior Advisor
Gina M. Raimondo	12/29/2009	1,000.00	Kevin P. Mohan	Senior Advisor

4. What is the date of execution of the written contract requiring filing of this affidavit 10/14/2011
 [Such contract must be filed with this affidavit]

5. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold?

6. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

Agency Goods / Services Contract Expire Date

7. Is this affidavit made by you as an individual or on behalf of a business entity? Individual Business Entity

8. If on behalf of a business entity, what is the name and nature of the business entity?

Business Name FEIN#

Corporation Partnership Sole Proprietorship Other Business Entity

9. If "Other Business Entity" is checked above what is the nature of such business entity?

10. Are you a state vendor because you are the contracting party in a contract with a state Yes No
11. If yes, what is the name of the state agency to which you are providing goods and/or services?
12. If the answer to question 10 is "no", are you a state vendor because you are related to a contracting Yes No
13. If the answer to question 12 is "yes", what is the nature of your relationship with the contracting party?
Senior Advisor

14. If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.

Summit Partners Credit Fund, L.P. Employees' Retirement System of the State of Rhode Island
 Name of Contracting Party State Agency
222 Berkeley Street
 Address1
18th Floor
 Address2
Boston MA 02116
 City State Zip

15. If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable political contributions made by such related parties? Yes No
16. If the answer to question 15 is "no", have you notified all such parties of the requirements of the law and of their obligation to file this affidavit if they have made reportable political contributions? Yes No
17. If the answer to question 16 is "yes", provide the names and addresses of related parties who might be required to file this affidavit and the nature of such relationship.

Name	Address	Relationship
------	---------	--------------

18. What is the total gross amount in dollars, of contracts entered into during the said preceding 24 month period between the contracting party and ALL state agencies? Include all contracts regardless of amount. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state by marking "Yes." NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period. Yes No

State Agency	Date of Contract	Amount of Contract	FEIN #	P.O. #
Employees' Retirement System	10/14/2011	20,000,000.00	05-6000522	
Total Gross Amount:		20,000,000.00		

State of: MA
 County of: Boston

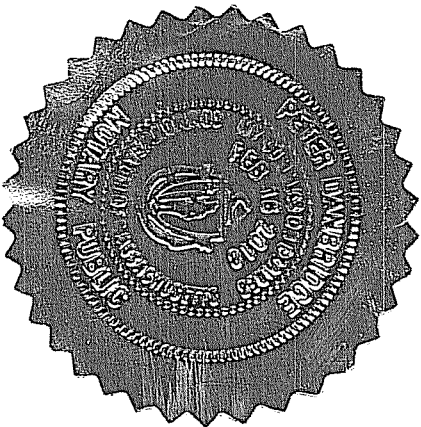
Kevin P Mohan
 Signature of Person making the Affidavit

Subscribed and sworn to or Affirmed before me, an official authorized to administer oaths in the jurisdiction where this affidavit was made, on this

28th day of October 2011

Peter Danbridge
 Signature of Notary or Other Person Authorized to Administer Oaths

PETER DANBRIDGE
 Printed Name of Notary or Other Person Authorized to Administer Oaths



State of Rhode Island and Providence Plantations
BOARD of ELECTIONS
CAMPAIGN FINANCE DIVISION
 50 Branch Avenue, Providence Rhode Island 02904
 TEL (401) 222-2345

AFFIDAVIT OF STATE VENDOR
 UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Statement Under Oath of Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information

1. Name, address and telephone number of person making this affidavit

MR. Scott DePasquale

Name of Person Making this Affidavit

82 Cindyman Drive

Address1

Address2

East Greenwich, RI 02818

City State Zip

212 697-0900

Phone

2. Within the 24 month period preceding the date of the contract with the state agency, or with respect to the contracts that are not in writing within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, any member of the general assembly or candidate for general assembly, or any R.I. political party? (includes an individual contracting party, or business entity on behalf of a related party, or a related party reporting on his/her own behalf) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

Yes [x] No []

3. If the answer to question 2 is "yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributions even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

Name of Officer, Candidate or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
Gina M. Raimondo	03/21/2010	1,000.00	Scott DePasquale	Partner

4. What is the date of execution of the written contract requiring filing of this affidavit [Such contract must be filed with this affidavit]

10/21/2011

5. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold?

6. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

Agency Goods / Services Contract Expire Date

7. Is this affidavit made by you as an individual or on behalf of a business entity? Individual [x] Business Entity []

8. If on behalf of a business entity, what is the name and nature of the business entity?

Business Name

FEIN#

Corporation []

Partnership []

Sole Proprietorship []

Other Business Entity []

9. If "Other Business Entity" is checked above what is the nature of such business entity?

10. Are you a state vendor because you are the contracting party in a contract with a state Yes No
11. If yes, what is the name of the state agency to which you are providing goods and/or services?
12. If the answer to question 10 is "no", are you a state vendor because you are related to a contracting Yes No
13. If the answer to question 12 is "yes", what is the nature of your relationship with the contracting party?

Partner

14. If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.

Braemar Energy Ventures III

Employees' Retirement System of the State of Rhode Island

Name of Contracting Party

State Agency

470 Atlantic Avenue

Address1

Address2

Boston

MA 02210

City

State Zip

15. If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable political contributions made by such related parties? Yes No

16. If the answer to question 15 is "no", have you notified all such parties of the requirements of the law and of their obligation to file this affidavit if they have made reportable political contributions? Yes No


17. If the answer to question 16 is "yes", provide the names and addresses of related parties who might be required to file this affidavit and the nature of such relationship.

Name	Address	Relationship
------	---------	--------------

18. What is the total gross amount in dollars, of contracts entered into during the said preceding 24 month period between the contracting period and ALL state agencies? Include all contracts regardless of amount. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state by marking "Yes." NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period. Yes No

State Agency	Date of Contract	Amount of Contract	FEIN #	P.O. #
Employees' Retirement System	10/21/2011	10,000,000.00	05-6000522	
Total Gross Amount:		10,000,000.00		

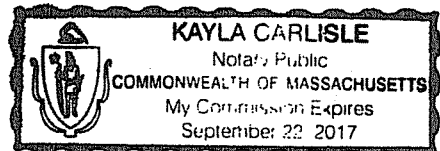
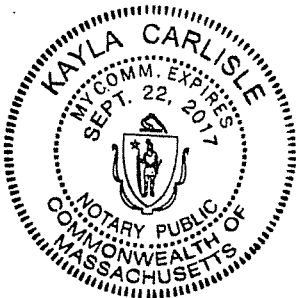
State of: MA
County of: Boston


Signature of Person making the Affidavit

Subscribed and sworn to or Affirmed before me, an official authorized to administer oaths in the jurisdiction where this affidavit was made, on this

20th day of October 2011
Kayla Carlisle
Signature of Notary or Other Person Authorized to Administer Oaths

KAYLA CARLISLE
Printed Name of Notary or Other Person Authorized to Administer Oaths





ORSON AND BRUSINI LTD BOARD OF ELECTIONS

RHODE ISLAND

COUNSELORS AT LAW

11 DEC -7 AM 9:44

Tracey Pecchia, Legal Assistant
(401) 223-2100 x-108
tpecchia@orsonandbrusini.com

December 1, 2011

State of Rhode Island
Board of Elections
Campaign Finance Division
50 Branch Avenue
Providence, RI 02904

Re: Affidavit of State Vendor

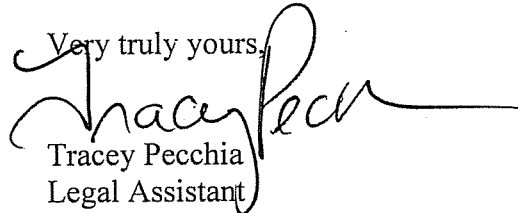
Dear Sir/Madam:

Enclosed herewith please find the following:

1. Original Affidavit of State Vendor for Theodore Orson; and
2. Original Affidavit of State Vendor for Stephen M. Brusini

Thank you and please do not hesitate to contact me if you have any questions or concerns regarding this matter.

Very truly yours,



Tracey Pecchia
Legal Assistant

Enclosures

State of Rhode Island and Providence Plantations
BOARD of ELECTIONS
CAMPAIGN FINANCE DIVISION
 50 Branch Avenue, Providence Rhode Island 02904
 TEL (401) 222-2345

AFFIDAVIT OF STATE VENDOR
 UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Statement Under Oath of Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information

1. Name, address and telephone number of person making this affidavit

MR. Theodore Orson
 Name of Person Making this Affidavit
18 Luzon Avenue
 Address1

 Address2
Providence, RI 02906
 City State Zip
401 864-2460
 Phone

2. Within the 24 month period preceding the date of the contract with the state agency, or with respect to the contracts that are not in writing within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, any member of the general assembly or candidate for general assembly, or any R.I. political party? (includes an individual contracting party, or business entity on behalf of a related party, or a related party reporting on his/her own behalf) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

Yes [x] No []

3. If the answer to question 2 is "yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributins even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

Name of Officer, Candidate or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
Patrick Lynch	05/01/2010	500.00	Theodore Orson	Supporter
Frank Caprio	03/01/2009	500.00	Theodore Orson	Supporter
Elizabeth Roberts	06/01/2010	200.00	Theodore Orson	Supporter

4. What is the date of execution of the written contract requiring filing of this affidavit 07/16/2010 *
 [Such contract must be filed with this affidavit]

5. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold? > All of our contract with the state and its departments expired \$1200 early in the representations.

6. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

Agency Goods / Services Contract Expire Date

* However, there have been other addition contracts with the state of Rhode Island and its departments subsequently. AND amendments
(TD)

7. Is this affidavit made by you as an individual or on behalf of a business entity?

Individual

Business Entity

8. If on behalf of a business entity, what is the name and nature of the business entity?

Business Name

FEIN#

Corporation

Partnership

Sole Proprietorship

Other Business Entity

9. If "Other Business Entity" is checked above what is the nature of such business entity?

10. Are you a state vendor because you are the contracting party in a contract with a state Yes No

11. If yes, what is the name of the state agency to which you are providing goods and/or services?

12. If the answer to question 10 is "no", are you a state vendor because you are related to a contracting Yes No

13. If the answer to question 12 is "yes", what is the nature of your relationship with the contracting party?

I am a shareholder and the vice president of Orson and Brusini Ltd. which is one of the contracting parties. (TD)

14. If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.

Orson and Brusini Ltd.

State of Rhode Island

Name of Contracting Party

State Agency

325 Angell Street

Address1

Address2

Providence

RI

02906

City

State

Zip

*

* As of December 5, 2011, the address will change to 144 Weylans Avenue, Providence, RI 02906 (TD)

15. If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable political contributions made by such related parties? Yes No

16. If the answer to question 15 is "no", have you notified all such parties of the requirements of the law and of their obligation to file this affidavit if they have made reportable political contributions? Yes No

17. If the answer to question 16 is "yes", provide the names and addresses of related parties who might be required to file this affidavit and the nature of such relationship.

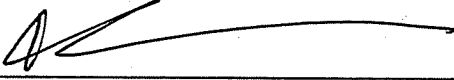
Name	Address	Relationship
Stephen M Brusini	105 Maplewood Drive East Greenwich, RI 02818	Shareholder and president of Orson and Brusini Ltd. one of the contracting parties.

18. What is the total gross amount in dollars, of contracts entered into during the said preceding 24 month period between the contracting party and ALL state agencies? Include all contracts regardless of amount. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state by marking "Yes." NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period. Yes No

State Agency	Date of Contract	Amount of Contract	FEIN #	P.O. #
Rhode Island Housing	02/12/2010	0.00	Unknown	Unknown
State of Rhode Island	07/16/2010	0.00	Unknown	Unknown
Department of Health	09/13/2011	0.00	Unknown	Unknown
Department of Human Services	09/13/2011	0.00	Unknown	Unknown
Total Gross Amount:		0.00		

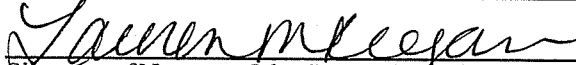
State of: RI

County of: Providence


Signature of Person making the Affidavit

Subscribed and sworn to or Affirmed before me, an official authorized to administer oaths in the jurisdiction where this affidavit was made, on this

1~~st~~ day of December 20 11


Signature of Notary or Other Person Authorized to Administer Oaths

Lauren M. Regan
Printed Name of Notary or Other Person Authorized to Administer Oaths

State of Rhode Island and Providence Plantations
BOARD of ELECTIONS
CAMPAIGN FINANCE DIVISION
50 Branch Avenue, Providence Rhode Island 02904
TEL (401) 222-2345

AFFIDAVIT OF STATE VENDOR
UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Statement Under Oath of Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information

1. Name, address and telephone number of person making this affidavit

MR. Stephen M Brusini

Name of Person Making this Affidavit

105 Maplewood Drive

Address1

Address2

East Greenwich, RI 02818

City State Zip

401 480-4086

Phone

2. Within the 24 month period preceding the date of the contract with the state agency, or with respect to the contracts that are not in writing within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, any member of the general assembly or candidate for general assembly, or any R.I. political party? (includes an individual contracting party, or business entity on behalf of a related party, or a related party reporting on his/her own behalf) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

Yes [x] No []

3. If the answer to question 2 is "yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributions even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

Name of Officer, Candidate or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
Gordon Fox	01/23/2011	200.00	Stephen M Brusini	Supporter
Angel Taveras	02/20/2011	500.00	Stephen M Brusini	Supporter
Lincoln Chafee	05/03/2011	250.00	Stephen M Brusini	Supporter
James Langevin	07/02/2011	250.00	Stephen M Brusini	Supporter
Peter Kilmartin	07/02/2011	250.00	Stephen M Brusini	Supporter
Angel Taveras	10/23/2011	250.00	Stephen M Brusini	Supporter
James Langevin	11/13/2011	300.00	Stephen M Brusini	Supporter
Lincoln Chafee	11/19/2011	250.00	Stephen M Brusini	Supporter

4. What is the date of execution of the written contract requiring filing of this affidavit
[Such contract must be filed with this affidavit]

07/16/2010

5. If the contract requiring the filing of this affidavit is not in writing,
when were you first notified that the contract had reached the \$5000 threshold?

6. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

Agency

Goods / Services

Contract Expire Date

7. Is this affidavit made by you as an individual or on behalf of a business entity?

Individual Business Entity

8. If on behalf of a business entity, what is the name and nature of the business entity?

(Handwritten signature)

Business Name

FEIN#

Corporation

Partnership

Sole Proprietorship

Other Business Entity

9. If "Other Business Entity" is checked above what is the nature of such business entity?

10. Are you a state vendor because you are the contracting party in a contract with a state Yes No

11. If yes, what is the name of the state agency to which you are providing goods and/or services?

12. If the answer to question 10 is "no", are you a state vendor because you are related to a contracting Yes No

13. If the answer to question 12 is "yes", what is the nature of your relationship with the contracting party?
I am a shareholder and the president of Orson and Brusini Ltd. which is one of the contracting parties.

(Handwritten initials)

14. If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.

Orson and Brusini Ltd.
Name of Contracting Party
325 Angell Street
Address 1

State of Rhode Island
State Agency

Address 2

Providence RI 02906
City State Zip

15. If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable political contributions made by such related parties? Yes No

16. If the answer to question 15 is "no", have you notified all such parties of the requirements of the law and of their obligation to file this affidavit if they have made reportable political contributions? Yes No

17. If the answer to question 16 is "yes", provide the names and addresses of related parties who might be required to file this affidavit and the nature of such relationship.

Name

Address

Relationship

Theodore Orson

18 Luzon Avenue Providence, RI 02906

Shareholder and vice president of
The contracting party

(Handwritten initials)

18. What is the total gross amount in dollars, of contracts entered into during the said preceding 24 month period between the contracting period and ALL state agencies? Include all contracts regardless of amount. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state by marking "Yes." NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period. Yes No

State Agency	Date of Contract	Amount of Contract	FEIN #	P.O. #
Rhode Island Housing	02/12/2010	0.00	Unknown	Unknown
State of Rhode Island	07/16/2010	0.00	Unknown	Unknown
Department of Health	09/13/2011	0.00	Unknown	Unknown
Department of Human Services	09/13/2011	0.00	Unknown	Unknown
Total Gross Amount:		0.00		

State of: RI
County of: Providence

Signature of Person making the Affidavit

Subscribed and sworn to or Affirmed before me, an official authorized to administer oaths in the jurisdiction where this affidavit was made, on this

1st day of December 20 10

Lauren M. Regan
Signature of Notary or Other Person Authorized to Administer Oaths

Lauren M. Regan
Printed Name of Notary or Other Person Authorized to Administer Oaths